CS 5114: Theory of Algorithms

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Graph Algorithms

Graphs are useful for representing a variety of concepts:

- Data Structures
- Relationships
- Families
- Communication Networks
- Road Maps

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A Tree Proof

- **Definition**: A <u>free tree</u> is a connected, undirected graph that has no cycles.
- Theorem: If *T* is a free tree having *n* vertices, then *T* has exactly *n* − 1 edges.
- **Proof**: By induction on *n*.
- **Base Case**: n = 1. *T* consists of 1 vertex and 0 edges.
- Inductive Hypothesis: The theorem is true for a tree having *n* − 1 vertices.
- Inductive Step:
 - ▶ If *T* has *n* vertices, then *T* contains a vertex of degree 1.
 - ► Remove that vertex and its incident edge to obtain T', a free tree with n 1 vertices.
 - By IH, T' has n-2 edges.
 - Thus, T has n 1 edges.

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Graph Traversals

Various problems require a way to **<u>traverse</u>** a graph – that is, visit each vertex and edge in a systematic way.

Three common traversals:

- Eulerian tours
 Traverse each edge exactly once
- Depth-first search Keeps vertices on a stack
- Breadth-first search Keeps vertices on a queue

Eulerian Tours

A circuit that contains every edge exactly once. Example: f



Tour: b a f c d e.



No Eulerian tour. How can you tell for sure?

Eulerian Tour Proof

- **Theorem**: A connected, undirected graph with *m* edges that has no vertices of odd degree has an Eulerian tour.
- **Proof**: By induction on *m*.
- Base Case:
- Inductive Hypothesis:
- Inductive Step:
 - Start with an arbitrary vertex and follow a path until you return to the vertex.
 - Remove this circuit. What remains are connected components G₁, G₂, ..., G_k each with nodes of even degree and < m edges.</p>
 - By IH, each connected component has an Eulerian tour.
 - Combine the tours to get a tour of the entire graph.

Depth First Search

```
void DFS(Graph G, int v) { // Depth first search
    PreVisit(G, v); // Take appropriate action
    G.setMark(v, VISITED);
    for (Edge w = each neighbor of v)
        if (G.getMark(G.v2(w)) == UNVISITED)
        DFS(G, G.v2(w));
    PostVisit(G, v); // Take appropriate action
}
```

Initial call: DFS(G, r) where r is the <u>root</u> of the DFS.

Cost: $\Theta(|V| + |E|)$.

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Depth First Search Example



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DFS Tree

If we number the vertices in the order that they are marked, we get **DFS numbers**.

Lemma 7.2: Every edge $e \in E$ is either in the DFS tree *T*, or connects two vertices of *G*, one of which is an ancestor of the other in *T*.

Proof: Consider the first time an edge (v, w) is examined, with *v* the current vertex.

- If w is unmarked, then (v, w) is in T.
- If w is marked, then w has a smaller DFS number than v AND (v, w) is an unexamined edge of w.
- Thus, *w* is still on the stack. That is, *w* is on a path from *v*.

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DFS for Directed Graphs

Main problem: A connected graph may not give a single
 DFS tree.



- Back edges: (5, 1)
- Cross edges: (6, 1), (8, 7), (9, 5), (9, 8), (4, 2)
- Solution: Maintain a list of unmarked vertices.
 - Whenever one DFS tree is complete, choose an arbitrary unmarked vertex as the root for a new tree.

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Directed Cycles

Lemma 7.4: Let *G* be a directed graph. *G* has a directed cycle iff every DFS of *G* produces a back edge.

Proof:

Suppose a DFS produces a back edge (v, w).

- ▶ *v* and *w* are in the same DFS tree, *w* an ancestor of *v*.
- (v, w) and the path in the tree from w to v form a directed cycle.
- Suppose *G* has a directed cycle *C*.
 - ▶ Do a DFS on G.
 - ► Let *w* be the vertex of *C* with smallest DFS number.
 - Let (v, w) be the edge of C coming into w.
 - ► *v* is a descendant of *w* in a DFS tree.
 - Therefore, (v, w) is a back edge.

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Breadth First Search

- Like DFS, but replace stack with a queue.
- Visit vertex's neighbors before going deeper in tree.

