# CS 5114: Theory of Algorithms 

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## Graph Algorithms

Graphs are useful for representing a variety of concepts:

- Data Structures
- Relationships
- Families
- Communication Networks
- Road Maps


## A Tree Proof

- Definition: A free tree is a connected, undirected graph that has no cycles.
- Theorem: If $T$ is a free tree having $n$ vertices, then $T$ has exactly $n-1$ edges.
- Proof: By induction on $n$.
- Base Case: $n=1$. $T$ consists of 1 vertex and 0 edges.
- Inductive Hypothesis: The theorem is true for a tree having $n-1$ vertices.
- Inductive Step:
- If $T$ has $n$ vertices, then $T$ contains a vertex of degree 1 .
- Remove that vertex and its incident edge to obtain $T^{\prime}$, a free tree with $n-1$ vertices.
- By IH, $T^{\prime}$ has $n-2$ edges.
- Thus, $T$ has $n-1$ edges.


## Graph Traversals

Various problems require a way to traverse a graph - that is, visit each vertex and edge in a systematic way.

Three common traversals:
(1) Eulerian tours

Traverse each edge exactly once
(2) Depth-first search

Keeps vertices on a stack
(3) Breadth-first search

Keeps vertices on a queue

## Eulerian Tours

A circuit that contains every edge exactly once. Example:


Tour: b a f c de.

Example:


No Eulerian tour. How can you tell for sure?

## Eulerian Tour Proof

- Theorem: A connected, undirected graph with $m$ edges that has no vertices of odd degree has an Eulerian tour.
- Proof: By induction on $m$.
- Base Case:
- Inductive Hypothesis:
- Inductive Step:
- Start with an arbitrary vertex and follow a path until you return to the vertex.
- Remove this circuit. What remains are connected components $G_{1}, G_{2}, \ldots, G_{k}$ each with nodes of even degree and $<m$ edges.
- By IH, each connected component has an Eulerian tour.
- Combine the tours to get a tour of the entire graph.


## Depth First Search

```
void DFS(Graph G, int v) { // Depth first search
    PreVisit(G, v); // Take appropriate action
    G.setMark(v, VISITED);
    for (Edge w = each neighbor of v)
        if (G.getMark(G.v2(w)) == UNVISITED)
            DFS(G, G.v2(w));
    PostVisit(G, v); // Take appropriate action
}
```

Initial call: DFS (G,r) where $r$ is the root of the DFS.

Cost: $\Theta(|\mathrm{V}|+|\mathrm{E}|)$.

## Depth First Search Example


(a)

(b)

## DFS Tree

If we number the vertices in the order that they are marked, we get DFS numbers.

Lemma 7.2: Every edge $e \in E$ is either in the DFS tree $T$, or connects two vertices of $G$, one of which is an ancestor of the other in $T$.

Proof: Consider the first time an edge ( $v, w$ ) is examined, with $v$ the current vertex.

- If $w$ is unmarked, then $(v, w)$ is in $T$.
- If $w$ is marked, then $w$ has a smaller DFS number than $v$ AND $(v, w)$ is an unexamined edge of $w$.
- Thus, $w$ is still on the stack. That is, $w$ is on a path from $v$.


## DFS for Directed Graphs

- Main problem: A connected graph may not give a single DFS tree.
- Forward edges: $(1,3)$
- Back edges: $(5,1)$

- Cross edges: $(6,1),(8,7),(9,5),(9,8),(4,2)$
- Solution: Maintain a list of unmarked vertices.
- Whenever one DFS tree is complete, choose an arbitrary unmarked vertex as the root for a new tree.


## Directed Cycles

Lemma 7.4: Let $G$ be a directed graph. $G$ has a directed cycle iff every DFS of $G$ produces a back edge.

## Proof:

(1) Suppose a DFS produces a back edge $(v, w)$.

- $v$ and $w$ are in the same DFS tree, $w$ an ancestor of $v$.
- $(v, w)$ and the path in the tree from $w$ to $v$ form a directed cycle.
(2) Suppose $G$ has a directed cycle $C$.
- Do a DFS on G.
- Let $w$ be the vertex of $C$ with smallest DFS number.
- Let $(v, w)$ be the edge of $C$ coming into $w$.
- $v$ is a descendant of $w$ in a DFS tree.
- Therefore, $(v, w)$ is a back edge.


