

Divide and Conquer Algorithms

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Divide and Conquer Algorithms

- ▶ Study three divide and conquer algorithms:
 - ▶ Counting inversions.
 - ▶ Finding the closest pair of points.
 - ▶ Integer multiplication.
- ▶ First two problems use clever conquer strategies.
- ▶ Third problem uses a clever divide strategy.

Motivation

- ▶ Collaborative filtering: match one user's preferences to those of other users, e.g., music.
- ▶ Meta-search engines: merge results of multiple search engines to into a better search result.

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- ▶ Collaborative filtering: match one user's preferences to those of other users, e.g., music.
- ▶ Meta-search engines: merge results of multiple search engines to into a better search result.
- ▶ Fundamental question: how do we compare a pair of rankings?
 - ▶ My ranking of songs: ordered list of integers from 1 to n .
 - ▶ Your ranking of songs: a_1, a_2, \dots, a_n , a permutation of the integers from 1 to n .

1	2	3	4	5	6	7	8	9	10	11	12
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4	1	2	6	8	5	3	9	7	11	12	10
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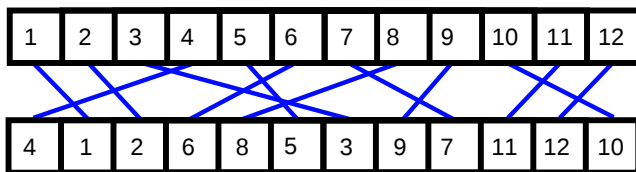
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Comparing Rankings



- ▶ Suggestion: two rankings of songs are very similar if they have few inversions.
 - ▶ The second ranking has an *inversion* if there exist i, j such that $i < j$ but $a_i > a_j$.
 - ▶ The number of inversions s is a measure of the difference between the rankings.
- ▶ Question also arises in statistics: *Kendall's rank correlation* of two lists of numbers is $1 - 2s / (n(n - 1))$.

Counting Inversions

COUNT INVERSIONS

INSTANCE: A list $L = x_1, x_2, \dots, x_n$ of distinct integers between 1 and n .

SOLUTION: The number of pairs (i, j) , $1 \leq i < j \leq n$ such $x_i > x_j$.

