

Graphs

T. M. Murali

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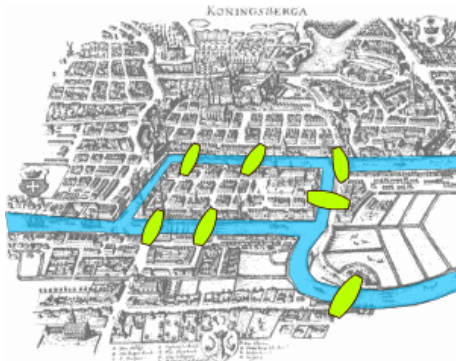
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Definition of a Graph

- ▶ *Undirected graph* $G = (V, E)$: set V of nodes and set E of edges, where $E \subseteq V \times V$. Elements of E are unordered pairs.
 - ▶ Abuse of notation: write an edge e between nodes u and v as $e = (u, v)$ and not as $e = \{u, v\}$.
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- ▶ By default, “graph” will mean an “undirected graph”.

Paths and Connectivity

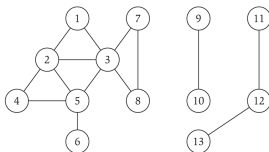


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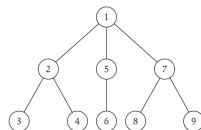
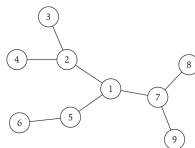


Figure 3.1 Two drawings of the same tree. On the right, the tree is rooted at node 1.

- ▶ **Path** in an undirected graph $G = (V, E)$ is a sequence P of nodes $v_1, v_2, \dots, v_{k-1}, v_k \in V$ such that every consecutive pair of nodes $v_i, v_{i+1}, 1 \leq i < k$ is connected by an edge in E .
 - ▶ P is called a path **from** v_1 **to** v_k or a v_1 - v_k path.
- ▶ A path is **simple** if all its nodes are distinct.
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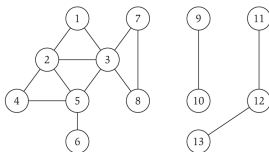


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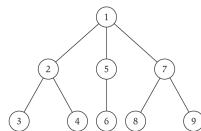
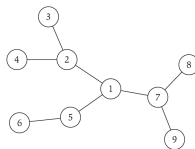


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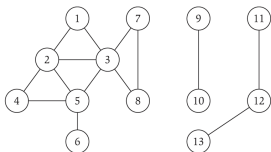


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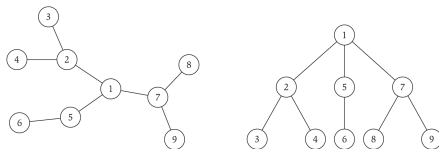


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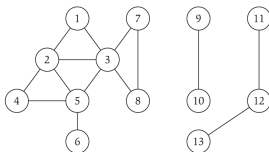


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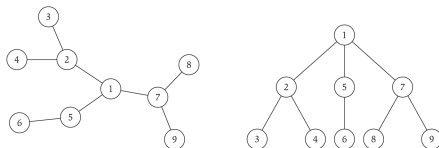


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 - ▶ Directed graphs have the notion of “strong connectivity.”
- ▶ The **distance** between two nodes u and v is the minimum number of edges in a u - v path.

Trees

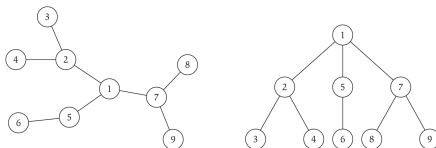


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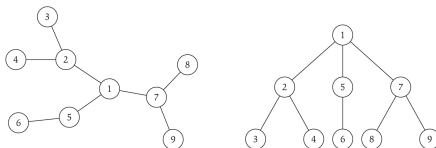


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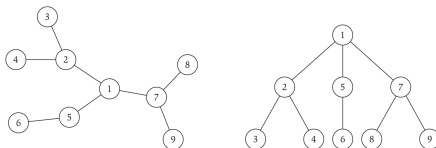


