## Privacy Preferences



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### **Privacy Preferences**

- Introduction to P3P (2002)
- User Interfaces for Privacy Agents (2006)



# INTRODUCTION TO P3P

#### P<sub>3</sub>P

#### Platform for Privacy Preferences

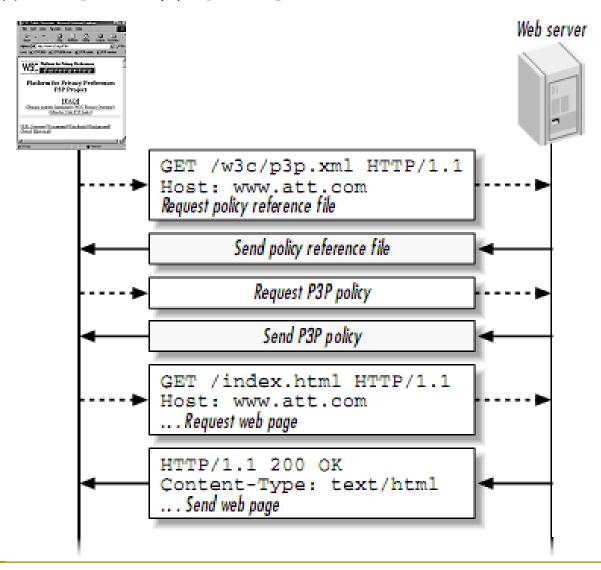
#### Goals

- Enable the end user to understand what data will be gathered by the site, how the data will be used, and allow the user to have control over that data
- Enable the websites to show their privacy policies in a standard, computer-readable format

#### Specification

- Standard, computer-readable format for privacy policies
- Protocol to read and process privacy policies automatically

#### How P3P Works



### Major components of a P3P policy

**ENTITY**—contact information for the business, organization, or person who owns the site

**ACCESS**—whether individuals can find out what personal data a site keeps about them in its databases (6 types of access policies are specified)

**DISPUTES**—how to resolve privacy-related disputes with the site (customer-service desk, privacy seals, relevant privacy laws, etc.); also includes REMEDIES subelement

STATEMENT

**DATA**—the kinds of data collected (17 data CATEGORY elements and dozens of specific data elements are specified)

**PURPOSE**—how collected data is used and whether individuals can opt-in or opt-out of any of these uses (11 types of purposes and an "other-purpose" are specified, each may take a "required" attribute)

**RECIPIENT**—whether and under what conditions data may be shared and whether there is an opt-in or opt-out (6 types of recipient policies are specified; each may take a "required" attribute)

**RETENTION**—policies for periodic purging of collected data (5 types of retention policies are specified)

**CONSEQUENCE**—human-readable explanation of site's data practices

### Policy File

```
<POLICIES xmlns="http://www.w3.org/2002/01/P3Pv1">
<POLICY discuri="http://p3pbook.com/privacy.html"
       name="policy">
  < ENTITY>
  <DATA-GROUP>
    <DATA
     ref="#business.contact-info.online.email">privacy@p3pbook.com
    </DATA>
    <DATA
     ref="#business.contact-info.online.uri">http://p3pbook.com/
    </DATA>
   <DATA ref="#business.name">Web Privacy With P3P</DATA>
 </DATA-GROUP>
 </ENTITY>
 <ACCESS><nonident/></ACCESS>
  <STATEMENT>
    <CONSEQUENCE>We keep standard web server logs.</CONSEQUENCE>
    <PURPOSE><admin/><current/><develop/></PURPOSE>
    <RECIPIENT><ours/></RECIPIENT>
    <RETENTION><indefinitely/></RETENTION>
    <DATA-GROUP>
       <DATA ref="#dynamic.clickstream"/>
       <DATA ref="#dynamic.http"/>
    </DATA-GROUP>
 </STATEMENT>
</POLICY>
</POLICIES>
```

### Policy File

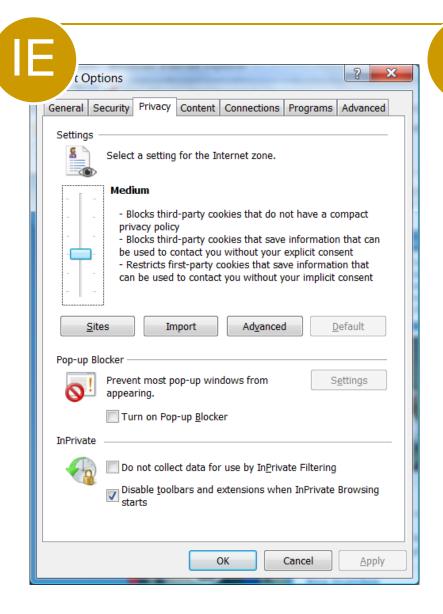
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<POLICY discuri="http://p3pbook.com/privacy.html"
       name="policy">
  < ENTITY>
  <DATA-GROUP>
    <DATA
     ref="#business.contact-info.online.email">privacy@p3pbook.com
    </DATA>
    <DATA
     ref="#business.contact-info.online.uri">http://p3pbook.com/
    </DATA>
   <DATA ref="#business.name">Web Privacy With P3P</DATA>
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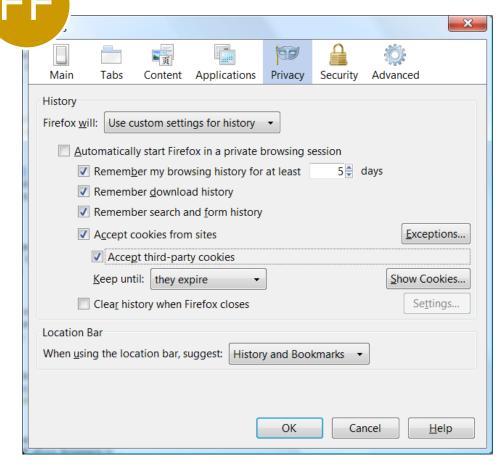
### User Agents