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Breadth First Search Algorithm

```
void BFS(Graph G, int start) {
  Queue Q(G.n());
  Q.enqueue(start);
  G.setMark(start, VISITED);
  while (!Q.isEmpty()) {
    int v = 0.dequeue();
    PreVisit(G, v); // Take appropriate action
    for (Edge w = each neighbor of v)
      if (G.getMark(G.v2(w)) == UNVISITED) {
        G.setMark(G.v2(w), VISITED);
        Q.enqueue(G.v2(w));
    PostVisit(G, v); // Take appropriate action
} }
```

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Breadth First Search Example



Non-tree edges connect vertices at levels differing by 0 or 1.

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Topological Sort

Problem: Given a set of jobs, courses, etc. with prerequisite constraints, output the jobs in an order that does not violate any of the prerequisites.



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Topological Sort Algorithm

```
void topsort(Graph G) { // Top sort: recursive
  for (int i=0; i<G.n(); i++) // Initialize Mark
   G.setMark(i, UNVISITED);
  for (i=0; i<G.n(); i++) // Process vertices</pre>
    if (G.getMark(i) == UNVISITED)
     tophelp(G, i); // Call helper
}
void tophelp(Graph G, int v) { // Helper function
  G.setMark(v, VISITED);
  for (Edge w = each neighbor of v)
    if (G.getMark(G.v2(w)) == UNVISITED)
      tophelp(G, G.v2(w));
 printout(v); // PostVisit for Vertex v
```

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Queue-based Topological Sort

```
void topsort(Graph G) { // Top sort: Queue
         Oueue O(G.n()); int Count[G.n()];
         for (int v=0; v<G.n(); v++) Count[v] = 0;
         for (v=0; v<G.n(); v++) // Process every edge
                  for (Edge w each neighbor of v)
                                Count[G.v2(w)]++; // Add to v2's count
         for (v=0; v<G.n(); v++) // Initialize Oueue</pre>
                  if (Count[v] == 0) Q.enqueue(v);
         while (!Q.isEmpty()) { // Process the vertices
                  int v = 0.dequeue();
                                                                                        // PreVisit for v
                  printout(v);
                  for (Edge w = each neighbor of v) {
                           Count[G.v2(w)]--; // One less prereq
                           if (Count[G.v2(w)] == 0) Q.enqueue(G.v2(w));
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```

Shortest Paths Problems

Input: A graph with **weights** or **costs** associated with each edge.

Output: The list of edges forming the shortest path.

Sample problems:

- Find the shortest path between two specified vertices.
- Find the shortest path from vertex S to all other vertices.
- Find the shortest path between all pairs of vertices.

Our algorithms will actually calculate only distances.

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Shortest Paths Definitions

d(A, B) is the **shortest distance** from vertex A to B.

w(A, B) is the weight of the edge connecting A to B. • If there is no such edge, then w(A, B) = ∞ .



Single Source Shortest Paths

Given start vertex *s*, find the shortest path from *s* to all other vertices.

Try 1: Visit all vertices in some order, compute shortest paths for all vertices seen so far, then add the shortest path to next vertex x.

Problem: Shortest path to a vertex already processed might go through *x*. Solution: Process vertices in order of distance from *s*.

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Dijkstra's Algorithm Example



Dijkstra's Algorithm: Array (1)

```
void Dijkstra(Graph G, int s) { // Use array
  int D[G.n()];
  for (int i=0; i<G.n(); i++) // Initialize</pre>
   D[i] = INFINITY;
 D[s] = 0;
  for (i=0; i<G.n(); i++) { // Process vertices</pre>
    int v = minVertex(G, D);
    if (D[v] == INFINITY) return; // Unreachable
    G.setMark(v, VISITED);
    for (Edge w = each neighbor of v)
      if (D[G.v2(w)] > (D[v] + G.weight(w)))
        D[G.v2(w)] = D[v] + G.weight(w);
  }
```

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Dijkstra's Algorithm: Array (2)

```
// Get mincost vertex
int minVertex(Graph G, int* D) {
  int v; // Initialize v to an unvisited vertex;
  for (int i=0; i<G.n(); i++)</pre>
    if (G.getMark(i) == UNVISITED)
      \{ v = i; break; \}
  for (i++; i<G.n(); i++) // Find smallest D val</pre>
    if ((G.getMark(i)==UNVISITED) && (D[i]<D[v]))</pre>
      v = i;
 return v;
}
```

Approach 1: Scan the table on each pass for closest vertex. Total cost: $\Theta(|V|^2 + |E|) = \Theta(|V|^2)$.

Dijkstra's Algorithm: Priority Queue (1)

