

# Greedy Graph Algorithms

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# Graphs

- ▶ Model pairwise relationships (edges) between objects (nodes).
- ▶ *Undirected graph*  $G = (V, E)$ : set  $V$  of nodes and set  $E$  of edges, where  $E \subseteq V \times V$ . Elements of  $E$  are unordered pairs.
- ▶ *Directed graph*  $G = (V, E)$ : set  $V$  of nodes and set  $E$  of edges, where  $E \subseteq V \times V$ . Elements of  $E$  are ordered pairs.

# Applications of Graphs

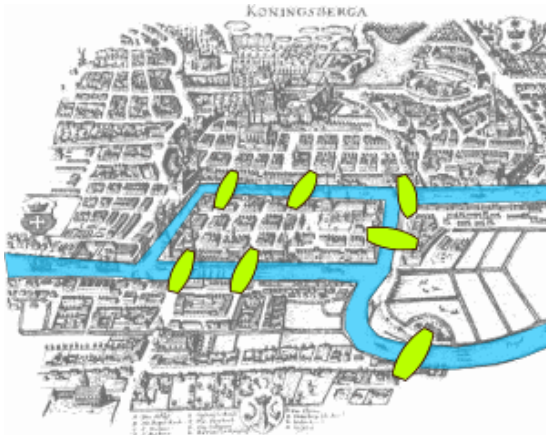
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# Shortest Path Problem

- ▶  $G(V, E)$  is a connected directed graph. Each edge  $e$  has a length  $l_e \geq 0$ .
- ▶  $V$  has  $n$  nodes and  $E$  has  $m$  edges.
- ▶ *Length of a path  $P$*  is the sum of the lengths of the edges in  $P$ .
- ▶ Goal is to determine the shortest path from a specified start node  $s$  to each node in  $V$ .
- ▶ Aside: If  $G$  is undirected, convert to a directed graph by replacing each edge in  $G$  by two directed edges.

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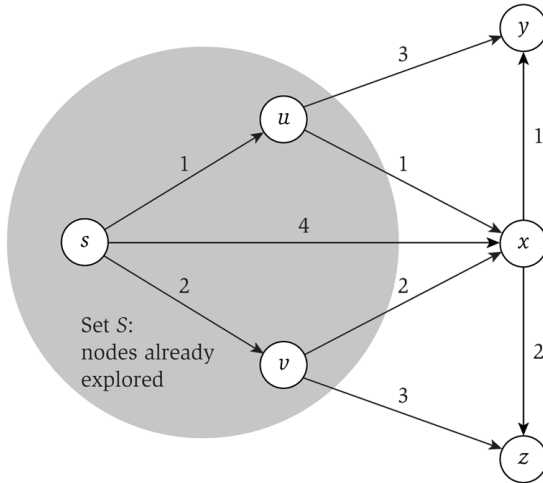
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## SHORTEST PATHS

**INSTANCE:** A directed graph  $G(V, E)$ , a function  $l : E \rightarrow \mathbb{R}^+$ , and a node  $s \in V$

**SOLUTION:** A set  $\{P_u, u \in V\}$ , where  $P_u$  is the shortest path in  $G$  from  $s$  to  $u$ .

## Example of Dijkstra's Algorithm



**Figure 4.7** A snapshot of the execution of Dijkstra's Algorithm. The next node that will be added to the set  $S$  is  $x$ , due to the path through  $u$ .



# Dijkstra's Algorithm

- ▶ Maintain a set  $S$  of explored nodes: for each node  $u \in S$ , we have determined the length  $d(u)$  of the shortest path from  $s$  to  $u$ .
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For each  $u \in S$ , we store a distance  $d(u)$

Initially  $S = \{s\}$  and  $d(s) = 0$

While  $S \neq V$

Select a node  $v \notin S$  with at least one edge from  $S$  for which

$d'(v) = \min_{e=(u,v): u \in S} d(u) + \ell_e$  is as small as possible

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- ▶  $d'(v)$  = length of shortest path from  $s$  to  $v$  using only nodes in  $S$ .
- ▶ To compute the shortest paths: store the predecessor  $u$  that minimises  $d'(v)$ .

