# CS 4104: Data and Algorithm Analysis 

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## A General Model

Want a general model of computation that is as simple as possible.

- Wish to be able to reason about the model.
- "State machines" are simple.

Necessary features:

- Read
- Write
- Compute


## Turing Machines (1)

A tape, divided into squares.
"States"

A single I/O head:

- Read current symbol
- Change current symbol

Control Unit Actions:

- Put the control unit into a new state.
- Either:
(1) Write a symbol in current tape square.
(2) Move I/O head one square left or right.


## Turing Machines (2)

Tape has a fixed left end, infinite right end.

- Machine ceases to operate if head moves off left end.
- By convention, input is placed on left end of tape.

A halt state ( $h$ ) signals end of computation.
"\#" indicates a blank tape square.

## Formal definition of Turing Machine

A Turing Machine is a quadruple ( $K, \Sigma, \delta, s$ ) where

- $K$ is a finite set of states (not including $h$ ).
- $\Sigma$ is an alphabet (containing \#, not $L$ or $R$ ).
- $s \in K$ is the initial state.
- $\delta$ is a function from $K \times \Sigma$ to $(K \cup\{h\}) \times(\Sigma \cup\{L, R\})$.

If $q \in K, a \in \Sigma$ and $\delta(q, a)=(p, b)$, then when in state $q$ and scanning $a$, enter state $p$ and
(1) If $b \in \Sigma$ then replace $a$ with $b$.
(2) Else ( $b$ is $L$ or $R$ ): move head.

## Turing Machine Example 1

$M=(K, \Sigma, \delta, s)$ where

- $K=\left\{q_{0}, q_{1}\right\}$,
- $\Sigma=\{a, \#\}$,
- $s=q_{0}$,

| $q$ | $\sigma$ | $\delta(q, \sigma)$ |
| :---: | :---: | :---: |
| $q_{0}$ | $a$ | $\left(q_{1}, \#\right)$ |

- $\delta=q_{0} \#(h, \#)$
$q_{1} \quad a \quad\left(q_{0}, a\right)$
$q_{1} \#\left(q_{0}, R\right)$


## Turing Machine Example 2

$M=(K, \Sigma, \delta, s)$ where

- $K=\left\{q_{0}\right\}$,
- $\Sigma=\{a, \#\}$,
- $s=q_{0}$,
- $\delta=$| $\begin{array}{lll}q & \sigma & \delta(q, \sigma) \\ q_{0} & a & \left(q_{0}, L\right) \\ q_{0} & \# & (h, \#)\end{array}$ |
| :--- | :--- | :--- |


## Notation

Configuration: (q, aaba\#\#a)

Halted configuration: $q$ is $h$.

Hanging configuration: Move left from leftmost square.

A computation is a sequence of configurations for some $n \geq 0$. Such a computation is of length $n$.

## Execution

## Execution on first machine example.

$$
\begin{aligned}
\left(q_{0}, \text { aaaa }\right) & \vdash_{M} \\
& \left(q_{1}, \# \text { aaa }\right) \\
& \vdash_{M} \\
& \left(q_{0}, \# \text { aaa }\right) \\
& \vdash_{M} \\
& \left(q_{1}, \# \# a a\right) \\
& \vdash_{M} \\
& \left(q_{0}, \# \# a a\right) \\
& \vdash_{M}\left(q_{1}, \# \# \# a\right) \\
& \vdash_{M}\left(q_{1}, \# \# \# a\right) \\
& \vdash_{M}\left(q_{0}, \# \# \# \# \# \#\right) \\
& \vdash_{M}(h, \# \# \# \# \#)
\end{aligned}
$$

## Computations

- $M$ is said to halt on input $w$ iff $(s, \# w \#)$ yields some halted configuration.
- $M$ is said to hang on input $w$ if ( $s, \# w \#$ ) yields some hanging configuration.
- Turing machines compute functions from strings to strings.
- Formally: Let $f$ be a function from $\Sigma_{0}^{*}$ to $\Sigma_{1}^{*}$. Turing machine $M$ is said to compute $f$ if for any $w \in \Sigma_{0}^{*}$, if $f(w)=u$ then

$$
(s, \# w \#) \vdash_{M}^{*}(h, \# u \#) .
$$

- $f$ is said to be a Turing-computable function.
- Multiple parameters: $f\left(w_{1}, \ldots, w_{k}\right)=u$, $\left(s, \# w_{1} \# w_{2} \# \ldots \# w_{k} \#\right) \vdash_{M}^{*}(h, \# u \#)$.