```
class Elem { public: int vertex, dist; };
int key(Elem x) { return x.dist; }
void Dijkstra(Graph G, int s) { // priority queue
          int v; Elem temp;
         int D[G.n()]; Elem E[G.e()];
         temp.dist = 0; temp.vertex = s; E[0] = temp;
        heap H(E, 1, G.e()); // Create the heap
         for (int i=0; i<G.n(); i++) D[i] = INFINITY;</pre>
        D[s] = 0;
         for (i=0; i<G.n(); i++) { // Get distances
                  do { temp = H.removemin(); v = temp.vertex; }
                            while (G.getMark(v) == VISITED);
                  G.setMark(v, VISITED);
                   if (D[v] == INFINITY) return; // Unreachable
                                                                                                                                                                < ロ > < 同 > < 三 > < 三 > < 三 > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ >
```

Dijkstra's Algorithm: Priority Queue (2)

```
for (Edge w = each neighbor of v)
    if (D[G.v2(w)] > (D[v] + G.weight(w))) {
        D[G.v2(w)] = D[v] + G.weight(w);
        temp.dist = D[G.v2(w)];
        temp.vertex = G.v2(w);
        H.insert(temp); // Insert new distance
}}}
```

- Approach 2: Store unprocessed vertices using a min-heap to implement a priority queue ordered by D value. Must update priority queue for each edge.
- Total cost: $\Theta((|V| + |E|) \log |V|)$.

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All Pairs Shortest Paths

- For every vertex $u, v \in V$, calculate d(u, v).
- Could run Dijkstra's Algorithm |V| times.
- Better is Floyd's Algorithm.
- Define a **k-path** from *u* to *v* to be any path whose intermediate vertices all have indices less than *k*.



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Floyd's Algorithm

void Floyd(Graph G) { // All-pairs shortest paths int D[G.n()][G.n()]; // Store distances for (int i=0; i<G.n(); i++) // Initialize D for (int j=0; j<G.n(); j++) D[i][j] = G.weight(i, j); for (int k=0; k<G.n(); k++) // Compute k paths for (int i=0; i<G.n(); i++) for (int j=0; j<G.n(); i++) if (D[i][j] > (D[i][k] + D[k][j])) D[i][j] = D[i][k] + D[k][j];

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Minimum Cost Spanning Trees

Minimum Cost Spanning Tree (MST) Problem:

- Input: An undirected, connected graph G.
- Output: The subgraph of G that
 - has minimum total cost as measured by summing the values for all of the edges in the subset, and
 - keeps the vertices connected.



Key Theorem for MST

Let V_1 , V_2 be an arbitrary, non-trivial partition of V. Let (v_1, v_2) , $v_1 \in V_1$, $v_2 \in V_2$, be the cheapest edge between V_1 and V_2 . Then (v_1, v_2) is in some MST of G. **Proof**:

- Let *T* be an arbitrary MST of *G*.
- If (v_1, v_2) is in *T*, then we are done.
- Otherwise, adding (v_1, v_2) to *T* creates a cycle *C*.
- At least one edge (u₁, u₂) of C other than (v₁, v₂) must be between V₁ and V₂.
- $C(u_1, u_2) \ge C(v_1, v_2).$
- Let $T' = T \cup \{(v_1, v_2)\} \{(u_1, u_2)\}.$
- Then, T' is a spanning tree of G and $c(T') \le c(T)$.
- But c(T) is minimum cost.

Therefore, c(T') = c(T) and T' is a MST containing (v_1, v_2) .

Key Theorem Figure



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Prim's MST Algorithm (1)