## Proof of Correctness

- ▶ Let  $P_u$  be the shortest path computed for a node  $u$ .
- ▶ Claim:  $P_u$  is the shortest path from  $s$  to  $u$ .
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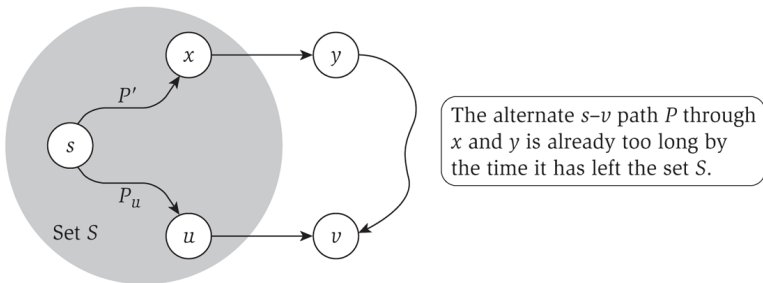
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**Figure 4.8** The shortest path  $P_v$  and an alternate  $s$ - $v$  path  $P$  through the node  $y$ .

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$$\sum_{i=2}^k (d(v_i) - d(v_{i-1})) + d(v_1) - d(v_k) = \sum_{i=2}^k l(v_{i-1}, v_i) + l(v_k, v_1)$$

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- ▶ Running time per iteration is  $O(m)$ , yielding an overall running time of  $O(nm)$ .

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- ▶ Store the minima  $d'(v)$  for each node  $v \in V - S$  in a priority queue.
- ▶ Determine the next node  $v$  to add to  $S$  using EXTRACTMIN.
- ▶ After adding  $v$  to  $S$ , for each neighbour  $w$  of  $v$ , compute  $d(v) + l_{(v,w)}$ .
- ▶ If  $d(v) + l_{(v,w)} < d'(w)$ ,
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- ▶ How many times are EXTRACTMIN and CHANGEKEY invoked?

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- ▶ How many times are EXTRACTMIN and CHANGEKEY invoked?  $n - 1$  and  $m$  times, respectively.

# A Faster implementation of Dijkstra's Algorithm

---

Dijkstra's Algorithm ( $G, \ell$ )

Let  $S$  be the set of explored nodes

For each  $u \in S$ , we store a distance  $d(u)$

Initially  $S = \{s\}$  and  $d(s) = 0$

While  $S \neq V$

    Select a node  $v \notin S$  with at least one edge from  $S$  for which

$d'(v) = \min_{e=(u,v): u \in S} d(u) + \ell_e$  is as small as possible

    Add  $v$  to  $S$  and define  $d(v) = d'(v)$

EndWhile

---

- ▶ Observation: If we add  $v$  to  $S$ ,  $d'(w)$  changes only for  $v$ 's neighbours.
- ▶ Store the minima  $d'(v)$  for each node  $v \in V - S$  in a priority queue.
- ▶ Determine the next node  $v$  to add to  $S$  using EXTRACTMIN.
- ▶ After adding  $v$  to  $S$ , for each neighbour  $w$  of  $v$ , compute  $d(v) + \ell_{(v,w)}$ .
- ▶ If  $d(v) + \ell_{(v,w)} < d'(w)$ ,
  1. Set  $d'(w) = d(v) + \ell_{(v,w)}$ .
  2. Update  $w$ 's key to the new value of  $d'(w)$  using CHANGEKEY.
- ▶ How many times are EXTRACTMIN and CHANGEKEY invoked?  $n - 1$  and  $m$  times, respectively. Total running time is  $O(m \log n)$ .

# Network Design

- ▶ Connect a set of nodes using a set of edges with certain properties.
- ▶ Input is usually a graph and the desired network (the output) should use subset of edges in the graph.
- ▶ Example: connect all nodes using a cycle of shortest total length.