## Breadth First Search

- Like DFS, but replace stack with a queue.
- Visit vertex's neighbors before going deeper in tree.


## Breadth First Search Algorithm

```
void BFS (Graph G, int start)
    Queue Q(G.n());
    Q.enqueue (start);
    G.setMark (start, VISITED) ;
    while (!Q.isEmpty()) \{
        int \(v=Q\). dequeue ();
        PreVisit(G, v); // Take appropriate action
        for (Edge \(w=\) each neighbor of \(v\) )
        if (G.getMark(G.v2(w)) == UNVISITED) \{
            G.setMark (G.v2 (w) , VISITED) ;
            Q.enqueue (G.v2 (w)) ;
        \}
        PostVisit(G, v); // Take appropriate action
\} \}
```


## Breadth First Search Example



Non-tree edges connect vertices at levels differing by 0 or 1.

## Topological Sort

Problem: Given a set of jobs, courses, etc. with prerequisite constraints, output the jobs in an order that does not violate any of the prerequisites.


## Topological Sort Algorithm

```
void topsort(Graph G) { // Top sort: recursive
    for (int i=O; i<G.n(); i++) // Initialize Mark
        G.setMark(i, UNVISITED);
    for (i=0; i<G.n(); i++) // Process vertices
    if (G.getMark(i) == UNVISITED)
        tophelp(G, i);
                            // Call helper
}
void tophelp(Graph G, int v) { // Helper function
    G.setMark(v, VISITED);
    for (Edge w = each neighbor of v)
        if (G.getMark(G.v2(w)) == UNVISITED)
            tophelp(G, G.v2(w));
    printout(v);
                            // PostVisit for Vertex v
}
```


## Queue-based Topological Sort

```
void topsort(Graph G) { // Top sort: Queue
    Queue Q(G.n()); int Count[G.n()];
    for (int v=0; v<G.n(); v++) Count[v] = 0;
    for (v=0; v<G.n(); v++) // Process every edge
    for (Edge w each neighbor of v)
                Count[G.v2(w)]++; // Add to v2's count
    for (v=O; v<G.n(); v++) // Initialize Queue
    if (Count[v] == O) Q.enqueue(v);
    while (!Q.isEmpty()) { // Process the vertices
    int v = Q.dequeue();
    printout(v); // PreVisit for v
    for (Edge w = each neighbor of v) {
        Count[G.v2(w)]--; // One less prereq
        if (Count[G.v2(w)]==0) Q.enqueue(G.v2(w));
} } }
```


## Shortest Paths Problems

Input: A graph with weights or costs associated with each edge.

Output: The list of edges forming the shortest path.

Sample problems:

- Find the shortest path between two specified vertices.
- Find the shortest path from vertex $S$ to all other vertices.
- Find the shortest path between all pairs of vertices.

Our algorithms will actually calculate only distances.

## Shortest Paths Definitions

$d(A, B)$ is the shortest distance from vertex $A$ to $B$.
$w(A, B)$ is the weight of the edge connecting $A$ to $B$.

- If there is no such edge, then $w(A, B)=\infty$.



## Single Source Shortest Paths

Given start vertex $s$, find the shortest path from $s$ to all other vertices.

Try 1: Visit all vertices in some order, compute shortest paths for all vertices seen so far, then add the shortest path to next vertex $x$.

Problem: Shortest path to a vertex already processed might go through $x$.
Solution: Process vertices in order of distance from $s$.

