Greedy Graph Algorithms

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February 24, 26, and March 3, 2014

Shortest Paths Problem

- ▶ G(V, E) is a connected directed graph. Each edge e has a length $l_e \ge 0$.
- V has n nodes and E has m edges.
- ▶ Length of a path P is the sum of the lengths of the edges in P.
- Goal is to determine the shortest path from a specified start node s to each node in V.
- ▶ Aside: If *G* is undirected, convert to a directed graph by replacing each edge in *G* by two directed edges.

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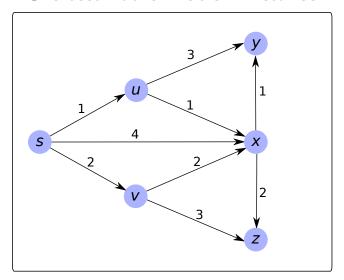
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SHORTEST PATHS

INSTANCE: A directed graph G(V, E), a function $I: E \to \mathbb{R}^+$, and a node $s \in V$

SOLUTION: A set $\{P_u, u \in V\}$, where P_u is the shortest path in G from s to u.

Shortest Paths Problem Instance



- Maintain a set S of explored nodes.
 - For each node $u \in S$, compute a value d(u), which (we will prove) is the length of the shortest path from s to u.
 - For each node $x \notin S$, maintain a value d'(x), which is the length of the shortest path from s to x using only the nodes in S (and x, of course).

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Dijkstra's Algorithm(G, I, s)

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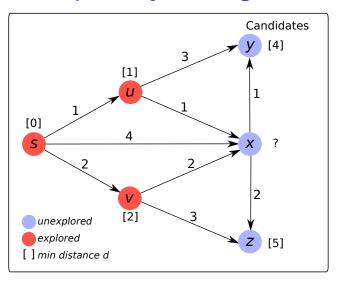
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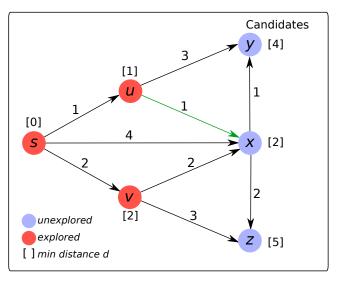
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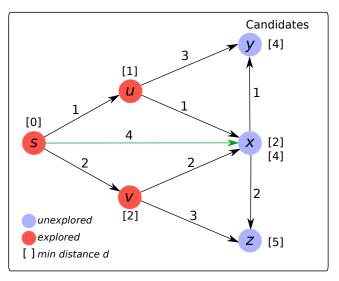
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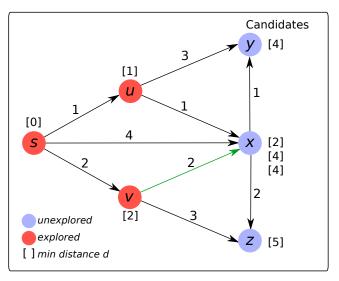
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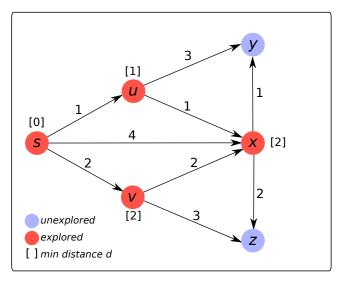
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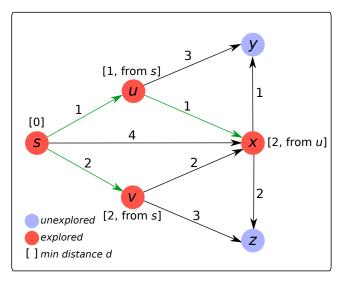


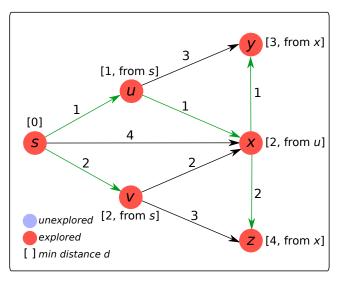












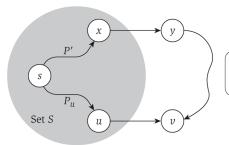
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The alternate s–v path P through x and y is already too long by the time it has left the set S.