## Functions on Natural Numbers

- Represent numbers in unary notation on symbol I (zero is represented by the empty string).
- $f: \mathbb{N} \rightarrow \mathbb{N}$ is computed by $M$ if $M$ computes $f^{\prime}:\{I\}^{*} \rightarrow\{I\}^{*}$ where $f^{\prime}\left(I^{n}\right)=I^{f(n)}$ for each $n \in \mathbb{N}$.
- Example: $f(n)=n+1$ for each $n \in \mathbb{N}$.

| $q$ | $\sigma$ | $\delta(q, \sigma)$ |
| :--- | :--- | :--- |
| $q_{0}$ | $l$ | $(h, R)$ |

$q_{0} \#\left(q_{0}, l\right)$

$$
\left(q_{0}, \# I I \#\right) \vdash_{M}\left(q_{0}, \# I I I\right) \vdash_{M}(h, \# I I I \#) .
$$

- In general, $\left(q_{0}, \# I^{n} \#\right) \vdash_{M}^{*}\left(h, \# I^{n+1} \#\right)$.
- What about $n=0$ ?


## Turing-decidable Languages

A language $L \subset \Sigma_{0}^{*}$ is Turing-decidable iff function
$\chi_{L}: \Sigma_{0}^{*} \rightarrow\{\mathrm{Y}, \mathrm{N}\}$ is Turing-computable, where for each $w \in \Sigma_{0}^{*}$,

$$
\chi_{L}(w)= \begin{cases}\boxed{Y} & \text { if } w \in L \\ \overline{\mathrm{~N}} & \text { otherwise }\end{cases}
$$

Ex: Let $\Sigma_{0}=\{a\}$, and let $L=\left\{w \in \Sigma_{0}^{*}:|w|\right.$ is even $\}$.
$M$ erases the marks from right to left, with current parity encode by state. Once blank at left is reached, mark Y or N as appropriate.

## Turing-acceptable Languages

$M$ accepts a string $w$ if $M$ halts on input $w$.

- $M$ accepts a language iff $M$ halts on $w$ iff $w \in L$.
- A language is Turing-acceptable if there is some Turing machine that accepts it.

Ex: $\Sigma_{0}=\{a, b\}, L=\left\{w \in \Sigma_{0}^{*}: w\right.$ contains at least one $\left.a\right\}$.

| $q$ | $\sigma$ | $\delta(q, \sigma)$ |
| :---: | :---: | :---: |
| $q_{0}$ | $a$ | $(h, a)$ |
| $q_{0}$ | $b$ | $\left(q_{0}, L\right)$ |
| $q_{0}$ | $\#$ | $\left(q_{0}, L\right)$ |

Every Turing-decidable language is Turing-acceptable.

## Combining Turing Machines

Lemma: If

$$
\left(q_{1}, w_{1} \underline{a_{1}} u_{1}\right) \vdash_{M}^{*}\left(q_{2}, w w_{2} \underline{a_{2}} u_{2}\right)
$$

for string $w$ and

$$
\left(q_{2}, w_{2} \underline{a}_{2} u_{2}\right) \vdash_{M}^{*}\left(q_{3}, w_{3} \underline{a_{3}} u_{3}\right),
$$

then

$$
\left(q_{1}, w_{1} \underline{a_{1}} u_{1}\right) \vdash_{M}^{*}\left(q_{3}, w w_{3} \underline{a_{3}} u_{3}\right) .
$$

Insight: Since $\left(q_{2}, w_{2} a_{2} u_{2}\right) \vdash_{M}^{*}\left(q_{3}, w_{3} a_{3} u_{3}\right)$, this computation must take place without moving the head left of $w_{2}$

- The machine cannot "sense" the left end of the tape


## Combining Turing Machines (Cont)

Thus, the head won't move left of $w_{2}$ even if it is not at the left end of the tape.

This means that Turing machine computations can be combined into larger machines:

- $M_{2}$ prepares string as input to $M_{1}$.
- $M_{2}$ passes control to $M_{1}$ with I/O head at end of input.
- $M_{2}$ retrieves control when $M_{1}$ has completed.


## Some Simple Machines

Basic machines:

- $|\Sigma|$ symbol-writing machines (one for each symbol).
- Head-moving machines R and L move the head appropriately.
More machines:
- First do $M_{1}$, then do $M_{2}$ or $M_{3}$ depending on current symbol.
- (For $\Sigma=\{a, b, c\})$ Move head to the right until a blank is found.
- Find first blank square to left: $L_{\#}$
- Copy Machine: Transform \#w\# into \#w\#w\#.
- Shift a string left or right.


## Extensions

The following extensions do not increase the power of Turing Machines.

- 2-way infinite tape
- Multiple tapes
- Multiple heads on one tape
- Two-dimensional "tape"
- Non-determinism