## Dijkstra's Algorithm Example

|  | A | B | C | D | E |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Initial | 0 | $\infty$ | $\infty$ | $\infty$ | $\infty$ |
| Process A | 0 | 10 | 3 | 20 | $\infty$ |
| Process C | 0 | 5 | 3 | 20 | 18 |
| Process B | 0 | 5 | 3 | 10 | 18 |
| Process D | 0 | 5 | 3 | 10 | 18 |
| Process E | 0 | 5 | 3 | 10 | 18 |



## Dijkstra's Algorithm: Array (1)

```
void Dijkstra(Graph G, int s) \{ // Use array
    int D[G.n()];
    for (int i=0; i<G.n(); i++) // Initialize
        D[i] = INFINITY;
    \(D[s]=0\);
    for (i=0; i<G.n(); i++) \{ // Process vertices
    int \(v=\operatorname{minVertex}(G, D)\);
    if (D[v] == INFINITY) return; // Unreachable
    G.setMark(v, VISITED);
    for (Edge \(w=\) each neighbor of \(v\) )
        if (D[G.v2(w)] > (D[v] + G.weight(w)))
            \(D[G . v 2(w)]=D[v]+G . w e i g h t(w) ;\)
    \}
\}
```


## Dijkstra's Algorithm: Array (2)

```
// Get mincost vertex
int minVertex(Graph G, int* D) {
    int v; // Initialize v to an unvisited vertex;
    for (int i=0; i<G.n(); i++)
    if (G.getMark(i) == UNVISITED)
        { v = i; break; }
    for (i++; i<G.n(); i++) // Find smallest D val
    if ((G.getMark(i)==UNVISITED) && (D[i]<D[v]))
        v = i;
```

    return v;
    \}

Approach 1: Scan the table on each pass for closest vertex. Total cost: $\Theta\left(|\mathrm{V}|^{2}+|\mathrm{E}|\right)=\Theta\left(|\mathrm{V}|^{2}\right)$.

## Dijkstra's Algorithm: Priority Queue (1)

class Elem \{ public: int vertex, dist; \}; int key(Elem x) \{ return x.dist; \}
void Dijkstra(Graph G, int s) \{ // priority queue int v; Elem temp;
int D[G.n()]; Elem E[G.e()];
temp.dist $=0$; temp.vertex $=s ; E[0]=$ temp; heap H(E, 1, G.e()); // Create the heap for (int i=0; i<G.n(); i++) D[i] = INFINITY; D[s] = 0;
for (i=0; i<G.n(); i++) \{ // Get distances
do \{ temp $=$ H.removemin(); $v=$ temp.vertex; \} while (G.getMark(v) == VISITED);
G.setMark(v, VISITED);
if (D[v] == INFINITY) return; // Unreachable

## Dijkstra's Algorithm: Priority Queue (2)

```
for (Edge w = each neighbor of v)
    if (D[G.v2(w)] > (D[v] + G.weight(w))) {
    D[G.v2(w)] = D[v] + G.weight(w);
    temp.dist = D[G.v2(w)];
    temp.vertex = G.v2(w);
    H.insert(temp); // Insert new distance
```

\} \} \}

- Approach 2: Store unprocessed vertices using a min-heap to implement a priority queue ordered by D value. Must update priority queue for each edge.
- Total cost: $\Theta((|\mathrm{V}|+|\mathrm{E}|) \log |\mathrm{V}|)$.


## All Pairs Shortest Paths

- For every vertex $u, v \in \mathrm{~V}$, calculate $\mathrm{d}(u, v)$.
- Could run Dijkstra's Algorithm |V| times.
- Better is Floyd's Algorithm.
- Define a k-path from $u$ to $v$ to be any path whose intermediate vertices all have indices less than $k$.



## Floyd's Algorithm

```
void Floyd(Graph G) \{ // All-pairs shortest paths
    int D[G.n()][G.n()]; // Store distances
    for (int i=0; i<G.n(); i++) // Initialize D
        for (int j=0; j<G.n(); j++)
            \(D[i][j]=\) G.weight (i, j);
    for (int \(k=0 ; k<G . n() ; k++) / /\) Compute \(k\) paths
        for (int i=0; i<G.n(); i++)
        for (int j=0; j<G.n(); j++)
        if (D[i][j] > (D[i][k] \(+\mathrm{D}[k][j])\) )
        \(D[i][j]=D[i][k]+D[k][j] ;\)
\}
```


## Minimum Cost Spanning Trees

Minimum Cost Spanning Tree (MST) Problem:

- Input: An undirected, connected graph G.
- Output: The subgraph of $G$ that
(1) has minimum total cost as measured by summing the values for all of the edges in the subset, and
(2) keeps the vertices connected.