```
void Prim(Graph G, int s) { // Prim's MST alg
  int D[G.n()]; int V[G.n()]; // Distances
 for (int i=0; i<G.n(); i++) // Initialize</pre>
   D[i] = INFINITY;
 D[s] = 0;
 for (i=0; i<G.n(); i++) { // Process vertices
   int v = minVertex(G, D);
   G.setMark(v, VISITED);
   if (v != s) AddEdgetoMST(V[v], v);
   if (D[v] == INFINITY) return; //v unreachable
   for (Edge w = each neighbor of v)
     if (D[G.v2(w)] > G.weight(w)) {
       D[G.v2(w)] = G.weight(w); // Update dist
       V[G.v2(w)] = v;
                      // who came from
} } }
```

Prim's MST Algorithm (2)

```
int minVertex(Graph G, int* D) {
  int v; // Initialize v to any unvisited vertex
  for (int i=0; i<G.n(); i++)
    if (G.getMark(i) == UNVISITED)
      { v = i; break; }
  for (i=0; i<G.n(); i++) // Find smallest value
    if ((G.getMark(i)==UNVISITED) && (D[i]<D[v]))
      v = i;
  return v;
}</pre>
```

This is an example of a greedy algorithm.

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Alternative Prim's Implementation (1)

Like Dijkstra's algorithm, can implement with priority queue.

```
void Prim(Graph G, int s) {
 int v;
                        // The current vertex
 int D[G.n()];
                       // Distance array
 int V[G.n()];
                       // Who's closest
 Elem temp;
 Elem E[G.e()]; // Heap array
  temp.distance = 0; temp.vertex = s;
              // Initialize heap array
 E[0] = temp;
 heap H(E, 1, G.e()); // Create the heap
 for (int i=0; i<G.n(); i++) D[i] = INFINITY;
 D[s] = 0;
```

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Alternative Prim's Implementation (2)

```
for (i=0; i<G.n(); i++) \{ // Now build MST
          do { temp = H.removemin(); v = temp.vertex; }
                     while (G.getMark(v) == VISITED);
          G.setMark(v, VISITED);
          if (v != s) AddEdgetoMST(V[v], v);
          if (D[v] == INFINITY) return; // Unreachable
          for (Edge w = each neighbor of v)
                    if (D[G.v2(w)] > G.weight(w)) \{ // Update D
                              D[G.v2(w)] = G.weight(w);
                              V[G.v2(w)] = v; // Who came from
                                temp.distance = D[G.v2(w)];
                                temp.vertex = G.v2(w);
                              H.insert(temp); // Insert dist in heap
                                                                                                                                                                    < ロ > < 同 > < 三 > < 三 > < 三 > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ >
```

Kruskal's MST Algorithm (1)

```
Kruskel(Graph G) { // Kruskal's MST algorithm
  Gentree A(G.n()); // Equivalence class array
  Elem E[G.e()]; // Array of edges for min-heap
  int edgecnt = 0;
  for (int i=0; i<G.n(); i++) // Put edges into E
    for (Edge w = G.first(i);
         G.isEdge(w); w = G.next(w)) 
      E[edgecnt].weight = G.weight(w);
      E[edgecnt++].edge = w;
  heap H(E, edgecnt, edgecnt); // Heapify edges
  int numMST = G.n(); // Init w/ n equiv classes
```

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Kruskal's MST Algorithm (2)