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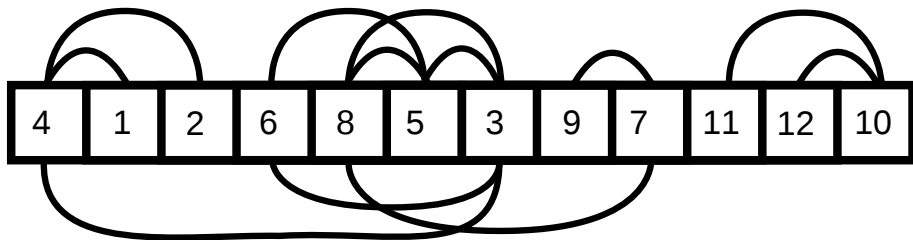
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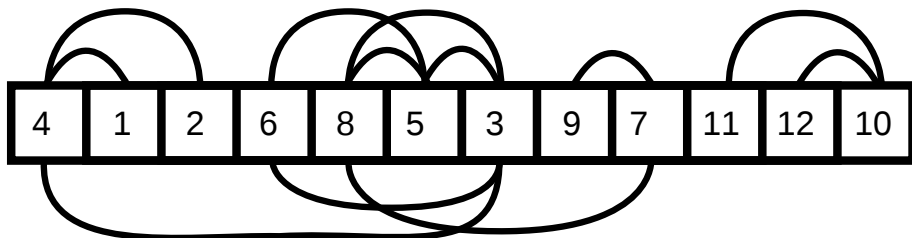
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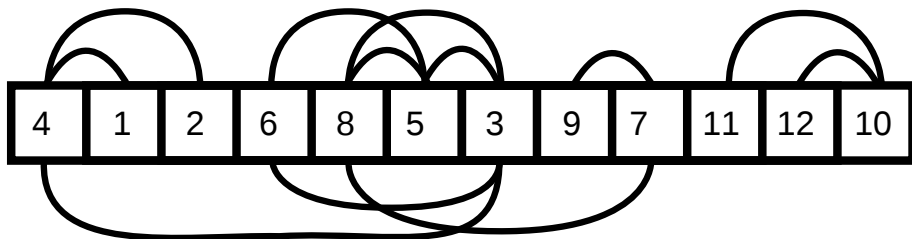
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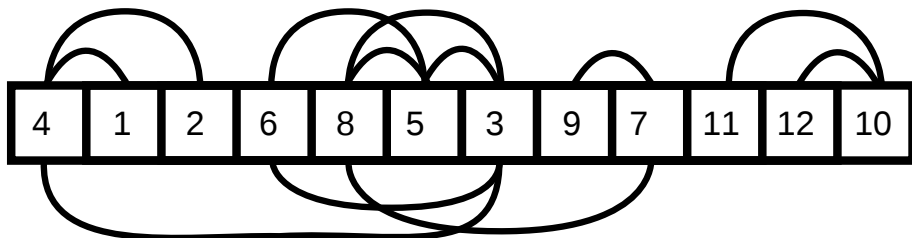
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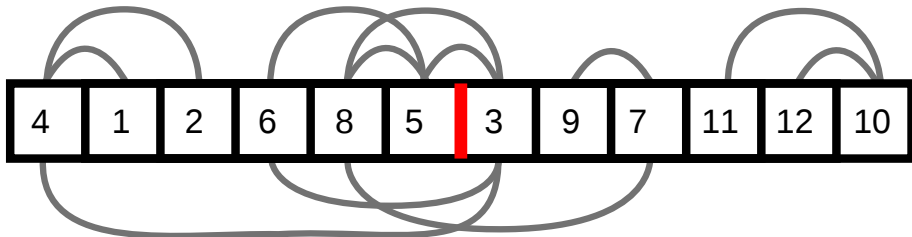
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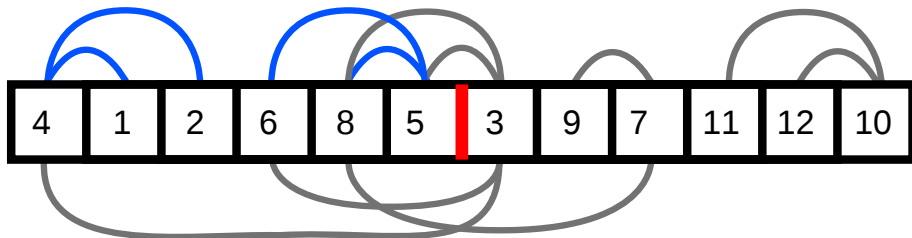
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- ▶ Candidate algorithm:
 1. Partition L into two lists A and B of size $n/2$ each.
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 4. Count the number of inversions involving one element in A and one element in B .



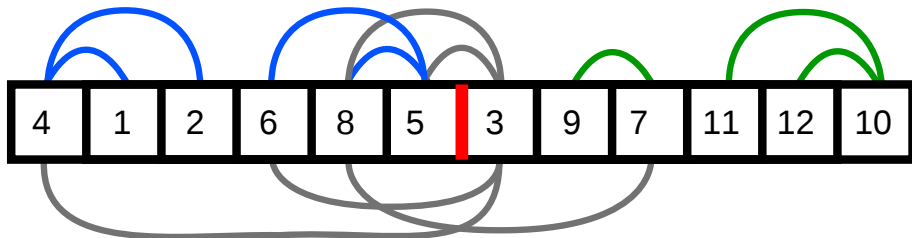
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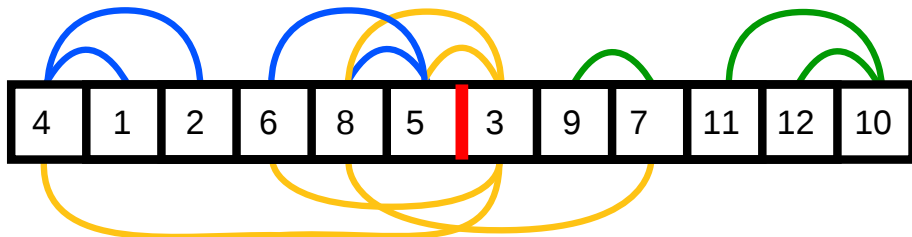
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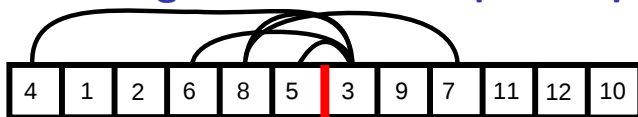


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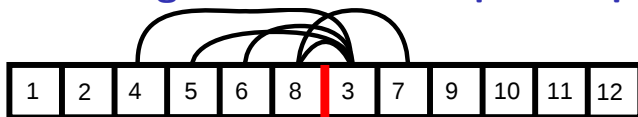