## Key Theorem for MST

Let $V_{1}, V_{2}$ be an arbitrary, non-trivial partition of $V$. Let
$\left(v_{1}, v_{2}\right), v_{1} \in V_{1}, v_{2} \in V_{2}$, be the cheapest edge between $V_{1}$ and $V_{2}$. Then $\left(v_{1}, v_{2}\right)$ is in some MST of $G$.
Proof:

- Let $T$ be an arbitrary MST of $G$.
- If $\left(v_{1}, v_{2}\right)$ is in $T$, then we are done.
- Otherwise, adding $\left(v_{1}, v_{2}\right)$ to $T$ creates a cycle $C$.
- At least one edge $\left(u_{1}, u_{2}\right)$ of $C$ other than $\left(v_{1}, v_{2}\right)$ must be between $V_{1}$ and $V_{2}$.
- $c\left(u_{1}, u_{2}\right) \geq c\left(v_{1}, v_{2}\right)$.
- Let $T^{\prime}=T \cup\left\{\left(v_{1}, v_{2}\right)\right\}-\left\{\left(u_{1}, u_{2}\right)\right\}$.
- Then, $T^{\prime}$ is a spanning tree of $G$ and $c\left(T^{\prime}\right) \leq c(T)$.
- But $c(T)$ is minimum cost.

Therefore, $c\left(T^{\prime}\right)=c(T)$ and $T^{\prime}$ is a MST containing $\left(v_{1}, v_{2}\right)$.

## Key Theorem Figure



## Prim's MST Algorithm (1)

```
void Prim(Graph G, int s) \{ // Prim's MST alg
    int D[G.n()]; int V[G.n()]; // Distances
    for (int i=0; i<G.n(); i++) // Initialize
    \(\mathrm{D}[\mathrm{i}]=\) INFINITY;
    \(\mathrm{D}[\mathrm{s}]=0\);
    for (i=0; i<G.n(); i++) \{ // Process vertices
    int \(v=\operatorname{minVertex}(G, D)\);
    G.setMark(v, VISITED) ;
    if (v ! = s) AddEdgetoMST(V[v], v);
    if (D[v] == INFINITY) return; //v unreachable
    for (Edge \(w=\) each neighbor of \(v\) )
        if (D[G.v2(w)] > G.weight(w)) \{
        \(\mathrm{D}[\mathrm{G} . \mathrm{v} 2(\mathrm{w})]=\mathrm{G}\). weight(w); // Update dist
        \(\mathrm{V}[\mathrm{G} \cdot \mathrm{v} 2(\mathrm{w})]=\mathrm{v}\); // who came from
```

\} \} \}

## Prim's MST Algorithm (2)

```
int minVertex(Graph G, int* D) {
    int v; // Initialize v to any unvisited vertex
    for (int i=0; i<G.n(); i++)
        if (G.getMark(i) == UNVISITED)
        { v = i; break; }
    for (i=0; i<G.n(); i++) // Find smallest value
        if ((G.getMark(i)==UNVISITED) && (D[i]<D[v]))
        v = i;
    return v;
}
```

This is an example of a greedy algorithm.

## Alternative Prim's Implementation (1)

Like Dijkstra's algorithm, can implement with priority queue.

```
void Prim(Graph G, int s) \{
    int v; // The current vertex
    int D[G.n()]; // Distance array
    int V[G.n()]; // Who's closest
    Elem temp;
    Elem E[G.e()]; // Heap array
    temp.distance \(=0\); temp.vertex \(=s\);
    E[O] = temp; // Initialize heap array
    heap \(H(E, 1, G . e()) ; \quad / /\) Create the heap
    for (int i=0; i<G.n(); i++) D[i] = INFINITY;
    \(D[s]=0\);
```