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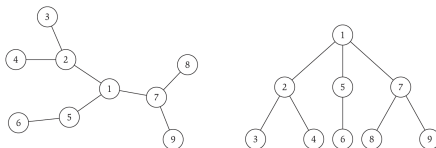


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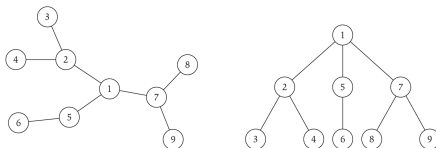


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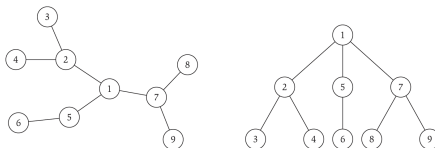


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- ▶ Examples of (rooted) trees: organisational hierarchy, a department’s web pages, class hierarchies in object-oriented languages.

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 - ▶ 3 and 1 \Rightarrow 2: prove yourself.

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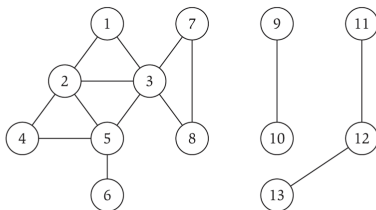


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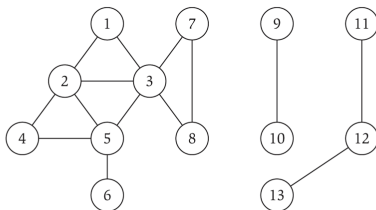


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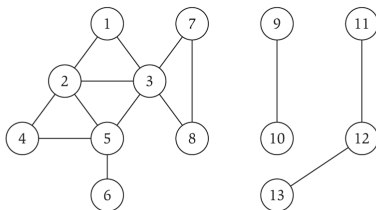


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- ▶ The *connected component of G containing s* is the set of all nodes u such that there is an s - u path in G .
- ▶ Algorithm for the s - t Connectivity problem: compute the connected component of G that contains s and check if t is in that component.

Computing Connected Components

- “Explore” G starting from s and maintain set R of visited nodes.

R will consist of nodes to which s has a path

Initially $R = \{s\}$

While there is an edge (u, v) where $u \in R$ and $v \notin R$

 Add v to R

Endwhile

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- ▶ How do we implement the while loop? Examine each edge in E .
- ▶ Issues to consider:
 - ▶ Why does the algorithm terminate?
 - ▶ Does the algorithm truly compute connected component of G containing s ?
 - ▶ What is the running time of the algorithm?

Termination of the Connected Components Algorithm

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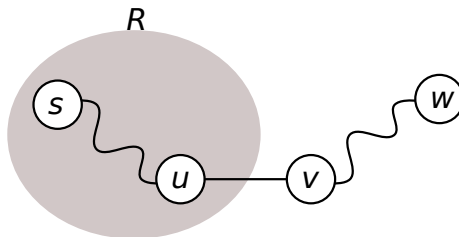
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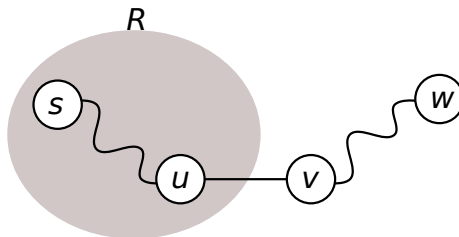
- ▶ How many nodes does each iteration of the while loop add to R ? Exactly 1.
- ▶ How many times is the while loop executed? At most n times:
 - ▶ either $R = V$ at the end or
 - ▶ in the last iteration, every edge either has both nodes in R or both nodes not in R .

Correctness of the Connected Components Algorithm



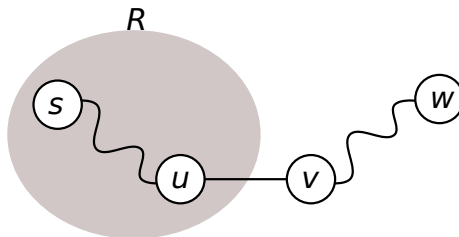
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 - ▶ (u, v) is an edge with $u \in R$ but $v \notin R$, contradicting the stopping rule.

