

## Chapter 8 – Processor Scheduling

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- 8.8 Deadline Scheduling
- 8.9 Real-Time Scheduling
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## Objectives

- After reading this chapter, you should understand:
  - the goals of processor scheduling.
  - preemptive vs. nonpreemptive scheduling.
  - the role of priorities in scheduling.
  - scheduling criteria.
  - common scheduling algorithms.
  - the notions of deadline scheduling and real-time scheduling.
  - Java thread scheduling.

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## 8.1 Introduction

- Processor scheduling policy
  - Decides which process runs at given time
  - Different schedulers will have different goals
    - Maximize throughput
    - Minimize latency
    - Prevent indefinite postponement
    - Complete process by given deadline
    - Maximize processor utilization



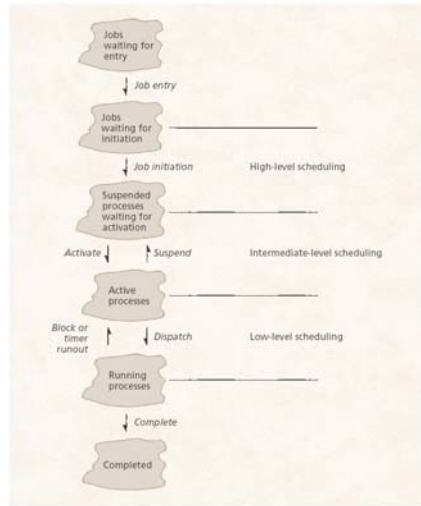
## 8.2 Scheduling Levels

- High-level scheduling
  - Determines which jobs can compete for resources
  - Controls number of processes in system at one time
- Intermediate-level scheduling
  - Determines which processes can compete for processors
  - Responds to fluctuations in system load
- Low-level scheduling
  - Assigns priorities
  - Assigns processors to processes



## 8.2 Scheduling Levels

Figure 8.1 Scheduling levels.



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## 8.3 Preemptive vs. Nonpreemptive Scheduling

- Preemptive processes
  - Can be removed from their current processor
  - Can lead to improved response times
  - Important for interactive environments
  - Preempted processes remain in memory
- Nonpreemptive processes
  - Run until completion or until they yield control of a processor
  - Unimportant processes can block important ones indefinitely

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## 8.4 Priorities

- Static priorities
  - Priority assigned to a process does not change
  - Easy to implement
  - Low overhead
  - Not responsive to changes in environment
- Dynamic priorities
  - Responsive to change
  - Promote smooth interactivity
  - Incur more overhead than static priorities
    - Justified by increased responsiveness

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## 8.5 Scheduling Objectives

- Different objectives depending on system
  - Maximize throughput
  - Maximize number of interactive processes receiving acceptable response times
  - Minimize resource utilization
  - Avoid indefinite postponement
  - Enforce priorities
  - Minimize overhead
  - Ensure predictability

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## 8.5 Scheduling Objectives

- Several goals common to most schedulers
  - Fairness
  - Predictability
  - Scalability

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## 8.6 Scheduling Criteria

- Processor-bound processes
  - Use all available processor time
- I/O-bound
  - Generates an I/O request quickly and relinquishes processor
- Batch processes
  - Contains work to be performed with no user interaction
- Interactive processes
  - Requires frequent user input

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## 8.7 Scheduling Algorithms

- Scheduling algorithms
  - Decide when and for how long each process runs
  - Make choices about
    - Preemptibility
    - Priority
    - Running time
    - Run-time-to-completion
    - fairness



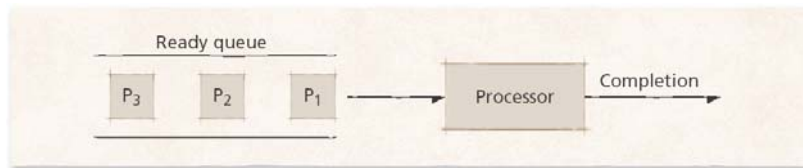
### 8.7.1 First-In-First-Out (FIFO) Scheduling

- FIFO scheduling
  - Simplest scheme
  - Processes dispatched according to arrival time
  - Nonpreemptible
  - Rarely used as primary scheduling algorithm



## 8.7.1 First-In-First-Out (FIFO) Scheduling

**Figure 8.2** First-in-first-out scheduling.



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## 8.7.2 Round-Robin (RR) Scheduling

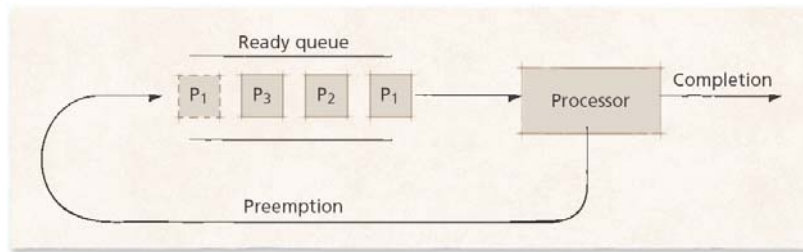
- Round-robin scheduling
  - Based on FIFO
  - Processes run only for a limited amount of time called a time slice or quantum
  - Preemptible
  - Requires the system to maintain several processes in memory to minimize overhead
  - Often used as part of more complex algorithms

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## 8.7.2 Round-Robin (RR) Scheduling

Figure 8.3 Round-robin scheduling.