## Alternative Prim's Implementation (2)

```
    for (i=0; i<G.n(); i++) { // Now build MST
    do { temp = H.removemin(); v = temp.vertex; }
        while (G.getMark(v) == VISITED);
    G.setMark(v, VISITED);
    if (v != s) AddEdgetoMST(V[v], v);
    if (D[v] == INFINITY) return; // Unreachable
    for (Edge w = each neighbor of v)
        if (D[G.v2(w)] > G.weight(w)) { // Update D
        D[G.v2(w)] = G.weight(w);
        V[G.v2(w)] = v; // Who came from
        temp.distance = D[G.v2(w)];
        temp.vertex = G.v2(w);
        H.insert(temp); // Insert dist in heap
        }
```

\} \}

## Kruskal's MST Algorithm (1)

Kruskel(Graph G) \{ // Kruskal's MST algorithm Gentree A(G.n()); // Equivalence class array Elem E[G.e()]; // Array of edges for min-heap int edgecnt = 0;
for (int i=0; i<G.n(); i++) // Put edges into E
for (Edge w = G.first(i);
G.isEdge(w); w = G.next(w)) \{

E[edgecnt].weight = G.weight(w);
E[edgecnt++].edge = w;
\}
heap H(E, edgecnt, edgecnt); // Heapify edges int numMST = G.n(); // Init w/ n equiv classes

## Kruskal's MST Algorithm (2)

```
    for (i=0; numMST>1; i++) { // Combine
    Elem temp = H.removemin(); // Next cheap edge
    Edge w = temp.edge;
    int v = G.v1(w); int u = G.v2(w);
    if (A.differ(v, u)) { // If different
        A.UNION(v, u); // Combine
        AddEdgetoMST(G.v1(w), G.v2(w)); // Add
        numMST--; // Now one less MST
    }
    }
}
```

How do we compute function MSTof (v)?
Solution: UNION-FIND algorithm (Section 4.3),

## Kruskal's Algorithm Example

Total cost: $\Theta(|\mathrm{V}|+|\mathrm{E}| \log |\mathrm{E}|)$.


## Matching

- Suppose there are $n$ workers that we want to work in teams of two. Only certain pairs of workers are willing to work together.
- Problem: Form as many compatible non-overlapping teams as possible.
- Model using G, an undirected graph.
- Join vertices if the workers will work together.
- A matching is a set of edges in $G$ with no vertex in more than one edge (the edges are independent).
- A maximal matching has no free pairs of vertices that can extend the matching.
- A maximum matching has the greatest possible number of edges.
- A perfect matching includes every vertex.


## Very Dense Graphs (1)

Theorem: Let $G=(V, E)$ be an undirected graph with $|V|=2 n$ and every vertex having degree $\geq n$. Then $G$ contains a perfect matching.

Proof: Suppose that $G$ does not contain a perfect matching.

- Let $M \subseteq E$ be a max matching. $|M|<n$.
- There must be two unmatched vertices $v_{1}, v_{2}$ that are not adjacent.
- Every vertex adjacent to $v_{1}$ or to $v_{2}$ is matched.
- Let $M^{\prime} \subseteq M$ be the set of edges involved in matching the neighbors of $v_{1}$ and $v_{2}$.
- There are $\geq 2 n$ edges from $v_{1}$ and $v_{2}$ to vertices covered by $M^{\prime}$, but $\left|M^{\prime}\right|<n$.


## Very Dense Graphs (2)

Proof: (continued)

- Thus, some edge of $M^{\prime}$ is adjacent to 3 edges from $v_{1}$ and $v_{2}$.
- Let $\left(u_{1}, u_{2}\right)$ be such an edge.
- Replacing $\left(u_{1}, u_{2}\right)$ with $\left(v_{1}, u_{2}\right)$ and $\left(v_{2}, u_{1}\right)$ results in a larger matching.
- Theorem proven by contradiction.


## Generalizing the Insight



- $v_{1}, u_{2}, u_{1}, v_{2}$ is a path from an unmatched vertex to an unmatched vertex such that alternate edges are unmatched and matched.
- In one step, switch unmatched and matched edges.
- Let $G=(V, E)$ be an undirected graph and $M \subseteq E$ a matching.
- A path $P$ that consists of alternately matched and unmatched edges is called an alternating path. An alternating path from one unmatched vertex to another is called an augmenting path.