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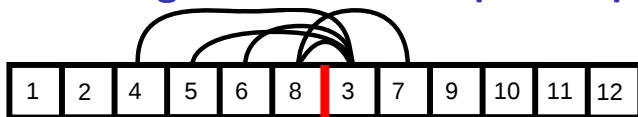
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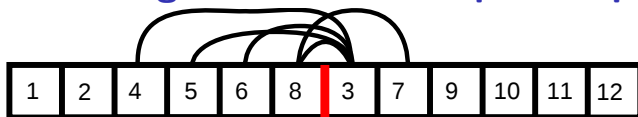
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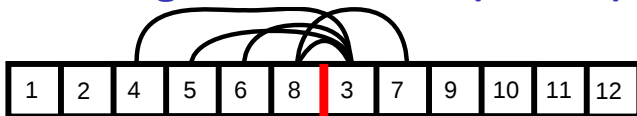
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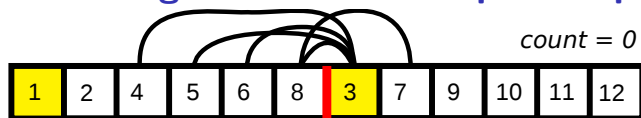
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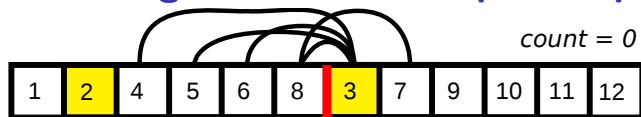
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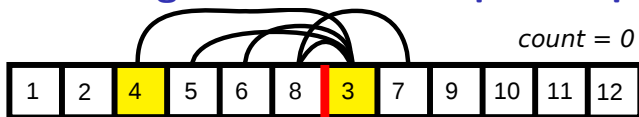
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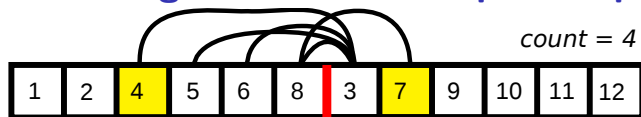
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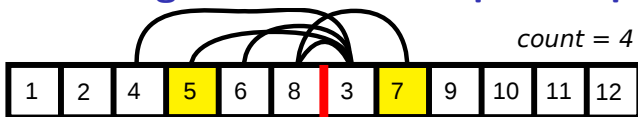
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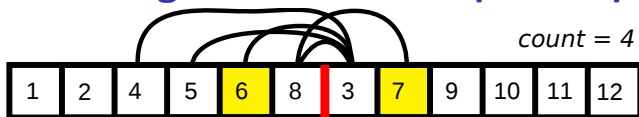
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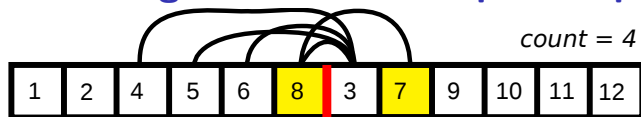
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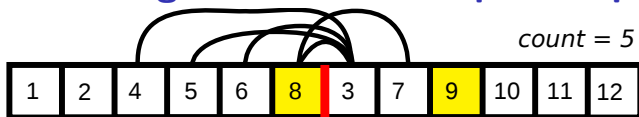
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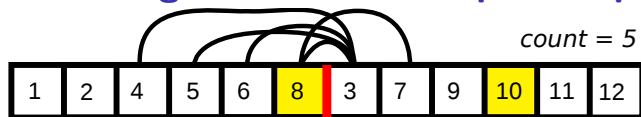
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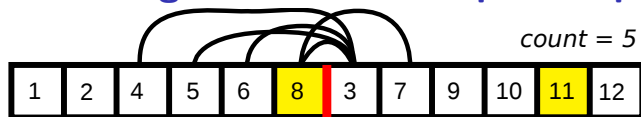
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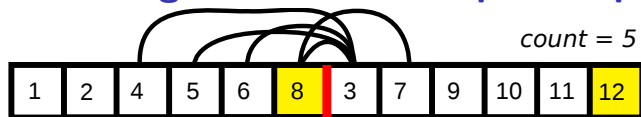
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Counting Inversions: Final Algorithm

Sort-and-Count(L)

 If the list has one element then
 there are no inversions

Else

 Divide the list into two halves:

A contains the first $\lfloor n/2 \rfloor$ elements

B contains the remaining $\lfloor n/2 \rfloor$ elements

$(r_A, A) = \text{Sort-and-Count}(A)$

$(r_B, B) = \text{Sort-and-Count}(B)$

$(r, L) = \text{Merge-and-Count}(A, B)$

Endif

Return $r = r_A + r_B + r$, and the sorted list L

Counting Inversions: Final Algorithm

Sort-and-Count(L)

 If the list has one element then
 there are no inversions

Else

 Divide the list into two halves:

A contains the first $\lfloor n/2 \rfloor$ elements

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- ▶ Running time $T(n)$ of the algorithm is $O(n \log n)$ because $T(n) \leq 2T(n/2) + O(n)$.

Counting Inversions: Correctness of Sort-and-Count

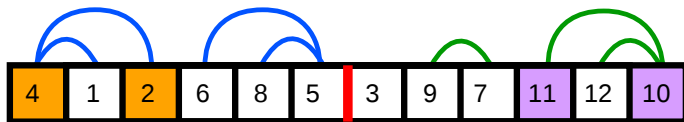
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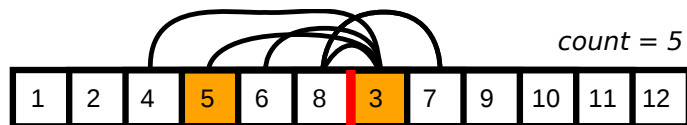
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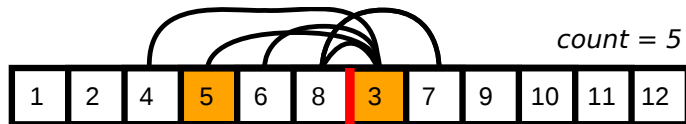
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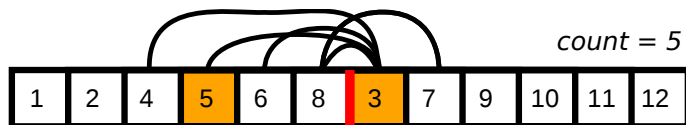
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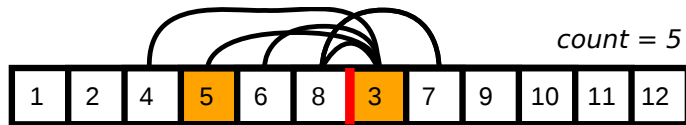
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 - ▶ Why is no non-inversion counted, i.e., **Why does every pair counted correspond to an inversion?** When x_l is output, it is smaller than all remaining elements in A , since A is sorted.



Integer Multiplication

MULTIPLY INTEGERS

INSTANCE: Two n -digit binary integers x and y

SOLUTION: The product xy

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	$\times 1101$
	<hr/>
	1100
	0000
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(b)	

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 - ▶ Compute x_1y_1 , x_0y_0 and $(x_0 + x_1)(y_0 + y_1)$ recursively and then compute $(x_1y_0 + x_0y_1)$ by subtraction.
 - ▶ We have **three** sub-problems of size $n/2$.
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$$\begin{aligned} T(n) &\leq 3T(n/2) + cn \\ &\leq O(n^{\log_2 3}) = O(n^{1.59}) \end{aligned}$$

Final Algorithm

Recursive-Multiply(x, y):

 Write $x = x_1 \cdot 2^{n/2} + x_0$

$y = y_1 \cdot 2^{n/2} + y_0$

 Compute $x_1 + x_0$ and $y_1 + y_0$

$p = \text{Recursive-Multiply}(x_1 + x_0, y_1 + y_0)$

$x_1 y_1 = \text{Recursive-Multiply}(x_1, y_1)$

$x_0 y_0 = \text{Recursive-Multiply}(x_0, y_0)$

 Return $x_1 y_1 \cdot 2^n + (p - x_1 y_1 - x_0 y_0) \cdot 2^{n/2} + x_0 y_0$

Computational Geometry

- ▶ Algorithms for geometric objects: points, lines, segments, triangles, spheres, polyhedra, Idots.
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- ▶ At first glance, it seems any algorithm must take $\Omega(n^2)$ time.
- ▶ Shamos and Hoey figured out an ingenious $O(n \log n)$ divide and conquer algorithm.