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## 8.7.2 Round-Robin (RR) Scheduling

- Selfish round-robin scheduling
  - Increases priority as process ages
  - Two queues
    - Active
    - Holding
  - Favors older processes to avoid unreasonable delays

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## 8.7.2 Round-Robin (RR) Scheduling

- Quantum size
  - Determines response time to interactive requests
  - Very large quantum size
    - Processes run for long periods
    - Degenerates to FIFO
  - Very small quantum size
    - System spends more time context switching than running processes
  - Middle-ground
    - Long enough for interactive processes to issue I/O request
    - Batch processes still get majority of processor time

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## 8.7.3 Shortest-Process-First (SPF) Scheduling

- Scheduler selects process with smallest time to finish
  - Lower average wait time than FIFO
    - Reduces the number of waiting processes
  - Potentially large variance in wait times
  - Nonpreemptive
    - Results in slow response times to arriving interactive requests
  - Relies on estimates of time-to-completion
    - Can be inaccurate or falsified
  - Unsuitable for use in modern interactive systems

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### 8.7.4 Highest-Response-Ratio-Next (HRRN) Scheduling

- HRRN scheduling
  - Improves upon SPF scheduling
  - Still nonpreemptive
  - Considers how long process has been waiting
  - Prevents indefinite postponement



### 8.7.5 Shortest-Remaining-Time (SRT) Scheduling

- SRT scheduling
  - Preemptive version of SPF
  - Shorter arriving processes preempt a running process
  - Very large variance of response times: long processes wait even longer than under SPF
  - Not always optimal
    - Short incoming process can preempt a running process that is near completion
    - Context-switching overhead can become significant



## 8.7.6 Multilevel Feedback Queues

- Different processes have different needs
  - Short I/O-bound interactive processes should generally run before processor-bound batch processes
  - Behavior patterns not immediately obvious to the scheduler
- Multilevel feedback queues
  - Arriving processes enter the highest-level queue and execute with higher priority than processes in lower queues
  - Long processes repeatedly descend into lower levels
    - Gives short processes and I/O-bound processes higher priority
    - Long processes will run when short and I/O-bound processes terminate
  - Processes in each queue are serviced using round-robin
    - Process entering a higher-level queue preempt running processes

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## 8.7.6 Multilevel Feedback Queues

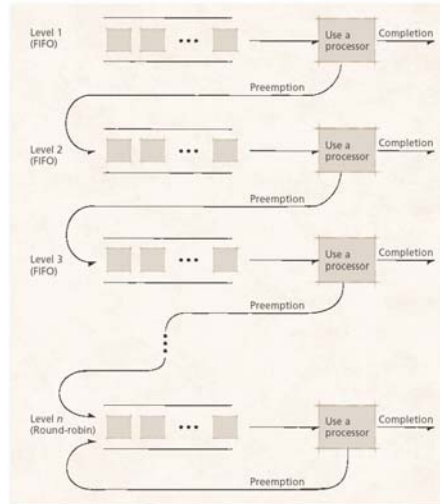
- Algorithm must respond to changes in environment
  - Move processes to different queues as they alternate between interactive and batch behavior
- Example of an adaptive mechanism
  - Adaptive mechanisms incur overhead that often is offset by increased sensitivity to process behavior

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## 8.7.6 Multilevel Feedback Queues

Figure 8.4 Multilevel feedback queues.



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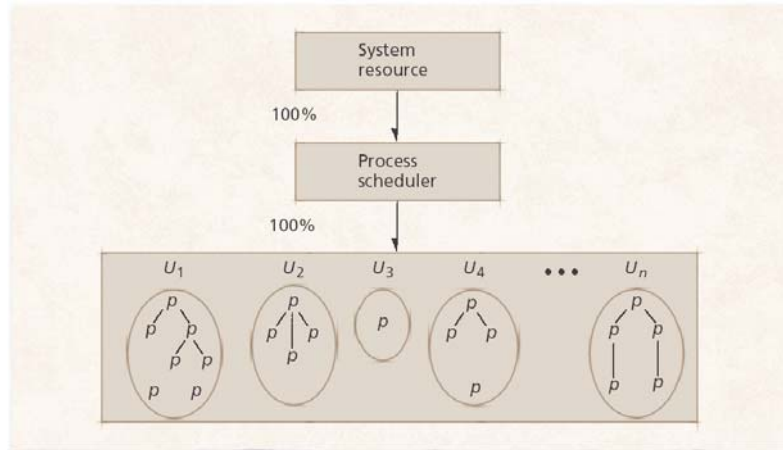
## 8.7.7 Fair Share Scheduling