# Network Design

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- ▶ Input is usually a graph and the desired network (the output) should use subset of edges in the graph.
- ▶ Example: connect all nodes using a cycle of shortest total length. This problem is the NP-complete traveling salesman problem.

# Minimum Spanning Tree (MST)

- ▶ Given an undirected graph  $G(V, E)$  with a cost  $c_e > 0$  associated with each edge  $e \in E$ .
- ▶ Find a subset  $T$  of edges such that the graph  $(V, T)$  is connected and the cost  $\sum_{e \in T} c_e$  is as small as possible.

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## MINIMUM SPANNING TREE

**INSTANCE:** An undirected graph  $G(V, E)$  and a function  $c : E \rightarrow \mathbb{R}^+$

**SOLUTION:** A set  $T \subseteq E$  of edges such that  $(V, T)$  is connected and the  $\sum_{e \in T} c_e$  is as small as possible.



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- ▶ Claim: If  $T$  is a minimum-cost solution to this network design problem then  $(V, T)$  is a tree.
- ▶ A subset  $T$  of  $E$  is a *spanning tree* of  $G$  if  $(V, T)$  is a tree.

# Greedy Algorithm for the MST Problem

- ▶ Template: process edges in some order. Add an edge to  $T$  if tree property is not violated.

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**Increasing cost order** Process edges in increasing order of cost. Discard an edge if it creates a cycle.

**Dijkstra-like** Start from a node  $s$  and grow  $T$  outward from  $s$ : add the node that can be attached most cheaply to current tree.

**Decreasing cost order** Delete edges in order of decreasing cost as long as graph remains connected.

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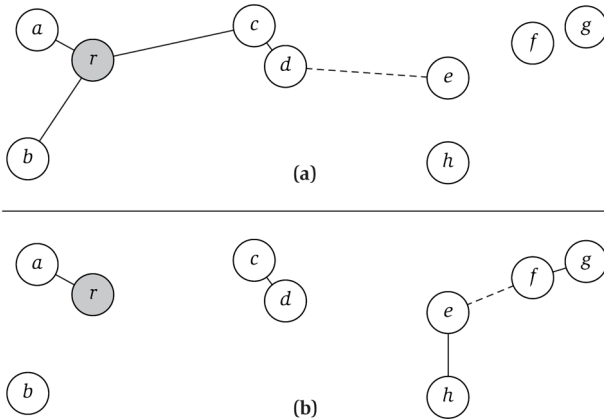
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**Decreasing cost order** Delete edges in order of decreasing cost as long as graph remains connected. **Reverse-Delete algorithm**

- ▶ Which of these algorithms works? All of them!
- ▶ Simplifying assumption: all edge costs are distinct.

# Example of Prim's and Kruskal's Algorithms



**Figure 4.9** Sample run of the Minimum Spanning Tree Algorithms of (a) Prim and (b) Kruskal, on the same input. The first 4 edges added to the spanning tree are indicated by solid lines; the next edge to be added is a dashed line.

# Characterising MSTs

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  - ▶ Which edge should we add to join them?
- ▶ Which edges cannot belong to an MST?
  - ▶ What happens when we add an edge to an MST?
  - ▶ We obtain a cycle.
  - ▶ Which edge in the cycle can we be sure does not belong to an MST?

# Graph Cuts

- ▶ A *cut* in a graph  $G(V, E)$  is a set of edges whose removal disconnects the graph (into two or more connected components).
- ▶ Every set  $S \subset V$  ( $S$  cannot be empty or the entire set  $V$ ) has a corresponding cut:  $\text{cut}(S)$  is the set of edges  $(v, w)$  such that  $v \in S$  and  $w \in V - S$ .

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- ▶  $\text{cut}(S)$  is a cut because deleting the edges in  $\text{cut}(S)$  disconnects  $S$  from  $V - S$ .

# Cut Property

- ▶ When is it safe to include an edge in an MST?