Closest Pair: Set-up

- ▶ Let $P = \{p_1, p_2, \dots, p_n\}$ with $p_i = (x_i, y_i)$.
- ▶ Use $d(p_i, p_j)$ to denote the Euclidean distance between p_i and p_j . For a specific pair of points, can compute $d(p_i, p_j)$ in $O(1)$ time.
- ▶ Goal: find the pair of points p_i and p_j that minimise $d(p_i, p_j)$.

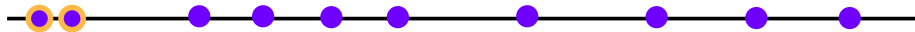
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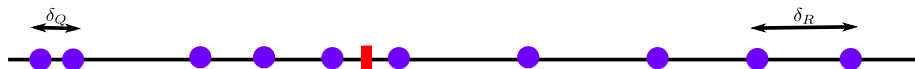
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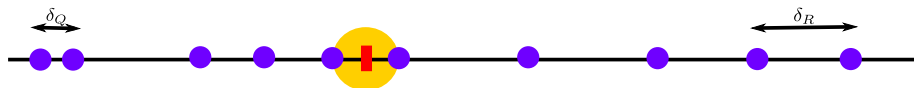
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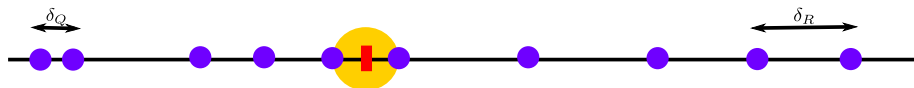
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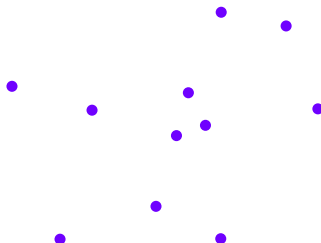
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- ▶ Generalize the second idea to 2D.



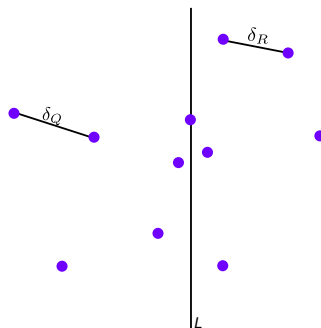
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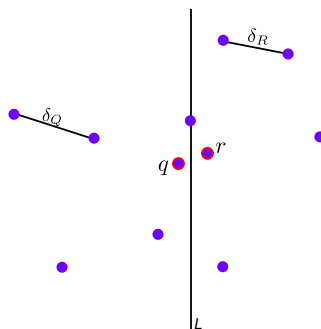
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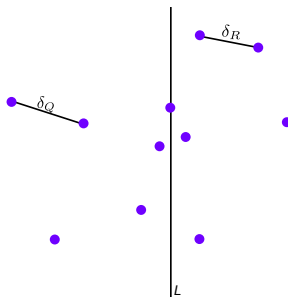
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3. Let δ_Q be the distance computed for Q , δ_R be the distance computed for R , and $\delta = \min(\delta_Q, \delta_R)$.
4. Compute pair (q, r) of points such that $q \in Q$, $r \in R$, $d(q, r) < \delta$ and $d(q, r)$ is the smallest possible.



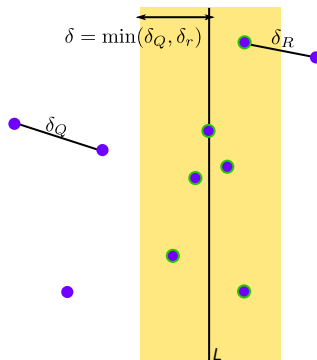
Closest Pair: Proof Sketch

- ▶ Prove by induction: Let (s, t) be the closest pair.
 - (i) both are in Q : computed correctly by recursive call.
 - (ii) both are in R : computed correctly by recursive call.
 - (iii) one is in Q and the other is in R : computed correctly in $O(n)$ time by the procedure we will discuss.
- ▶ Strategy: Pairs of points for which we do not compute the distance between cannot be the closest pair.
- ▶ Overall running time is $O(n \log n)$.



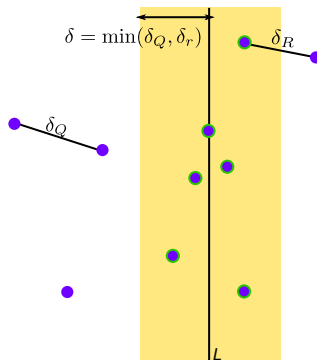
Closest Pair: Conquer Step

- ▶ Line L passes through right-most point in Q .
- ▶ Let S be the set of points within distance δ of L . (In image, $\delta = \delta_R$.)