## Matching Example



## The Augmenting Path Theorem (1)

Theorem: A matching is maximum iff it has no augmenting paths.

## Proof:

- If a matching has augmenting paths, then it is not maximum.
- Suppose $M$ is a non-maximum matching.
- Let $M^{\prime}$ be any maximum matching. Then, $\left|M^{\prime}\right|>|M|$.
- Let $M \oplus M^{\prime}$ be the symmetric difference of $M$ and $M^{\prime}$.

$$
M \oplus M^{\prime}=M \cup M^{\prime}-\left(M \cap M^{\prime}\right) .
$$

- $G^{\prime}=\left(V, M \oplus M^{\prime}\right)$ is a subgraph of $G$ having maximum degree $\leq 2$.


## The Augmenting Path Theorem (2)

Proof: (continued)

- Therefore, the connected components of $G^{\prime}$ are either even-length cycles or alternating paths.
- Since $\left|M^{\prime}\right|>|M|$, there must be a component of $G^{\prime}$ that is an alternating path having more $M^{\prime}$ edges than $M$ edges.
- This is an augmenting path for $M$.


## Bipartite Matching

- A bipartite graph $G=(U, V, E)$ consists of two disjoint sets of vertices $U$ and $V$ together with edges $E$ such that every edge has an endpoint in $U$ and an endpoint in V.
- Bipartite matching naturally models a number of assignment problems, such as assignment of workers to jobs.
- Augmenting paths will work to find a maximum bipartite matching. An augmenting path always has one end in $U$ and the other in $V$.
- If we direct unmatched edges from $U$ to $V$ and matched edges from $V$ to $U$, then a directed path from an unmatched vertex in $U$ to an unmatched vertex in $V$ is an augmenting path.


## Bipartite Matching Example


$2,8,5,10$ is an augmenting path.
$1,6,3,7,4,9$ and $2,8,5,10$ are disjoint augmenting paths that we can augment independently.

## Algorithm for Maximum Bipartite Matching

Construct BFS subgraph from the set of unmatched vertices in $U$ until a level with unmatched vertices in $V$ is found.

Greedily select a maximal set of disjoint augmenting paths.
Augment along each path independently.
Repeat until no augmenting paths remain.

Time complexity $O((|V|+|E|) \sqrt{|V|})$.

## Network Flows

Models distribution of utilities in networks such as oil pipelines, waters systems, etc. Also, highway traffic flow.

Simplest version:
A network is a directed graph $G=(V, E)$ having a distinguished source vertex $s$ and a distinguished sink vertex $t$. Every edge $(u, v)$ of $G$ has a capacity $c(u, v) \geq 0$. If
$(u, v) \notin E$, then $c(u, v)=0$.

## Network Flow Graph



## Network Flow Definitions

A flow in a network is a function $f: V \times V \rightarrow R$ with the following properties.
(i) Skew Symmetry:

$$
\forall v, w \in V, \quad f(v, w)=-f(w, v)
$$

(ii) Capacity Constraint:

$$
\forall v, w, \in V, \quad f(v, w) \leq c(v, w)
$$

If $f(v, w)=c(v, w)$ then $(v, w)$ is saturated.
(iii) Flow Conservation:

$$
\begin{array}{ll}
\forall v \in V-\{s, t\}, & \sum f(v, w)=0 . \quad \text { Equivalently, } \\
\forall v \in V-\{s, t\}, & \sum_{u} f(u, v)=\sum_{w} f(v, w)
\end{array}
$$

In other words, flow into $v$ equals flow out of $v$.

## Flow Example



Edges are labeled "capacity, flow". +infinity, 13
Can omit edges w/o capacity and non-negative flow. The value of a flow is

$$
|f|=\sum_{w \in V} f(s, w)=\sum_{w \in V} f(w, t) .
$$

## Max Flow Problem

Problem: Find a flow of maximum value.
Cut $\left(X, X^{\prime}\right)$ is a partition of $V$ such that $s \in X, t \in X^{\prime}$.
The capacity of a cut is

$$
c\left(X, X^{\prime}\right)=\sum_{v \in X, w \in X^{\prime}} c(v, w) .
$$

A min cut is a cut of minimum capacity.