- FSS controls users' access to system resources
  - Some user groups more important than others
  - Ensures that less important groups cannot monopolize resources
  - Unused resources distributed according to the proportion of resources each group has been allocated
  - Groups not meeting resource-utilization goals get higher priority

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## 8.7.7 Fair Share Scheduling

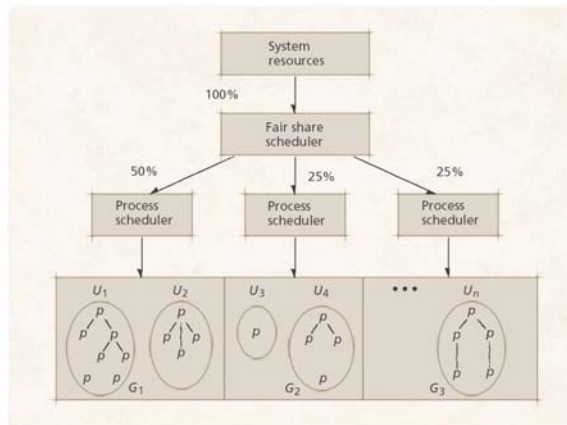
**Figure 8.5** Standard UNIX process scheduler. The scheduler grants the processor to users, each of whom may have many processes. (Property of AT&T Archives. Reprinted with permission of AT&T.)



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## 8.7.7 Fair Share Scheduling

**Figure 8.6** Fair share scheduler. The fair share scheduler divides system resource capacity into portions, which are then allocated by process schedulers assigned to various fair share groups. (Property of AT&T Archives. Reprinted with permission of AT&T.)



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## 8.8 Deadline Scheduling

- Deadline scheduling
  - Process must complete by specific time
  - Used when results would be useless if not delivered on-time
  - Difficult to implement
    - Must plan resource requirements in advance
    - Incurs significant overhead
    - Service provided to other processes can degrade

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## 8.9 Real-Time Scheduling

- Real-time scheduling
  - Related to deadline scheduling
  - Processes have timing constraints
  - Also encompasses tasks that execute periodically
- Two categories
  - Soft real-time scheduling
    - Does not guarantee that timing constraints will be met
    - For example, multimedia playback
  - Hard real-time scheduling
    - Timing constraints will always be met
    - Failure to meet deadline might have catastrophic results
    - For example, air traffic control

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## 8.9 Real-Time Scheduling

- Static real-time scheduling
  - Does not adjust priorities over time
  - Low overhead
  - Suitable for systems where conditions rarely change
    - Hard real-time schedulers
  - Rate-monotonic (RM) scheduling
    - Process priority increases monotonically with the frequency with which it must execute
  - Deadline RM scheduling
    - Useful for a process that has a deadline that is not equal to its period

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## 8.9 Real-Time Scheduling

- Dynamic real-time scheduling
  - Adjusts priorities in response to changing conditions
  - Can incur significant overhead, but must ensure that the overhead does not result in increased missed deadlines
  - Priorities are usually based on processes' deadlines
    - Earliest-deadline-first (EDF)
      - Preemptive, always dispatch the process with the earliest deadline
    - Minimum-laxity-first
      - Similar to EDF, but bases priority on laxity, which is based on the process's deadline and its remaining run-time-to-completion

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## 8.10 Java Thread Scheduling

- Operating systems provide varying thread scheduling support
  - User-level threads
    - Implemented by each program independently
    - Operating system unaware of threads
  - Kernel-level threads
    - Implemented at kernel level
    - Scheduler must consider how to allocate processor time to a process's threads

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## 8.10 Java Thread Scheduling

- Java threading scheduler
  - Uses kernel-level threads if available
  - User-mode threads implement timeslicing
    - Each thread is allowed to execute for at most one quantum before preemption
  - Threads can yield to others of equal priority
    - Only necessary on nontimesliced systems
    - Threads waiting to run are called waiting, sleeping or blocked

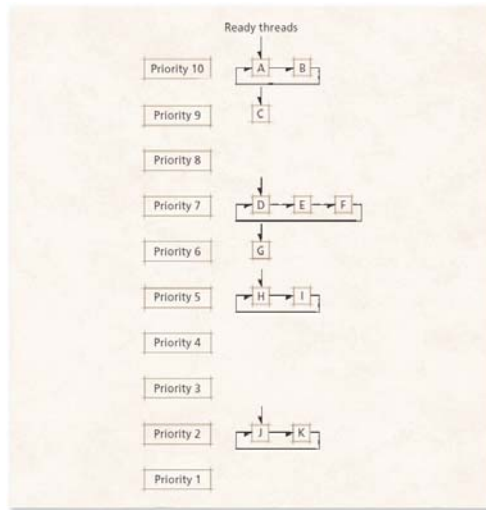
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## 8.10 Java Thread Scheduling

**Figure 8.7** Java thread priority scheduling.



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