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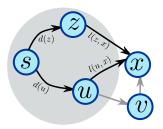
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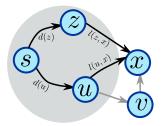
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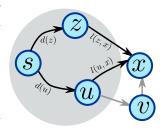
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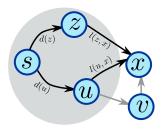


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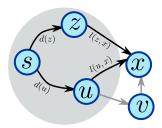
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Running time per iteration is

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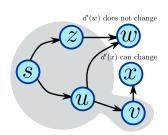
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- Running time per iteration is O(m), since the algorithm processes each edge (u, x) in the graph exactly once (when computing d'(x)).
- ▶ The overall running time is O(nm).

A Faster implementation of Dijkstra's Algorithm

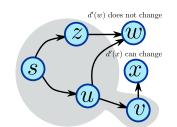
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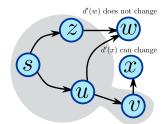
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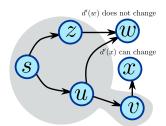
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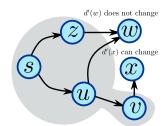
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- Use a priority queue!

Faster Dijkstra's Algorithm

DIJKSTRA'S ALGORITHM(G, I, s)

```
1: INSERT(Q, s, 0).

2: while S \neq V do

3: (v, d'(v)) = \text{EXTRACTMIN}(Q)

4: Add v to S and set d(v) = d'(v)

5: for every node x \in V - S such that (v, x) is an edge in G do

6: if d(v) + l_{(v,x)} < d'(x) then

7: d'(x) = d(v) + l_{(v,x)}

8: CHANGEKEY(Q, x, d'(x))
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- ▶ For each node $x \in V S$, store the pair (x, d'(x)) in a priority queue Q with d'(x) as the key.
- ▶ Determine the next node v to add to S using EXTRACTMIN (line 3).
- ▶ After adding v to S, for each node $x \in V S$ such that there is an edge from v to x, check if d'(x) should be updated, i.e., if there is a shortest path from s to x via v (lines 5–8).
- ▶ In line 8, if *x* is not in *Q*, simply insert it.

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► How many times does the algorithm invoke EXTRACTMIN?

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Dijkstra's Algorithm(G, I, s)

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- ▶ State of the art: Fibonacci heaps achieve a running time of O(m) for all CHANGEKEY operations, for a running time of $O(n \log n + m)$.

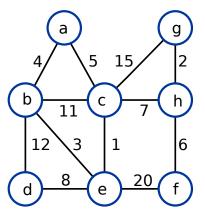
Network Design

- ▶ Connect a set of nodes using a set of edges with certain properties.
- Input is usually a graph and the desired network (the output) should use subset of edges in the graph.
- Example: connect all nodes using a cycle of shortest total length.

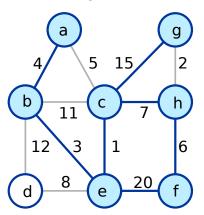
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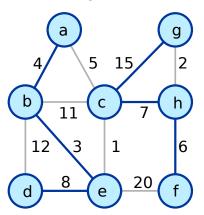
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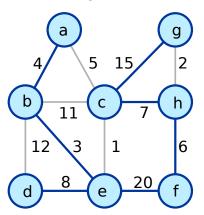