- Generic term to describe any P3P implementation
  - Web browsers
    - Mozilla
    - IE6
    - Netscape 7
  - Electronic Wallet
  - ISP software
  - Standalone application
  - Search Engines

### Implementations

- Compact Policies
  - Cookie filtering decisions only
- P3P Preference Exchange Language (APPEL)
  - Use by an organization to created custom P3P files to distribute to end user
- Privacy Bird and Fox





#### PRIVACY BIRD

#### Issues

- Interface for informing users about website privacy policies
- Interface for configuring a user agent to take actions based off the user's privacy preferences

#### Role

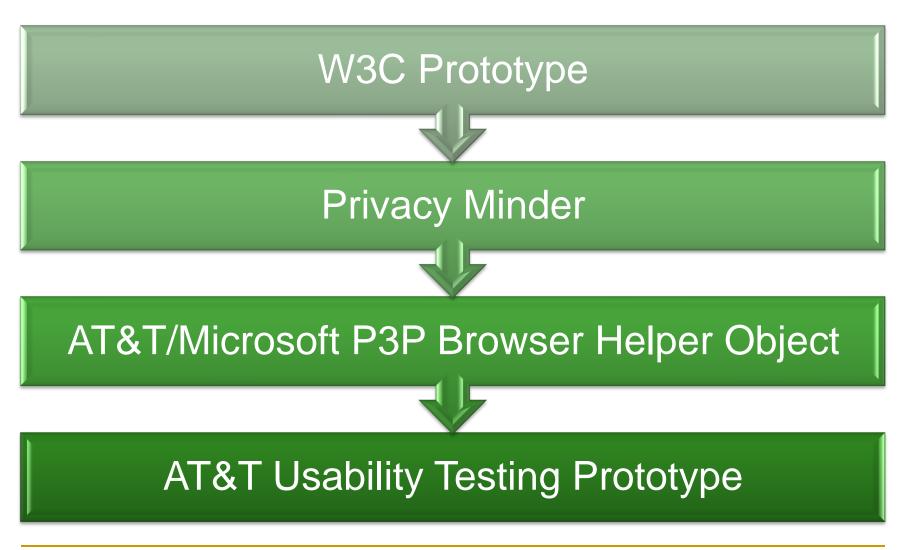
 Privacy Enhancing Technologies (PETs) is part of Fair Information Practices (FIPs)



### Challenges

- Privacy policies are difficult
- User preferences are also complex and have nuances
- Users are unfamiliar with the terms
- Users are inexperienced in expressing their preferences
- Users have contradictory expectations