```
for (i=0; numMST>1; i++) { // Combine
 Elem temp = H.removemin(); // Next cheap edge
 Edge w = temp.edge;
 int v = G.v1(w); int u = G.v2(w);
 if (A.differ(v, u)) { // If different
   A.UNION(v, u); // Combine
   AddEdgetoMST(G.v1(w), G.v2(w)); // Add
            // Now one less MST
   numMST - -;
}
```

How do we compute function MSTof(v)? Solution: UNION-FIND algorithm (Section 4.3),

Kruskal's Algorithm Example

Total cost: $\Theta(|V| + |E| \log |E|)$. Initial (B) (C) (D)(E) (F)(A) (E) (A) (B) (F)Step 1 Process edge (C. D) \bigcirc \bigcirc (B) Step 2 (A)E Process edge (E, F) D с (B) Step 3 (A Process edge (C, F) (D ・ロト ・ 同ト ・ ヨト ・ ヨト

Matching

- Suppose there are *n* workers that we want to work in teams of two. Only certain pairs of workers are willing to work together.
- **Problem**: Form as many compatible non-overlapping teams as possible.
- Model using *G*, an undirected graph.
 - ► Join vertices if the workers will work together.
- A **matching** is a set of edges in *G* with no vertex in more than one edge (the edges are independent).
 - A maximal matching has no free pairs of vertices that can extend the matching.
 - A maximum matching has the greatest possible number of edges.
 - A perfect matching includes every vertex.

Very Dense Graphs (1)

Theorem: Let G = (V, E) be an undirected graph with |V| = 2n and every vertex having degree $\ge n$. Then *G* contains a perfect matching.

Proof: Suppose that *G* does not contain a perfect matching.

- Let $M \subseteq E$ be a max matching. |M| < n.
- There must be two unmatched vertices *v*₁, *v*₂ that are not adjacent.
- Every vertex adjacent to v_1 or to v_2 is matched.
- Let M' ⊆ M be the set of edges involved in matching the neighbors of v₁ and v₂.
- There are ≥ 2n edges from v₁ and v₂ to vertices covered by M', but |M'| < n.

Very Dense Graphs (2)

Proof: (continued)

- Thus, some edge of M' is adjacent to 3 edges from v₁ and v₂.
- Let (u_1, u_2) be such an edge.
- Replacing (u_1, u_2) with (v_1, u_2) and (v_2, u_1) results in a larger matching.
- Theorem proven by contradiction.

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- v₁, u₂, u₁, v₂ is a path from an unmatched vertex to an unmatched vertex such that alternate edges are unmatched and matched.
- In one step, switch unmatched and matched edges.
- Let G = (V, E) be an undirected graph and M ⊆ E a matching.
- A path *P* that consists of alternately matched and unmatched edges is called an **alternating path**. An alternating path from one unmatched vertex to another is called an **augmenting path**.

Matching Example





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The Augmenting Path Theorem (1)

Theorem: A matching is maximum iff it has no augmenting paths.

Proof:

- If a matching has augmenting paths, then it is not maximum.
- Suppose *M* is a non-maximum matching.
- Let M' be any maximum matching. Then, |M'| > |M|.
- Let $M \oplus M'$ be the symmetric difference of M and M'.

$$M \oplus M' = M \cup M' - (M \cap M').$$

G' = (V, M⊕M') is a subgraph of G having maximum degree ≤ 2.

The Augmenting Path Theorem (2)

Proof: (continued)

- Therefore, the connected components of *G*' are either even-length cycles or alternating paths.
- Since |M'| > |M|, there must be a component of G' that is an alternating path having more M' edges than M edges.
- This is an augmenting path for *M*.

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Bipartite Matching

- A bipartite graph G = (U, V, E) consists of two disjoint sets of vertices U and V together with edges E such that every edge has an endpoint in U and an endpoint in V.
- Bipartite matching naturally models a number of assignment problems, such as assignment of workers to jobs.
- Augmenting paths will work to find a maximum bipartite matching. An augmenting path always has one end in *U* and the other in *V*.
- If we direct unmatched edges from *U* to *V* and matched edges from *V* to *U*, then a directed path from an unmatched vertex in *U* to an unmatched vertex in *V* is an augmenting path.

Bipartite Matching Example



2, 8, 5, 10 is an augmenting path.

1, 6, 3, 7, 4, 9 and 2, 8, 5, 10 are **disjoint** augmenting paths that we can augment **independently**.

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Algorithm for Maximum Bipartite Matching

Construct BFS subgraph from the set of unmatched vertices in U until a level with unmatched vertices in V is found.

Greedily select a maximal set of disjoint augmenting paths.

Augment along each path independently.

Repeat until no augmenting paths remain.

Time complexity $O((|V| + |E|)\sqrt{|V|})$.