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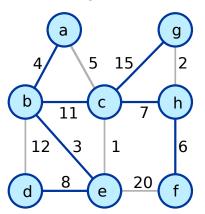
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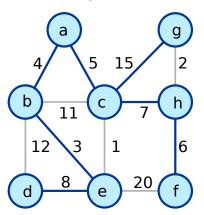
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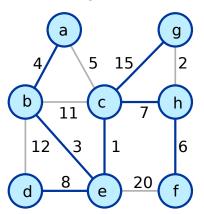
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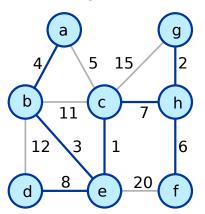
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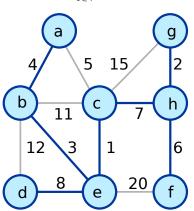
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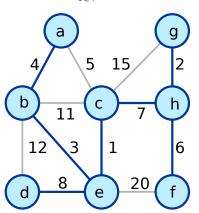


MINIMUM SPANNING TREE

INSTANCE: An undirected graph G(V, E) and a function $c: E \to \mathbb{R}^+$

SOLUTION: A set $T \subseteq E$ of edges such that (V, T) is connected and the cost $\sum_{e \in T} c_e$ is as small as possible.

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- ► Claim: If *T* is a minimum-cost solution to this problem then (*V*, *T*) is a tree.
- A subset T of E is a spanning tree of G if (V, T) is a tree.

▶ Template: process edges in some order. Add an edge to *T* if tree property is not violated.

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- ▶ Simplifying assumption: all edge costs are distinct.

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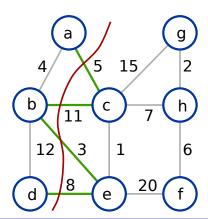
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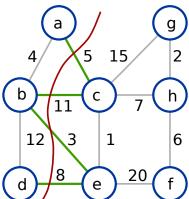
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 - We obtain a cycle.
 - ▶ Which edge in the cycle can we be sure does not belong to an MST?

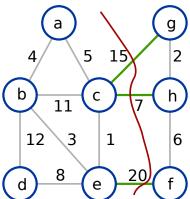
- ▶ A *cut* in a graph G(V, E) is a set of edges whose removal disconnects the graph (into two or more connected components).
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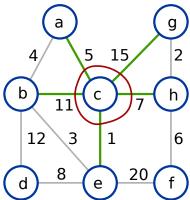
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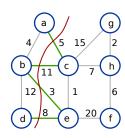
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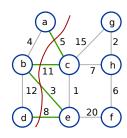
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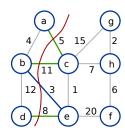
▶ When is it safe to include an edge in an MST?



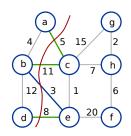
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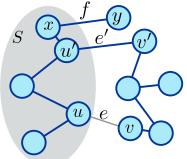


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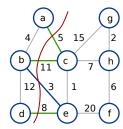


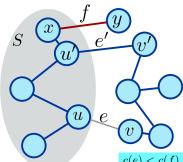
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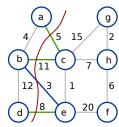


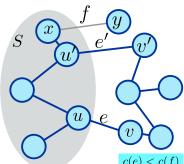
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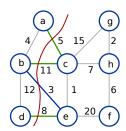


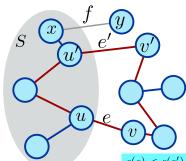
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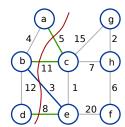


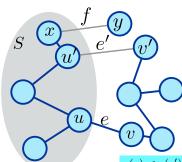
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Prim's Algorithm

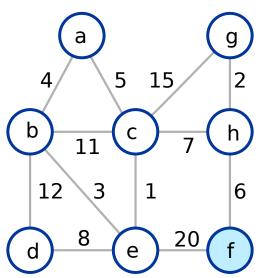
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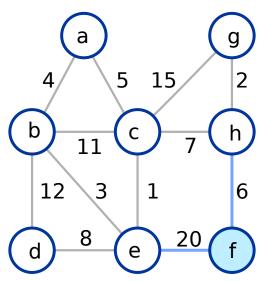
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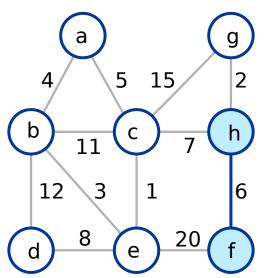