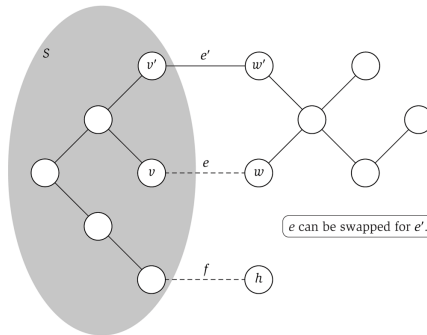
# Cut Property

- ▶ When is it safe to include an edge in an MST?
- ▶ Let  $S \subset V$ ,  $S$  is not empty or equal to  $V$ .
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- ▶ Proof: exchange argument. If a supposed MST  $T$  does not contain  $e$ , show that there is a tree with smaller cost than  $T$  that contains  $e$ .



**Figure 4.10** Swapping the edge  $e$  for the edge  $e'$  in the spanning tree  $T$ , as described in the proof of (4.17).

# Optimality of Kruskal's Algorithm

- ▶ Kruskal's algorithm:
  - ▶ Start with an empty set  $T$  of edges.
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    - ▶  $(V, T)$  contains no cycles by construction.
    - ▶ If  $(V, T)$  is not connected, then exists a subset  $S$  of nodes not connected to  $V - S$ . What is the contradiction?

# Optimality of Prim's Algorithm

- ▶ Prim's algorithm: Maintain a tree  $(S, U)$ 
  - ▶ Start with an arbitrary node  $s \in S$  and  $U = \emptyset$ .
  - ▶ Add the node  $v$  to  $S$  and the edge  $e$  to  $U$  that minimise

$$\min_{e=(u,v), u \in S, v \notin S} c_e \equiv \min_{e \in \text{cut}(S)} c_e.$$

- ▶ Stop when  $S = V$ .
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  2. Prove that the graph constructed is a spanning tree.
    - ▶ Why are there no cycles in  $(V, T)$ ?
    - ▶ Why is  $(V, T)$  connected?

# Cycle Property

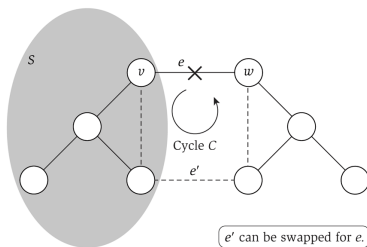
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**Figure 4.11** Swapping the edge  $e'$  for the edge  $e$  in the spanning tree  $T$ , as described in the proof of (4.20).

# Optimality of the Reverse-Delete Algorithm

- ▶ Reverse-Delete algorithm: Maintain a set  $E'$  of edges.
  - ▶ Start with  $E' = E$ .
  - ▶ Process edges in decreasing order of cost.
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    - ▶  $(V, E')$  is connected at the end, by construction.
    - ▶ If  $(V, E')$  contains a cycle, consider the costliest edge in that cycle. The algorithm would have deleted that edge.

## Comments on MST Algorithms

- ▶ To handle multiple edges with the same length, perturb each length by a random infinitesimal amount. Read the textbook.
- ▶ *Any* algorithm that constructs a spanning tree by including edges that satisfy the cut property and deleting edges that satisfy the cycle property will yield an MST!

# Implementing Prim's Algorithm

- ▶ Maintain a tree  $(S, U)$ .
  - ▶ Start with an arbitrary node  $s \in V$  and  $U = \emptyset$ .
  - ▶ Add the node  $v$  to  $S$  and the edge  $e$  to  $U$  that minimise

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- ▶ Stop when  $S = V$ .
- ▶ Sorting edges takes  $O(m \log n)$  time.
- ▶ Implementation is very similar to Dijkstra's algorithm.
- ▶ Maintain  $S$  and store attachment costs  $a(v) = \min_{e \in \text{cut}(S)} c_e$  for every node  $v \in V - S$  in a priority queue.
- ▶ At each step, extract minimum  $v$  from priority queue and update the attachment costs of the neighbours of  $v$ .
- ▶ Total of  $n - 1$  EXTRACTMIN and  $m$  CHANGEKEY operations, yielding a running time of  $O(m \log n)$ .