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Network Flows

Models distribution of utilities in networks such as oil pipelines, waters systems, etc. Also, highway traffic flow.

Simplest version:

A <u>**network**</u> is a directed graph G = (V, E) having a distinguished source vertex *s* and a distinguished sink vertex *t*. Every edge (u, v) of *G* has a <u>**capacity**</u> $c(u, v) \ge 0$. If $(u, v) \notin E$, then c(u, v) = 0.

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Network Flow Graph



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Network Flow Definitions

A **<u>flow</u>** in a network is a function $f: V \times V \rightarrow R$ with the following properties.

(i) Skew Symmetry:

 $\forall v, w \in V, \quad f(v, w) = -f(w, v).$

(ii) Capacity Constraint:

 $\forall v, w, \in V, \quad f(v, w) \leq c(v, w).$

If f(v, w) = c(v, w) then (v, w) is <u>saturated</u>. (iii) <u>Flow Conservation</u>:

$$\forall v \in V - \{s, t\}, \quad \sum_{u} f(v, w) = 0.$$
 Equivalently,
 $\forall v \in V - \{s, t\}, \quad \sum_{u} f(u, v) = \sum_{w} f(v, w).$
other words, flow into v equals flow out of v.

Flow Example



$$|f|=\sum_{w\in V}f(s,w)=\sum_{w\in V}f(w,t).$$

Max Flow Problem

Problem: Find a flow of maximum value.

<u>Cut</u> (*X*, *X'*) is a partition of *V* such that $s \in X, t \in X'$.

The **capacity** of a cut is

$$c(X,X')=\sum_{\nu\in X,w\in X'}c(\nu,w).$$

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A min cut is a cut of minimum capacity.

Cut Flows

For any flow *f*, the **flow across a cut** is:

$$f(X,X') = \sum_{v \in X, w \in X'} f(v,w).$$

Lemma: For all flows *f* and all cuts (X, X'), f(X, X') = |f|. **Proof**:

$$f(X, X') = \sum_{v \in X, w \in X'} f(v, w)$$

=
$$\sum_{v \in X, w \in V} f(v, w) - \sum_{v \in X, w \in X} f(v, w)$$

=
$$\sum_{w \in V} f(s, w) - 0$$

=
$$|f|.$$

Corollary: The value of any flow is less than or equal to the capacity of a min cut.

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Residual Graph

Given any flow f, the **residual capacity** of the edge is

$$\operatorname{res}(v,w)=c(v,w)-f(v,w)\geq 0.$$

Residual graph is a network $R = (V, E_R)$ where E_R contains edges of non-zero residual capacity.



Observations

- Any flow in *R* can be added to *F* to obtain a larger flow in *G*.
- In fact, a max flow f' in R plus the flow f (written f + f') is a max flow in G.
- Any path from *s* to *t* in *R* can carry a flow equal to the smallest capacity of any edge on it.
 - Such a path is called an **augmenting path**.
 - ► For example, the path

can carry a flow of 2 units = c(1, 2).

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Max-flow Min-cut Theorem

The following are equivalent:

- (i) *f* is a max flow.
- (ii) f has no augmenting path in R.
- (iii) |f| = c(X, X') for some min cut (X, X').

Proof:

(i) \Rightarrow (ii):

• If *f* has an augmenting path, then *f* is not a max flow.

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Max-flow Min-cut Theorem (2)

(ii) \Rightarrow (iii):

- Suppose *f* has no augmenting path in *R*.
- Let X be the subset of V reachable from s and X' = V X.
- Then $s \in X, t \in X'$, so (X, X') is a cut.
- $\forall v \in X, w \in X', res(v, w) = c(v, w) f(v, w) = 0.$
- $f(X, X') = \sum_{v \in X, w \in X'} f(v, w) = \sum_{v \in X, w \in X'} c(v, w) = c(X, X').$
- By Lemma, |f| = c(X, X') and (X, X') is a min cut.

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Max-flow Min-cut Theorem (3)

 $\text{(iii)}\Rightarrow\text{(i)}$

- Let f be a flow such that |f| = c(X, X') for some (min) cut (X, X').
- By Lemma, all flows f' satisfy $|f'| \le c(X, X') = |f|$.

Thus, *f* is a max flow.

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Max-flow Min-cut Corollary

Corollary: The value of a max flow equals the capacity of a min cut.

This suggests a strategy for finding a max flow.

```
R = G; f = 0;
repeat
  find a path from s to t in R;
  augment along path to get a larger flow f;
  update R for new flow;
until R has no path s to t.
```

This is the Ford-Fulkerson algorithm.

If capacities are all rational, then it always terminates with f equal to max flow.

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Edmonds-Karp Algorithm

For integral capacities.

Select an augmenting path in *R* of minimum length.

Performance: $O(|V|^3)$ where *c* is an upper bound on capacities.

There are numerous other approaches to finding augmenting paths, giving a variety of different algorithms.

Network flow remains an active research area.

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