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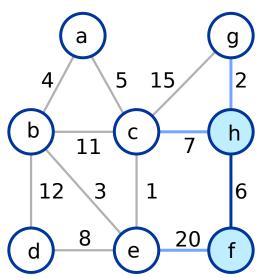
$$\min_{e=(u,v),u\in S,v\in V-S}c_e\equiv\min_{e\in \operatorname{cut}(S)}c_e.$$

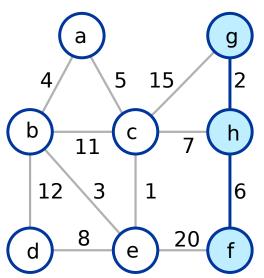
▶ In other words, in each step Prim's algorithm computes and adds the cheapest edge in the current value of cut(S).

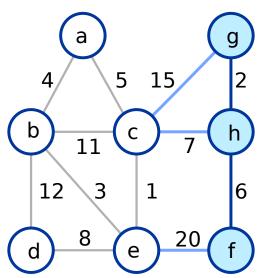


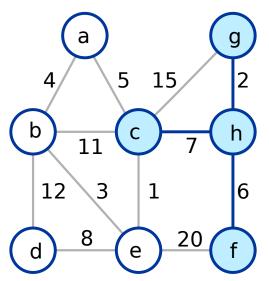


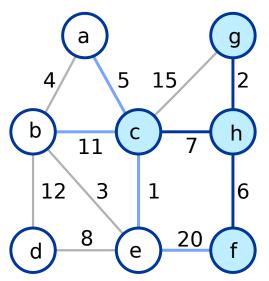


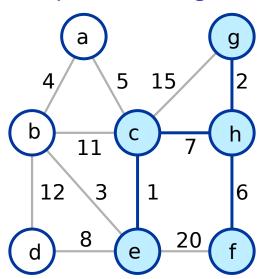


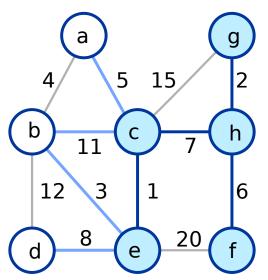


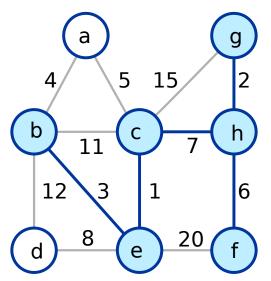


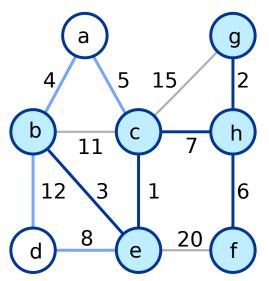


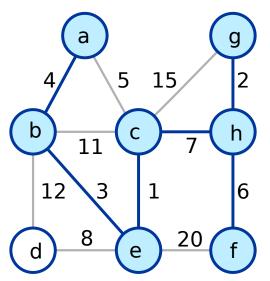


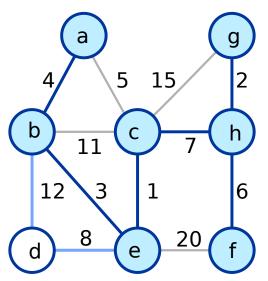


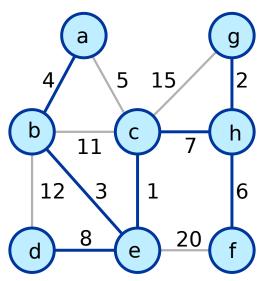












Optimality of Prim's Algorithm

Prim's Algorithm(G, c, s)

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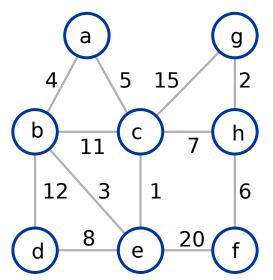
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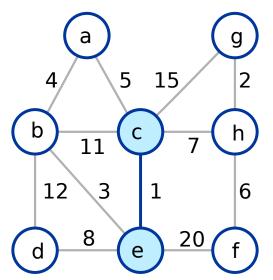
Prim's Algorithm(G, c, s)

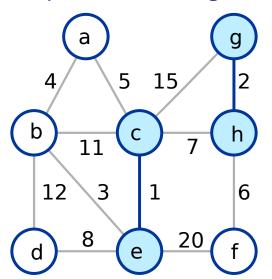
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 - Why is (V, T) connected?

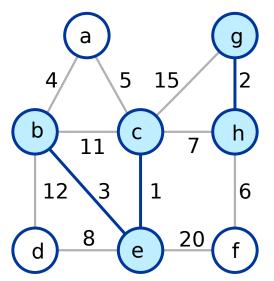
Kruskal's Algorithm

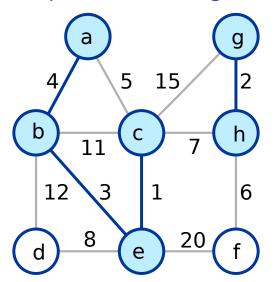
- ▶ Start with an empty set *T* of edges.
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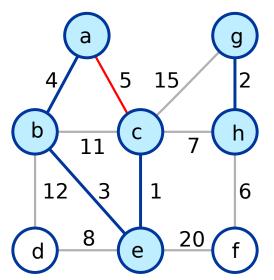


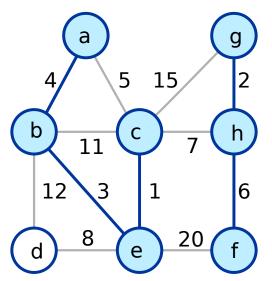


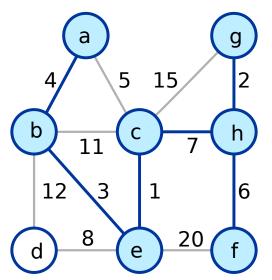


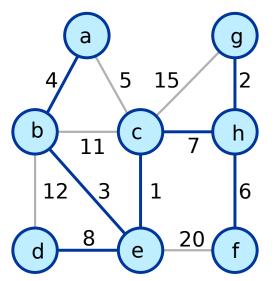


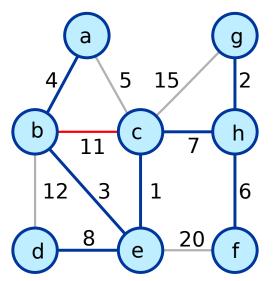


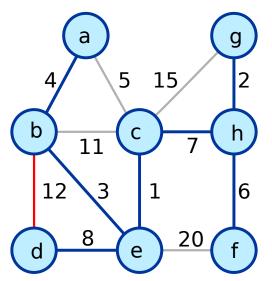


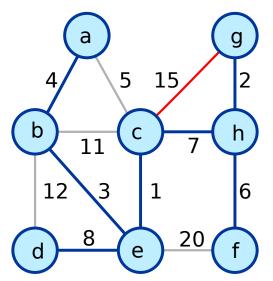


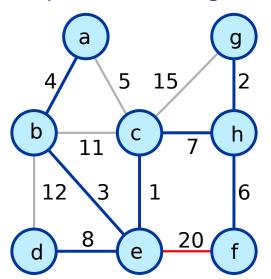


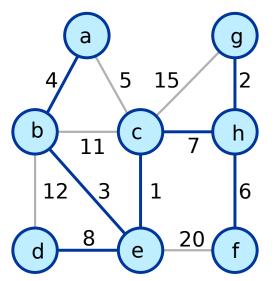












- Kruskal's algorithm:
 - Start with an empty set T of edges.
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 - ▶ Add the next edge e to T only if adding e does not create a cycle. Discard e if it creates a cycle.
- ▶ Note: at any iteration, *T* is a set of connected graphs and each node is in some graph.
- Claim: Kruskal's algorithm outputs an MST.

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 - ▶ (V, T) contains no cycles by construction.
 - If (V, T) is not connected, then exists a subset S of nodes not connected to V − S. What is the contradiction?

Cycle Property

▶ When can we be sure that an edge cannot be in *any* MST?

Cycle Property

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Cycle Property

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- Let C be any cycle in G and let e = (v, w) be the most expensive edge in C.
- Claim: e does not belong to any MST of G.
- ▶ Proof: exchange argument. If a supposed MST *T* contains *e*, show that there is a tree with smaller cost than *T* that does not contain *e*.

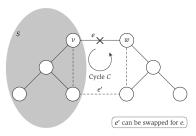


Figure 4.11 Swapping the edge e' for the edge e in the spanning tree T, as described in the proof of (4.20).

- ightharpoonup Reverse-Delete algorithm: Maintain a set E' of edges.
 - Start with E' = E.
 - Process edges in decreasing order of cost.