Recovering Paths

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- ▶ To recover the s - t path, trace these edges backwards from t until we reach s .

Running Time of the Connected Components Algorithm

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 Add v to R

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- ▶ Analyse algorithm in terms of two parameters: the number of nodes n and the number of edges m .
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- ▶ Can we improve the running time by processing edges more carefully?

Breadth-First Search (BFS)

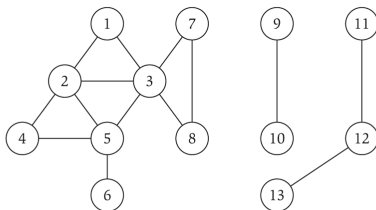


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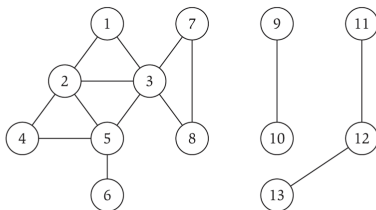


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- ▶ Idea: explore G starting at s and going “outward” in all directions, adding nodes one layer at a time.
- ▶ Layer L_0 contains only s .
- ▶ Layer L_1 contains all neighbours of s .
- ▶ Given layers L_0, L_1, \dots, L_j , layer L_{j+1} contains all nodes that
 1. do not belong to an earlier layer and
 2. are connected by an edge to a node in layer L_j .

Properties of BFS

- Claim: For each $j \geq 1$, layer L_j consists of all nodes

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 - ▶ Why is T a tree? It is connected. The number of edges in T is the number of nodes in all the layers minus 1.
 - ▶ T is called the *breadth-first search tree*.

BFS Trees

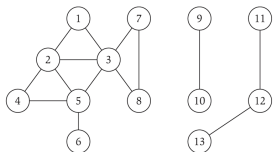


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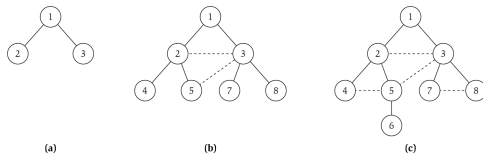


Figure 3.3 The construction of a breadth-first search tree T for the graph in Figure 3.2, with (a), (b), and (c) depicting the successive layers that are added. The solid edges are the edges of T ; the dotted edges are in the connected component of G containing node 1, but do not belong to T .

- ▶ **Non-tree edge**: an edge of G that does not belong to the BFS tree T .
- ▶ **Claim**: Let T be a BFS tree, let x and y be nodes in T belonging to layers L_i and L_j , respectively, and let (x, y) be an edge of G . Then $|i - j| \leq 1$.

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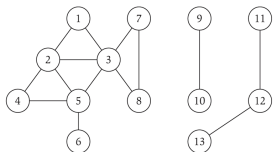


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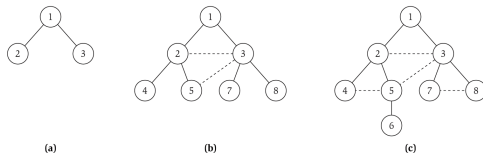


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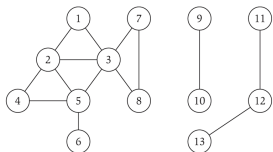


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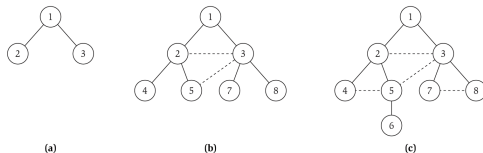


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- ▶ **Still unresolved**: an efficient implementation of BFS.

Depth-First Search (DFS)

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- 2. Invoke $\text{DFS}(s)$.

$\text{DFS}(u)$:

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Mark  $u$  as "Explored" and add  $u$  to  $R$ 
For each edge  $(u, v)$  incident to  $u$ 
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- ▶ *Depth-first search tree* is a tree T : when $\text{DFS}(v)$ is invoked directly during the call to $\text{DFS}(v)$, add edge (u, v) to T .