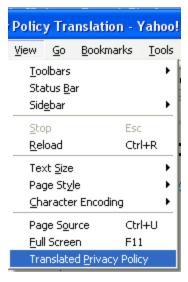
### Design



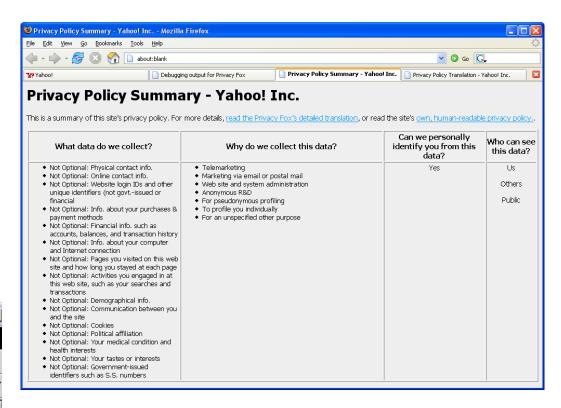
### Privacy Bird

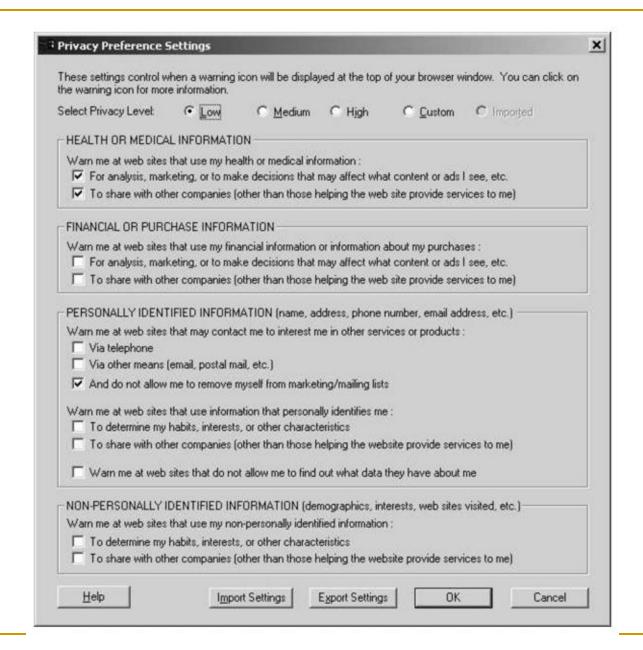
- A P3P user agent
- Add on for IE 5.01, 5.5, and 6.0

#### User Interface









### Design Decisions

Vocabulary Subset Bundling Similar Vocabulary Elements

Removing Jargon

Using
Vocabulary
Elements in
Combination

Layered Interfaces

**Default Settings** 

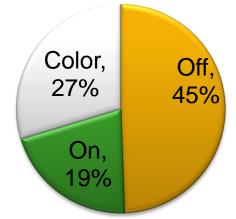
Icons and Earcons

#### Evaluation

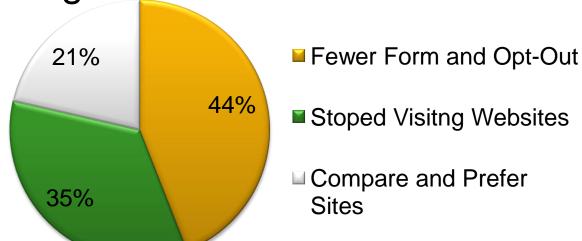
- Usefulness and usability of P3P user agents from the perspective of their users
- Controlled laboratory setting as well as how it is used in practice
- Bellotti's privacy-sensitive design criteria

#### User Survey

- Yellow bird appeared at most websites
- Strong feelings about the optional sound effects



Change in online behavior at 88%



### Laboratory Study

- 12 experienced Microsoft Internet Explorer users who had never used Privacy Bird or the P3P features in IE6
- Given a brief tutorial on Privacy Bird beta 1.2 and the IE6 P3P features
- Answer four questions about a website's privacy policy.
- Control was to ask user to read an privacy policy at a different website

#### Questions

- Whether or not the site might send a visitor unsolicited email
- 2. Whether or not the site might share a visitor's email address with another company that might send the visitor unsolicited email
- Whether or not the site uses cookies
- 4. Determining what steps a visitor could take to exercise opt-out or unsubscribe options.

### Results (Compare User Agents)

Table II. Comparison of Results for Privacy Bird, IE6, and Privacy Policies

	Privacy Bird	IE6	Privacy Policy
Average number of correct	3.33 out of 4	2.58 out of 4 [3.55 adjusted]	3.08 out of 4
responses to four questions			
Average time to answer four	259 seconds	408 seconds	285 seconds
questions			
Average time to answer	99 seconds	162 seconds	175 seconds
question 1			
Average time to answer	61 seconds	81 seconds	28 seconds
question 2			
Average time to answer	26 seconds	23 seconds	19 seconds
question 3			
Average time to answer	73 seconds	142 seconds	64 seconds
question 4			
Average rating of ease of	4.17	2.83	2.08
finding information on five			
point scale (where five is			
very easy and 1 is very			
difficult)			

### Results (Usefulness)

Table III. Average Ratings of Privacy Bird and IE6 on a Five-Point Scale Where 5 is More Favorable Than 1

	Privacy Bird	IE6	Paired t-test, two tailed
Usefulness	4.17	3.25	t = 3.53, df = 11, p < .0047
Likely to use in the future	4.60	3.50	t=2.54, $df=9$ , $p<.032$
Likely to recommend to a friend	4.58	2.75	t=4.53, df=11, p<.00086
Ease of understanding policy summary	4.00	2.67	t = 5.20, $df = 11$ , $p < .00029$
Ease of finding information	4.17	2.83	t = 5.20, $df = 11$ , $p < .00029$

#### Results (Other)

- Information Presented by P3P User Agents
- Icons
- Language used in Preference Configuration
   Interface and Policy Summary
- Privacy Agents as Educational Tools.

#### Evaluation Criteria



#### Summary

Privacy Bird to be both useful and usable.

#### Good

- Summary format
- Persistent privacy icon
- Able to determine why warned

#### **Improve**

- Policy summary
- Wording
- Icons
- Obvious expand/collapse

#### Discussion

- Does simplification run the risk of misleading or confusing users
- What do users want out of privacy policies
- Are privacy preferences independent of the capabilities of a P3P user agent
- Do tools really provide the best way to educated end users
- Why hasn't P3P adoption taken off