 - ▶ Delete the next edge e from E' only if (V, E') is connected after deletion.
 - Stop after processing all the edges.
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 - Since the edge is the first encountered by the algorithm, it is the most expensive edge in C.
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 - \triangleright (V, E') is connected at the end, by construction.
 - If (V, E') contains a cycle, consider the costliest edge in that cycle. The algorithm would have deleted that edge.

Comments on MST Algorithms

- ▶ To handle multiple edges with the same length, perturb each length by a random infinitesimal amount. Read the textbook.
- ► Any algorithm that constructs a spanning tree by including edges that satisfy the cut property and deleting edges that satisfy the cycle property will yield an MST!

Implementing Prim's Algorithm

Prim's Algorithm(G, c, s)

- 1: $S = \{s\}$ and and $U = \emptyset$
- 2: while $S \neq V$ do
- 3: Compute $(u, v) = \min_{e=(u,v): u \in S, v \in V-S} c_e$
- 4: Add v to S and add e to T.
 - ▶ Implementation is very similar to Dijkstra's algorithm.
 - ▶ Maintain S and store attachment costs $a(v) = \min_{e \in \text{cut}(S)} c_e$ for every node $v \in V S$ in a priority queue.
 - ▶ At each step, extract minimum *v* from priority queue and update the attachment costs of the neighbours of *v*.
 - ▶ Total of n-1 EXTRACTMIN and m CHANGEKEY operations, yielding a running time of $O(m \log n)$.

Implementing Kruskal's Algorithm

- ▶ Start with an empty set *T* of edges.
- ▶ Process edges in *E* in increasing order of cost.
- ▶ Add the next edge *e* to *T* only if adding *e* does not create a cycle.

Implementing Kruskal's Algorithm

- Start with an empty set T of edges.
- ▶ Process edges in *E* in increasing order of cost.
- ▶ Add the next edge *e* to *T* only if adding *e* does not create a cycle.
- ▶ Sorting edges takes $O(m \log n)$ time.
- ▶ Key question: "Does adding e = (u, v) to T create a cycle?"
 - ▶ Maintain set of connected components of *T*.
 - FIND(u): return the name of the connected component of T that u belongs to.
 - ▶ UNION(A, B): merge connected components A and B.

Analysing Kruskal's Algorithm

▶ How many FIND invocations does Kruskal's algorithm need?

- ▶ How many FIND invocations does Kruskal's algorithm need? 2*m*.
- ▶ How many UNION invocations does Kruskal's algorithm need?

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- ► Textbook describes two implementations of UNION-FIND: (see appendix to this set of slides)
 - ▶ Each FIND takes O(1) time, k invocations of UNION take $O(k \log k)$ time in total.
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 - ► Each FIND takes $O(\log n)$ time and each invocation of UNION takes O(1) time.
- ▶ Total running time of Kruskal's algorithm is $O(m \log n)$.

Comments on Union-Find and MST

- ► The UNION-FIND data structure is useful to maintain the connected components of a graph as edges are added to the graph.
- ▶ The data structure does not support edge deletion efficiently.