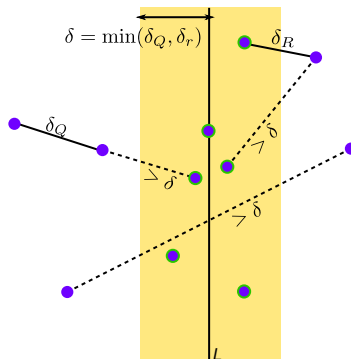
Closest Pair: Conquer Step

- ▶ Line L passes through right-most point in Q .
- ▶ Let S be the set of points within distance δ of L . (In image, $\delta = \delta_R$.)
- ▶ Claim: There exist $q \in Q$, $r \in R$ such that $d(q, r) < \delta$ if and only if $q, r \in S$.



Closest Pair: Conquer Step

- ▶ Line L passes through right-most point in Q .
- ▶ Let S be the set of points within distance δ of L . (In image, $\delta = \delta_R$.)
- ▶ Claim: There exist $q \in Q$, $r \in R$ such that $d(q, r) < \delta$ if and only if $q, r \in S$.
- ▶ Corollary: If $t \in Q - S$ or $u \in R - S$, then (t, u) cannot be the closest pair.

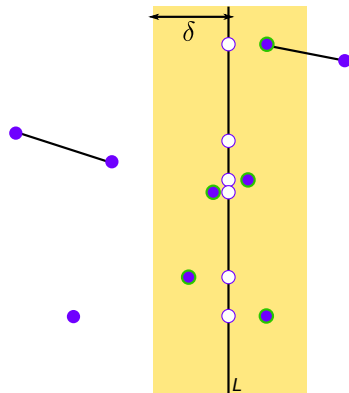


Closest Pair: Packing Argument

- ▶ Intuition: “too many” points in S that are closer than δ to each other \Rightarrow there must be a pair in Q or in R that are less than δ apart.

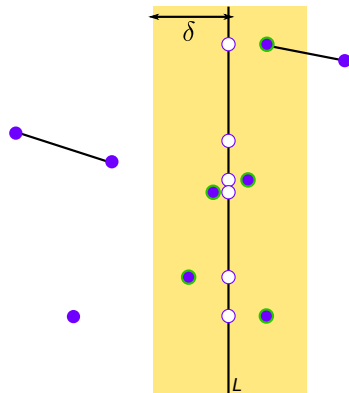
Closest Pair: Packing Argument

- ▶ Intuition: “too many” points in S that are closer than δ to each other \Rightarrow there must be a pair in Q or in R that are less than δ apart.
- ▶ Let S_y denote the set of points in S sorted by increasing y -coordinate and let s_y denote the y -coordinate of a point $s \in S$.



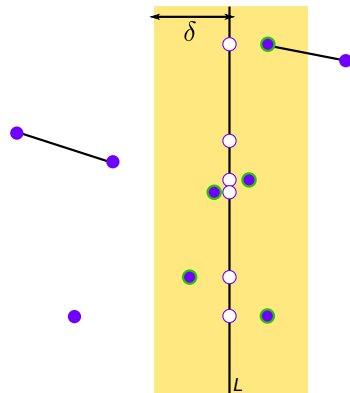
Closest Pair: Packing Argument

- ▶ Intuition: “too many” points in S that are closer than δ to each other \Rightarrow there must be a pair in Q or in R that are less than δ apart.
- ▶ Let S_y denote the set of points in S sorted by increasing y -coordinate and let s_y denote the y -coordinate of a point $s \in S$.
- ▶ Claim: If there exist $s, s' \in S$ such that $d(s, s') < \delta$ then s and s' are at most 15 indices apart in S_y .



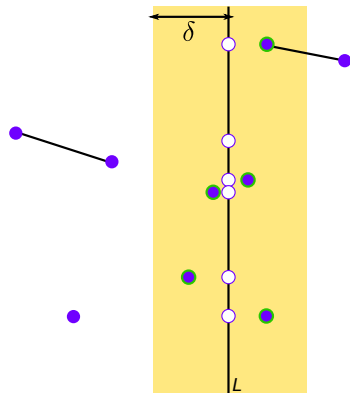
Closest Pair: Packing Argument

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- ▶ Claim: If there exist $s, s' \in S$ such that $d(s, s') < \delta$ then s and s' are at most 15 indices apart in S_y .
- ▶ Converse of the claim: If there exist $s, s' \in S$ such that s' appears 16 or more indices after s in S_y , then $s'_y - s_y \geq \delta$.