Example of DFS

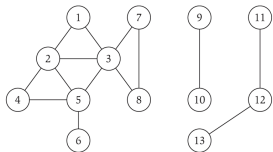


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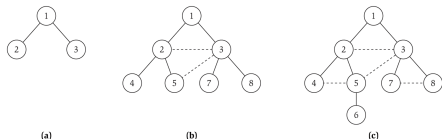


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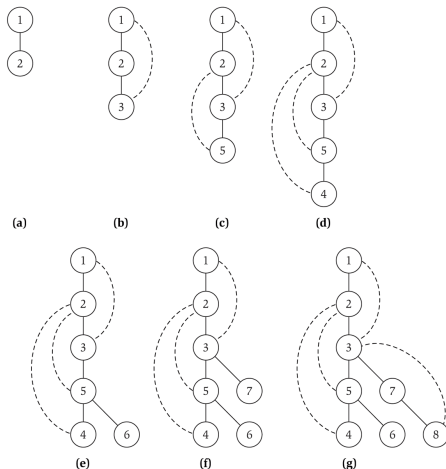


Figure 3.5 The construction of a depth-first search tree T for the graph in Figure 3.2, with (a) through (g) depicting the nodes as they are discovered in sequence. The solid edges are the edges of T ; the dotted edges are edges of G that do not belong to T .

BFS vs. DFS

- ▶ Both visit the same set of nodes but in a different order.
- ▶ Both traverse all the edges in the connected component but in a different order.
- ▶ BFS trees have root-to-leaf paths that look as short as possible while paths in DFS trees tend to be long and deep.
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- Observation: For a given recursive call $\text{DFS}(u)$, all nodes marked as “Explored” between the invocation and the end of this invocation are descendants of u in the DFS tree T .

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- ▶ Proof: Assume, without loss of generality that the DFS algorithm reached x first.
 - ▶ Since (x, y) is an edge in G , it is examined during $\text{DFS}(x)$.
 - ▶ Since $(x, y) \notin T$, y must be marked as “Explored” during $\text{DFS}(x)$ but before (x, y) is examined.
 - ▶ Since y was not marked as “Explored” before $\text{DFS}(x)$ was invoked, it must be marked as “Explored” between the invocation of $\text{DFS}(x)$. and the end of this recursive call.
 - ▶ Therefore, y must be a descendant of x in T .

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Computing All Connected Components

1. Pick an arbitrary node s in G .
2. Compute its connected component using BFS (or DFS).
3. Find a node (say v , not already visited) and repeat the BFS from v .
4. Repeat this process until all nodes are visited.

Representing Graphs

- ▶ Graph $G = (V, E)$ has two input parameters: $|V| = n, |E| = m$.
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Data Structures for Implementation

- ▶ “Implementation” of BFS and DFS: fully specify the algorithms and data structures so that we can obtain provably efficient times.
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 - ▶ BFS: store visited nodes in a queue (first-in, first-out).
 - ▶ DFS: store visited nodes in a stack (last-in, first-out)

Implementing BFS

- Maintain an array Discovered and set $\text{Discovered}[v] = \text{true}$ as soon as the algorithm sees v .

BFS(s):

Set $\text{Discovered}[s] = \text{true}$ and $\text{Discovered}[v] = \text{false}$ for all other v

Initialize $L[0]$ to consist of the single element s

Set the layer counter $i = 0$

Set the current BFS tree $T = \emptyset$

While $L[i]$ is not empty

 Initialize an empty list $L[i+1]$

 For each node $u \in L[i]$

 Consider each edge (u, v) incident to u

 If $\text{Discovered}[v] = \text{false}$ then

 Set $\text{Discovered}[v] = \text{true}$

 Add edge (u, v) to the tree T

 Add v to the list $L[i+1]$

 Endif

 Endfor

 Increment the layer counter i by one

Endwhile

Using a Queue in BFS

- Instead of storing each layer in a different list, maintain all the layers in a single queue L .

