

Programming Languages
Lecture 3: Functional Languages

Benjamin J. Keller

Department of Computer Science
Virginia Tech
keller@cs.vt.edu

Lecture Outline

- Motivation for FP
- Commands vs. Expressions
- History of Functional Languages
- ML

Motivation

- John Backus, 1978 Turing Award Lecture
- Imperative programming languages too restrictive
- Abstractions of von Neumann architecture
- Antiquated way of thinking (from '50's)

Von Neumann Bottleneck

- Computer has
 - CPU with accumulator and registers
 - Memory
 - Bus between memory and CPU (von Neumann bottleneck)
- Execution of machine statement
 - fetch — move instruction from memory to CPU
 - decode — break into parts
 - execute — interpret

Example

- Execute instruction `ADD 162`
 1. Fetch instruction from memory
 2. Decode into operation (`ADD`) and address (`162`)
 3. Fetch contents from address `162`
 4. Add contents to accumulator
- Simple statements require many transfers through bus

Imperative Languages

- Program can be viewed as control statements guiding execution of assignment statements.
- Assignments are accesses and stores to memory
- Variable refers to memory location where contents can change
- Value of $x+1$ not same throughout program

Imperative Languages

- Order of execution important (hard to perform in parallel)
- Changing values makes reasoning about variables difficult
- Hard to reason about programs

Mathematical Perspective

- Use of variables in mathematics
- Variables are static
- *referential transparency* — can replace an expression anywhere that it occurs by its value without changing result of program
- Key idea: compute result once and then reuse
- Good for parallelism
- Imperative languages not referentially transparent ($x+1$)

Advantages of Functional Programming

- Referentially transparent — easier to reason about, easier to parallelize
- Order of execution need not be specified — evaluate expressions when necessary
- Higher-level — shorter, more understandable programs
- Flexibility in combining old programs to form new ones
- “Lazy” evaluation allows computing with infinite data objects

Other Reasons for FP

- Useful in AI programming
- Useful in developing executable specifications and rapid prototyping
- Closely related to topics in theoretical CS (recursive functions, denotational semantics).

Commands/Imperative Languages

- Support for variables — represent memory locations for storing updatable values
- Assignment operation — computation depends on changes to values stored in variables
- Repetition — flow of control guided by loops and conditional statements

Imperative Languages

- Based on commands (statements)
- Meaning of command is operation which modifies the current contents of memory, based on current contents of memory and explicitly provided data
- Results of one command communicated to next command through changes to memory
- Highly dependent upon computer architecture

Expressions

- Return a value, depending on state of computation
- Examples
 - Literals: 3, true, "a string", 42.323
 - Aggregates: arrays, records, sets, lists, Ex. {1,3,5}
 - Function calls: $f(a,b)$, $a+b*(c-d)$,
(if $x>0$ then sin else cos)([[pi]])
 - Conditional expressions:
if $x \neq 0$ then a/x else 1,
case (only in functional languages)
 - Named constants and variables: pi, x

Expressions

- Mathematical expressions better behaved than commands
- Meaning of a (*pure*) expression is operation that returns a value based on current contents of memory and explicit values

Referential Transparency

- System is referentially transparent if in fixed context the meaning of the whole system can be determined by meaning of its parts.
- Independent of surrounding expression
- Once expression is evaluated in a particular context its value in that context will not change
- Mathematical expressions referentially transparent
- Context: $a = 3, b = 4, c = 7, x = 2$
- Evaluating $(2ax + b)(2ax + c)$ only requires evaluating $2ax$ once

Ref. Trans. Examples

- Can determine meaning of $f(g(x))$ by knowing independent meaning of f , g and x
- If know that g' is the same as g , then know $f(g(x))$ is the same as $f(g'(x))$
- Equivalences important for program transformations used in optimization

Side Effects

- Side effect — expression does more than return value
- Example $f(x)$ returns a value but also increments x by 1
- Lose referential transparency if side effects allowed
- Can't count on $f(x) + f(x)$ being the same as $2*f(x)$
- Easier to prove a program correct if referentially transparent

Imperative Languages and Ref. Transparency

- Lose referential transparency with imperative languages
- Consider $x : x + y$; $y := 2 * x$; and $y := 2 * x$; $x : x + y$;
- Rationale:
 - Each command changes underlying state of computation
 - Evaluation depends on state
 - Ordering critical

Issues with Expressions

- Order of evaluation
 - Ex. short-circuited evaluation of boolean expressions
 - `if i >= 0 and A[i] <> 99 then ...`
 - What if `int A[100]` and `i = -1`?
- Side effects
- Treating expressions and commands identically (Algol 68, C)
 - Artificial and loses referential transparency
 - `x = (y = x + 1) + y + (x++)`
 - Compare `2*(x++)` and `(x++)+(x++)`

Pure Functional Languages

- Program is application of function to data
- Pure expressions — no side effects
- Expressions and functions are *first class* (used as data)
- No traditional notion of memory or assignment
- Promote reasoning about programs
- Support parallel implementation

History of Functional Languages

- Theoretical foundations:
 - Gödel's general recursive functions
 - Use of lambda calculus by Church and Kleene as model of computable functions
 - Church's thesis
- LISP — John McCarthy (1958-60). Originally used for symbolic differentiation with linked lists. Many dialects. Finally, Common LISP and Scheme.

History (cont)

- *Denotational semantics* — meaning of programs as functions (1960's)
- Backus' Turing award lecture, 1978. Language called FP (now FL).
- ML compiler, Robin Milner *et al.*, 1977. First standard 1986, second 1997.
- Other languages SASL, KRC, Miranda (David Turner), Haskell. Use lazy evaluation.

Schools of Functional Languages

- LISP/Scheme
(dynamic typing, imperative)
- Strict (eager evaluation) — ML, Hope
(static typing, imperative, polymorphic functions, type inference)
- Lazy (evaluation) — Miranda, Haskell
(static typing, polymorphic functions, type inference)