## Cut Flows

For any flow $f$, the flow across a cut is:

$$
f\left(X, X^{\prime}\right)=\sum_{v \in X, w \in X^{\prime}} f(v, w)
$$

Lemma: For all flows $f$ and all cuts $\left(X, X^{\prime}\right), f\left(X, X^{\prime}\right)=|f|$. Proof:

$$
\begin{aligned}
f\left(X, X^{\prime}\right) & =\sum_{v \in X, w \in X^{\prime}} f(v, w) \\
& =\sum_{v \in X, w \in V} f(v, w)-\sum_{v \in X, w \in X} f(v, w) \\
& =\sum_{w \in V} f(s, w)-0 \\
& =|f|
\end{aligned}
$$

Corollary: The value of any flow is less than or equal to the capacity of a min cut.

## Residual Graph

Given any flow $f$, the residual capacity of the edge is

$$
r e s(v, w)=c(v, w)-f(v, w) \geq 0
$$

Residual graph is a network $R=\left(V, E_{R}\right)$ where $E_{R}$ contains edges of non-zero residual capacity.


## Observations

(1) Any flow in $R$ can be added to $F$ to obtain a larger flow in $G$.
(2) In fact, a max flow $f^{\prime}$ in $R$ plus the flow $f$ (written $f+f^{\prime}$ ) is a max flow in $G$.
(3) Any path from $s$ to $t$ in $R$ can carry a flow equal to the smallest capacity of any edge on it.

- Such a path is called an augmenting path.
- For example, the path

$$
s, 1,2, t
$$

can carry a flow of 2 units $=c(1,2)$.

## Max-flow Min-cut Theorem

The following are equivalent:
(i) $f$ is a max flow.
(ii) $f$ has no augmenting path in $R$.
(iii) $|f|=c\left(X, X^{\prime}\right)$ for some min cut $\left(X, X^{\prime}\right)$.

## Proof:

(i) $\Rightarrow$ (ii):

- If $f$ has an augmenting path, then $f$ is not a max flow.


## Max-flow Min-cut Theorem (2)

(ii) $\Rightarrow$ (iii):

- Suppose $f$ has no augmenting path in $R$.
- Let $X$ be the subset of $V$ reachable from $s$ and $X^{\prime}=V-X$.
- Then $s \in X, t \in X^{\prime}$, so $\left(X, X^{\prime}\right)$ is a cut.
- $\forall v \in X, w \in X^{\prime}, r e s(v, w)=c(v, w)-f(v, w)=0$.
- $f\left(X, X^{\prime}\right)=\sum_{v \in X, w \in X^{\prime}} f(v, w)=$
$\sum_{v \in X, w \in X^{\prime}} c(v, w)=c\left(X, X^{\prime}\right)$.
- By Lemma, $|f|=c\left(X, X^{\prime}\right)$ and $\left(X, X^{\prime}\right)$ is a min cut.


## Max-flow Min-cut Theorem (3)

(iii) $\Rightarrow$ (i)

- Let $f$ be a flow such that $|f|=c\left(X, X^{\prime}\right)$ for some (min) cut $\left(X, X^{\prime}\right)$.
- By Lemma, all flows $f^{\prime}$ satisfy $\left|f^{\prime}\right| \leq c\left(X, X^{\prime}\right)=|f|$.

Thus, $f$ is a max flow.

## Max-flow Min-cut Corollary

Corollary: The value of a max flow equals the capacity of a min cut.
This suggests a strategy for finding a max flow.

```
R=G; f = 0;
repeat
    find a path from s to t in R;
    augment along path to get a larger flow f;
    update R for new flow;
until R has no path s to t.
```

This is the Ford-Fulkerson algorithm.
If capacities are all rational, then it always terminates with $f$ equal to max flow.

## Edmonds-Karp Algorithm

For integral capacities.

Select an augmenting path in $R$ of minimum length.
Performance: $O\left(|V|^{3}\right)$ where $c$ is an upper bound on capacities.

There are numerous other approaches to finding augmenting paths, giving a variety of different algorithms.

Network flow remains an active research area.