BFS(s):

Set Discovered[s] = true and Discovered[v] = false for all other v

Initialize $L[0]$ to consist of the single element s

Set the layer counter $i=0$

Set the current BFS tree $T=\emptyset$

While $L[i]$ is not empty

 Initialize an empty list $L[i+1]$

 For each node $u \in L[i]$

 Consider each edge (u,v) incident to u

 If Discovered[v] = false then

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 Add v to the list $L[i+1]$

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 Increment the layer counter i by one

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Analysis of BFS Implementation

BFS(s):

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Set Discovered[s] = true and Discovered[v] = false for all other v
Initialize L[0] to consist of the single element s
Set the layer counter i = 0
Set the current BFS tree T =  $\emptyset$ 
While L[i] is not empty
  Initialize an empty list L[i + 1]
  For each node u  $\in$  L[i]
    Consider each edge (u, v) incident to u
    If Discovered[v] = false then
      Set Discovered[v] = true
      Add edge (u, v) to the tree T
      Add v to the list L[i + 1]
    Endif
  Endfor
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```

- Naive bound on running time is

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    Consider each edge (u, v) incident to u
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      Add edge (u, v) to the tree T
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- ▶ Naive bound on running time is $O(n^2)$.
- ▶ Improved bound:
 - ▶ Maintaining layers:

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- ▶ Naive bound on running time is $O(n^2)$.
- ▶ Improved bound:
 - ▶ Maintaining layers: $O(n)$ time.
 - ▶ for loop for a node u : $O(n_u)$ time.
 - ▶ Total time for all for loops: $\sum_{u \in G} O(n_u) = O(m)$ time.
 - ▶ Total time is $O(n + m)$.

Recursive DFS

```
DFS( $u$ ):  
    Mark  $u$  as "Explored" and add  $u$  to  $R$   
    For each edge  $(u, v)$  incident to  $u$   
        If  $v$  is not marked "Explored" then  
            Recursively invoke DFS( $v$ )  
        Endif  
    Endfor
```

- Procedure has “tail recursion”: recursive call is the last step.

Recursive DFS

DFS(u):

Mark u as "Explored" and add u to R

For each edge (u, v) incident to u

 If v is not marked "Explored" then

 Recursively invoke DFS(v)

 Endif

Endfor

- ▶ Procedure has “tail recursion”: recursive call is the last step.
- ▶ Can replace the recursion by an iteration: use a stack to explicitly implement the recursion.

Implementing DFS

- ▶ Maintain a stack S to store nodes to be explored.
- ▶ Maintain an array `Explored` and set `Explored[v] = true` when the algorithm pops v from the stack.
- ▶ Read textbook on how to construct the DFS tree.

DFS(s):

 Initialize S to be a stack with one element s

 While S is not empty

 Take a node u from S

 If `Explored[u] = false` then

 Set `Explored[u] = true`

 For each edge (u, v) incident to u

 Add v to the stack S

 Endfor

 Endif

 Endwhile

Comparing Recursion and Iteration

DFS(u):

```
Mark  $u$  as "Explored" and add  $u$  to  $R$ 
For each edge  $(u, v)$  incident to  $u$ 
    If  $v$  is not marked "Explored" then
        Recursively invoke DFS( $v$ )
    Endif
Endfor
```

DFS(s):

```
Initialize  $S$  to be a stack with one element  $s$ 
While  $S$  is not empty
    Take a node  $u$  from  $S$ 
    If Explored[ $u$ ] = false then
        Set Explored[ $u$ ] = true
        For each edge  $(u, v)$  incident to  $u$ 
            Add  $v$  to the stack  $S$ 
        Endfor
    Endif
Endwhile
```

Analysing DFS

DFS(s):

Initialize S to be a stack with one element s

While S is not empty

 Take a node u from S

 If Explored[u] = false then

 Set Explored[u] = true

 For each edge (u, v) incident to u

 Add v to the stack S

 Endfor

 Endif

Endwhile

- How many times is a node's adjacency list scanned?

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- ▶ How many times is a node's adjacency list scanned? Exactly once.
- ▶ The total amount of time to process edges incident on node u 's is $O(n_u)$.
- ▶ The total running time of the algorithm is $O(n + m)$.