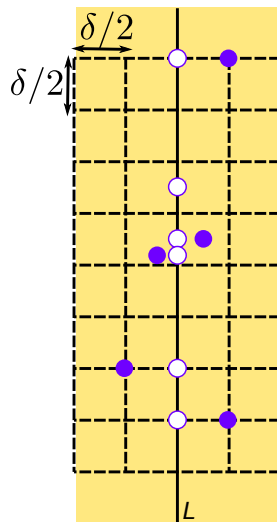
Closest Pair: Packing Argument

- ▶ Intuition: “too many” points in S that are closer than δ to each other \Rightarrow there must be a pair in Q or in R that are less than δ apart.
- ▶ Let S_y denote the set of points in S sorted by increasing y -coordinate and let s_y denote the y -coordinate of a point $s \in S$.
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- ▶ Converse of the claim: If there exist $s, s' \in S$ such that s' appears 16 or more indices after s in S_y , then $s'_y - s_y \geq \delta$.
- ▶ Use the claim in the algorithm: For every point $s \in S_y$, compute distances only to the next 15 points in S_y .
- ▶ Other pairs of points cannot be candidates for the closest pair.



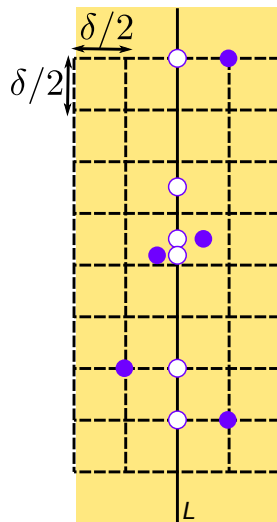
Closest Pair: Proof of Packing Argument

- Claim: If there exist $s, s' \in S$ such that s' appears 16 or more indices after s in S_y , then $s'_y - s_y \geq \delta$.



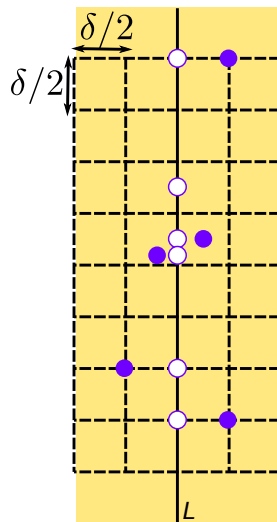
Closest Pair: Proof of Packing Argument

- ▶ Claim: If there exist $s, s' \in S$ such that s' appears 16 or more indices after s in S_y , then $s'_y - s_y \geq \delta$.
- ▶ Pack the plane with squares of side $\delta/2$.



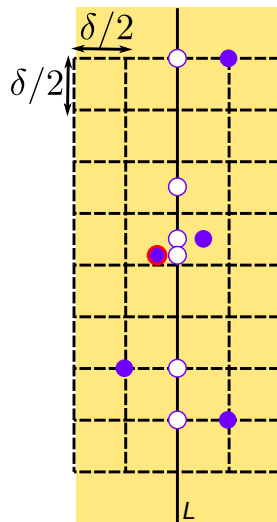
Closest Pair: Proof of Packing Argument

- ▶ Claim: If there exist $s, s' \in S$ such that s' appears 16 or more indices after s in S_y , then $s'_y - s_y \geq \delta$.
- ▶ Pack the plane with squares of side $\delta/2$.
- ▶ Each square contains at most one point.



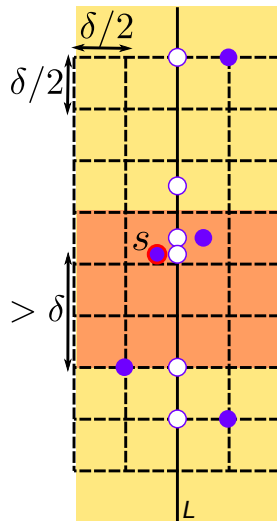
Closest Pair: Proof of Packing Argument

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- ▶ Pack the plane with squares of side $\delta/2$.
- ▶ Each square contains at most one point.
- ▶ Let s lie in one of the squares.