- Current best algorithm for MST runs in $O(m\alpha(m, n))$ time (Chazelle 2000) and O(m) randomised time (Karger, Klein, and Tarjan, 1995).
- ▶ Holy grail: O(m) deterministic algorithm for MST.

Union-Find Data Structure

- ► Abstraction of the data structure needed by Kruskal's algorithm.
- Maintain disjoint subsets of elements from a universe U of n elements.
- ► Each subset has an name. We will set a set's name to be the identity of some element in it.
- Support three operations:
 - 1. MakeUnionFind(U): initialise the data structure with elements in U.
 - 2. FIND(u): return the identity of the subset that contains u.
 - 3. UNION(A, B): merge the sets named A and B into one set.

- ightharpoonup Store all the elements of U in an array COMPONENT.
 - ▶ Assume identities of elements are integers from 1 to *n*.
 - ► COMPONENT[s] is the name of the set containing s.
- ▶ Implementing the operations:

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 - ► COMPONENT[s] is the name of the set containing s.
- Implementing the operations:
 - 1. MakeUnionFind(U): For each $s \in U$, set Component[s] = s in O(n) time.
 - 2. FIND(s): return COMPONENT[s] in O(1) time.
 - 3. UNION(A, B): merge B into A by scanning COMPONENT and updating each index whose value is B to the value A. Takes O(n) time.

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- ▶ UNION is very slow because we cannot efficiently find the elements that belong to a set.

- ▶ Optimisation 1: Use an array ELEMENTS
 - ▶ Indices of ELEMENTS range from 1 to *n*.
 - ightharpoonup ELEMENTS[s] stores the elements in the subset named s in a list.
- **Execute** UNION(A, B) by merging B into A in two steps:
 - 1. Updating Component for elements of B in O(|B|) time.
 - 2. Append ELEMENTS[B] to ELEMENTS[A] in O(1) time.
- ▶ Union takes $\Omega(n)$ in the worst-case.

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 - 2. Append ELEMENTS[B] to ELEMENTS[A] in O(1) time.
- ▶ Union takes $\Omega(n)$ in the worst-case.
- ▶ Optimisation 2: Store size of each set in an array (say, SIZE). If SIZE[B] ≤ SIZE[A], merge B into A. Otherwise merge A into B. Update SIZE.

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- ▶ UNION(A, B): Running time is proportional to the size of the smaller set, which may be $\Omega(n)$.
- ▶ Any sequence of k UNION operations takes $O(k \log k)$ time.
 - ▶ *k* UNION operations touch at most 2*k* elements.
 - ► Intuition: running time of UNION is dominated by updates to COMPONENT. Charge each update to the element being updated and bound number of charges per element.

- ▶ MAKEUNIONFIND(S) and FIND(u) are as before.
- ▶ UNION(A, B): Running time is proportional to the size of the smaller set, which may be $\Omega(n)$.
- ▶ Any sequence of k UNION operations takes $O(k \log k)$ time.
 - ▶ *k* UNION operations touch at most 2*k* elements.
 - ▶ Intuition: running time of UNION is dominated by updates to COMPONENT. Charge each update to the element being updated and bound number of charges per element.