# Implementing Kruskal's Algorithm

- ▶ Start with an empty set  $T$  of edges.
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- ▶ Sorting edges takes  $O(m \log n)$  time.
- ▶ Key question: "Does adding  $e = (u, v)$  to  $T$  create a cycle?"
  - ▶ Maintain set of connected components of  $T$ .
  - ▶  $\text{FIND}(u)$ : return the name of the connected component of  $T$  that  $u$  belongs to.
  - ▶  $\text{UNION}(A, B)$ : merge connected components  $A$  and  $B$ .

# Analysing Kruskal's Algorithm

- ▶ How many FIND invocations does Kruskal's algorithm need?

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- ▶ Total running time of Kruskal's algorithm is  $O(m \log n)$ .

## Comments on Union-Find and MST

- ▶ The UNION-FIND data structure is useful to maintain the connected components of a graph as edges are added to the graph.
- ▶ The data structure does not support edge **deletion** efficiently.
- ▶ Current best algorithm for MST runs in  $O(m\alpha(m, n))$  time (Chazelle 2000) and  $O(m)$  randomised time (Karger, Klein, and Tarjan, 1995).
- ▶ Holy grail:  $O(m)$  deterministic algorithm for MST.

# Union-Find Data Structure

- ▶ Abstraction of the data structure needed by Kruskal's algorithm.
- ▶ Maintain disjoint subsets of elements from a universe  $U$  of  $n$  elements.
- ▶ Each subset has an name. We will set a set's name to be the identity of some element in it.
- ▶ Support three operations:
  1. `MAKEUNIONFIND( $U$ )`: initialise the data structure with elements in  $U$ .
  2. `FIND( $u$ )`: return the identity of the subset that contains  $u$ .
  3. `UNION( $A, B$ )`: merge the sets named  $A$  and  $B$  into one set.



# Union-Find Data Structure: Implementation 1

- ▶ Store all the elements of  $U$  in an array COMPONENT.
  - ▶ Assume identities of elements are integers from 1 to  $n$ .
  - ▶ COMPONENT[ $s$ ] is the name of the set containing  $s$ .
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  1. MAKEUNIONFIND( $U$ ): For each  $s \in U$ , set COMPONENT[ $s$ ] =  $s$  in  $O(n)$  time.
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  1. `MAKEUNIONFIND( $U$ )`: For each  $s \in U$ , set `COMPONENT[s] = s` in  $O(n)$  time.
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- ▶ `UNION` is very slow because we cannot efficiently find the elements that belong to a set.

# Union-Find Data Structure: Implementation 2

- ▶ Optimisation 1: Use an array `ELEMENTS`
  - ▶ Indices of `ELEMENTS` range from 1 to  $n$ .
  - ▶ `ELEMENTS[s]` stores the elements in the subset named  $s$  in a list.
- ▶ Execute `UNION( $A, B$ )` by merging  $B$  into  $A$  in two steps:
  1. Updating `COMPONENT` for elements of  $B$  in  $O(|B|)$  time.
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- ▶ Optimisation 2: Store size of each set in an array (say, `SIZE`). If  $\text{SIZE}[B] \leq \text{SIZE}[A]$ , merge  $B$  into  $A$ . Otherwise merge  $A$  into  $B$ . Update `SIZE`.

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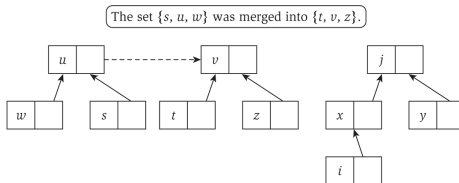
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- ▶  $\text{FIND}$  is fast in the worst case,  $\text{UNION}$  is fast in an amortised sense. Can we make both operations worst-case efficient?

# Union-Find Data Structure: Implementation 3

- ▶ Goal: Implement FIND in  $O(\log n)$  and UNION in  $O(1)$  worst-case time.