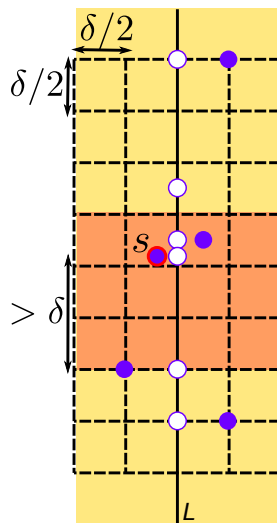
Closest Pair: Proof of Packing Argument

- ▶ Claim: If there exist $s, s' \in S$ such that s' appears 16 or more indices after s in S_y , then $s'_y - s_y \geq \delta$.
- ▶ Pack the plane with squares of side $\delta/2$.
- ▶ Each square contains at most one point.
- ▶ Let s lie in one of the squares.
- ▶ Any point in the third row of the packing below s has a y -coordinate at least δ more than s_y .



Closest Pair: Proof of Packing Argument

- ▶ Claim: If there exist $s, s' \in S$ such that s' appears 16 or more indices after s in S_y , then $s'_y - s_y \geq \delta$.
- ▶ Pack the plane with squares of side $\delta/2$.
- ▶ Each square contains at most one point.
- ▶ Let s lie in one of the squares.
- ▶ Any point in the third row of the packing below s has a y-coordinate at least δ more than s_y .
- ▶ We get a count of 12 or more indices (textbook says 16).



Closest Pair: Final Algorithm

```

Closest-Pair( $P$ )
  Construct  $P_x$  and  $P_y$  ( $O(n \log n)$  time)
   $(p_0^*, p_1^*) = \text{Closest-Pair-Rec}(P_x, P_y)$ 

Closest-Pair-Rec( $P_x, P_y$ )
  If  $|P| \leq 3$  then
    find closest pair by measuring all pairwise distances
  Endif

  Construct  $Q_x, Q_y, R_x, R_y$  ( $O(n)$  time)
   $(q_0^*, q_1^*) = \text{Closest-Pair-Rec}(Q_x, Q_y)$ 
   $(r_0^*, r_1^*) = \text{Closest-Pair-Rec}(R_x, R_y)$ 

   $\delta = \min(d(q_0^*, q_1^*), d(r_0^*, r_1^*))$ 
   $x^* = \text{maximum } x\text{-coordinate of a point in set } Q$ 
   $L = \{(x, y) : x = x^*\}$ 
   $S = \text{points in } P \text{ within distance } \delta \text{ of } L.$ 

  Construct  $S_y$  ( $O(n)$  time)
  For each point  $s \in S_y$ , compute distance from  $s$ 
    to each of next 15 points in  $S_y$ 
    Let  $s, s'$  be pair achieving minimum of these distances
    ( $O(n)$  time)

  If  $d(s, s') < \delta$  then
    Return  $(s, s')$ 
  Else if  $d(q_0^*, q_1^*) < d(r_0^*, r_1^*)$  then
    Return  $(q_0^*, q_1^*)$ 
  Else
    Return  $(r_0^*, r_1^*)$ 
  Endif

```

Closest Pair: Final Algorithm

Closest-Pair(P)

Construct P_x and P_y ($O(n \log n)$ time)

$(p_0^*, p_1^*) = \text{Closest-Pair-Rec}(P_x, P_y)$

Closest-Pair-Rec(P_x, P_y)

If $|P| \leq 3$ then

find closest pair by measuring all pairwise distances

Endif

Construct Q_x, Q_y, R_x, R_y ($O(n)$ time)

$(q_0^*, q_1^*) = \text{Closest-Pair-Rec}(Q_x, Q_y)$

$(r_0^*, r_1^*) = \text{Closest-Pair-Rec}(R_x, R_y)$

$\delta = \min(d(q_0^*, q_1^*), d(r_0^*, r_1^*))$

$x^* = \text{maximum } x\text{-coordinate of a point in set } Q$

return (q_0^*, q_1^*) if $x(q_0^*) < x^*$
 return (r_0^*, r_1^*) otherwise

Closest Pair: Final Algorithm

x^* = maximum x -coordinate of a point in set Q

$L = \{(x,y) : x = x^*\}$

S = points in P within distance δ of L .

Construct S_y ($O(n)$ time)

For each point $s \in S_y$, compute distance from s

to each of next 15 points in S_y

Let s, s' be pair achieving minimum of these distances

($O(n)$ time)

If $d(s,s') < \delta$ then

Return (s,s')

Else if $d(q_0^*, q_1^*) < d(r_0^*, r_1^*)$ then

Return (q_0^*, q_1^*)

Else

Return (r_0^*, r_1^*)

Endif