 - ▶ Consider any element s. Every time s's set identity is updated, the size of the set containing s at least doubles $\Rightarrow s$'s set can change at most $\log(2k)$ times \Rightarrow the total work done in k UNION operations is $O(k \log k)$.

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- ► FIND is fast in the worst case, UNION is fast in an amortised sense. Can we make both operations worst-case efficient?

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 - ▶ Each tree node contains an element and a pointer to a parent.
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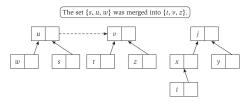


Figure 4.12 A Union-Find data structure using pointers. The data structure has only two sets at the moment, named after nodes v and j. The dashed arrow from u to v is the result of the last Union operation. To answer a Find query, we follow the arrows until we get to a node that has no outgoing arrow. For example, answering the query Find(i) would involve following the arrows i to x, and then x to

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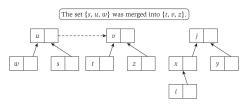


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- ▶ Implementing FIND(u): follow pointers from u to the root of u's tree.
- ▶ Implementing UNION(A, B): make smaller tree's root a child of the larger tree's root. Takes O(1) time.

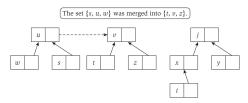


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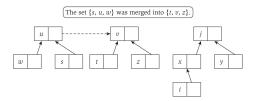


Figure 4.12 A Union-Find data structure using pointers. The data structure has only two sets at the moment, named after nodes ν and β . The dashed arrow from μ to ν is the result of the last Union operation. To answer a Find query, we follow the arrows until we get to a node that has no outgoing arrow. For example, answering the query Find(i) would involve following the arrows i to x, and then x to

▶ Why does FIND(u) take $O(\log n)$ time?

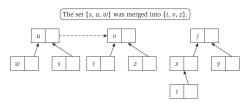


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- Why does FIND(u) take $O(\log n)$ time?
- ▶ Number of pointers followed equals the number of times the identity of the set containing *u* changed.
- ▶ Every time u's set's identity changes, the set at least doubles in size \Rightarrow there are $O(\log n)$ pointers followed.

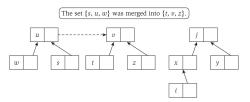


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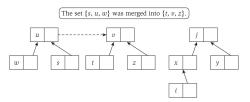


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- ▶ Path compression: make all nodes visited by FIND(u) children of the root.

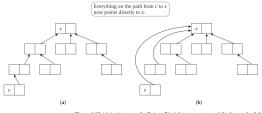


Figure 4.13 (a) An instance of a Union-Find data structure; and (b) the result of the operation Find(v) on this structure, using path compression.

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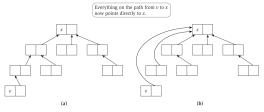


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- ▶ Path compression: make all nodes visited by FIND(u) children of the root.
- ▶ Can prove that total time taken by n FIND operations is $O(n\alpha(n))$, where $\alpha(n)$ is the inverse of the Ackermann function, and grows e-x-t-r-e-m-e-l-y s-l-o-w-l-y with n.