# Union-Find Data Structure: Implementation 3

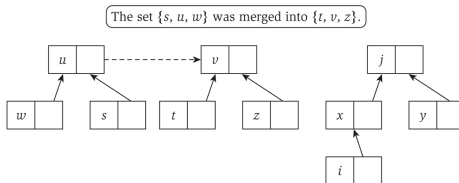
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- ▶ Represent each subset in a tree using pointers:
  - ▶ Each tree node contains an element and a pointer to a parent.
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**Figure 4.12** A Union-Find data structure using pointers. The data structure has only two sets at the moment, named after nodes  $v$  and  $j$ . The dashed arrow from  $u$  to  $v$  is the result of the last Union operation. To answer a Find query, we follow the arrows until we get to a node that has no outgoing arrow. For example, answering the query  $\text{Find}(i)$  would involve following the arrows  $i$  to  $x$ , and then  $x$  to  $j$ .

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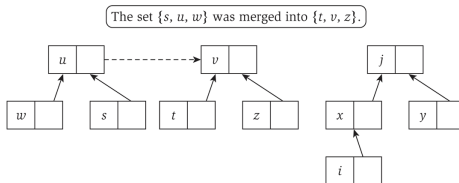


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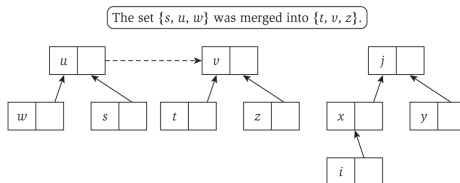
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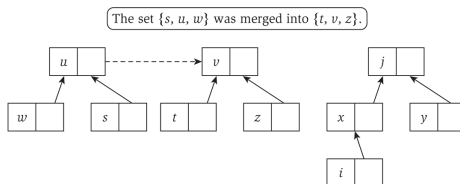
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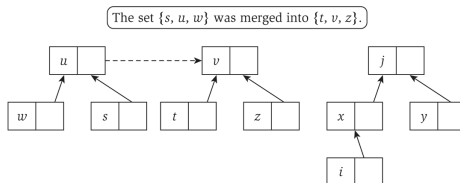
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- ▶ Why does  $\text{FIND}(u)$  take  $O(\log n)$  time?
- ▶ Number of pointers followed equals the number of times the identity of the set containing  $u$  changed.
- ▶ Every time  $u$ 's set's identity changes, the set at least doubles in size  $\Rightarrow$  there are  $O(\log n)$  pointers followed.

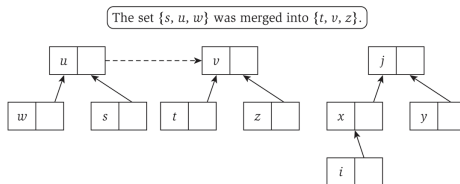
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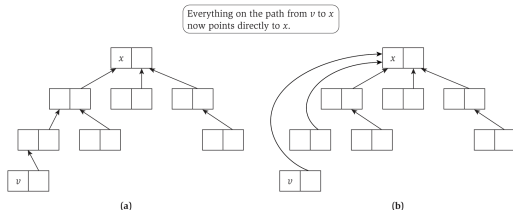
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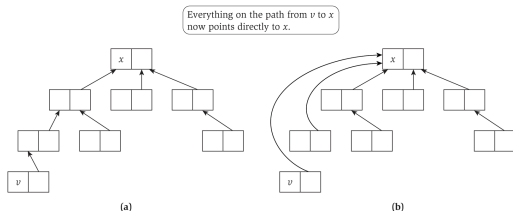
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**Figure 4.13** (a) An instance of a Union-Find data structure; and (b) the result of the operation  $\text{Find}(v)$  on this structure, using path compression.

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- ▶ Path compression: make all nodes visited by  $\text{FIND}(u)$  children of the root.
- ▶ Can prove that total time taken by  $n$   $\text{FIND}$  operations is  $O(n\alpha(n))$ , where  $\alpha(n)$  is the inverse of the Ackermann function, and grows e-x-t-r-e-m-e-l-y s-l-o-w-l-y with  $n$ .