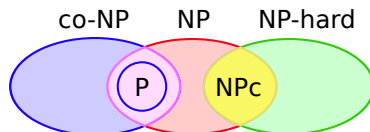


# NP-Complete Problems

T. M. Murali

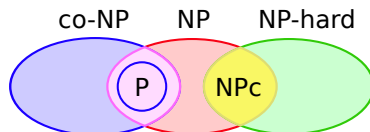
April 25, 30, 2013

# Proving Other Problems $\mathcal{NP}$ -Complete



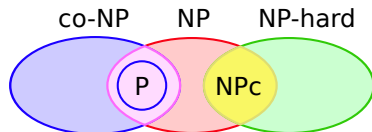
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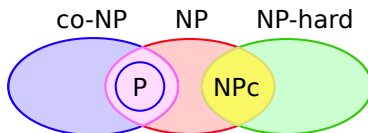
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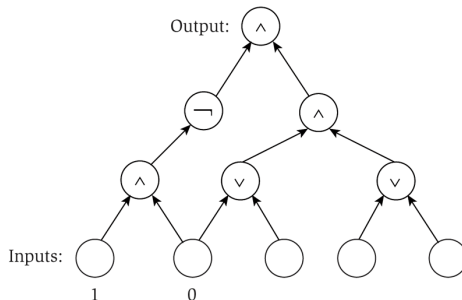
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- ▶ To prove  $X$  is  $\mathcal{NP}$ -Complete, reduce **a known  $\mathcal{NP}$ -Complete problem**  $Y$  to  $X$ . **Do not prove reduction in the opposite direction, i.e.,  $X \leq_P Y$ .**
- ▶ If we use Karp reductions, we can refine the strategy:
  1. Prove that  $X \in \mathcal{NP}$ .
  2. Select a problem  $Y$  known to be  $\mathcal{NP}$ -Complete.
  3. Consider an arbitrary instance  $s_Y$  of problem  $Y$ . Show how to construct, in polynomial time, an instance  $s_X$  of problem  $X$  such that
    - (a) If  $s_Y \in Y$ , then  $s_X \in X$  and
    - (b) If  $s_X \in X$ , then  $s_Y \in Y$ .

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- ▶ Why is 3-SAT in NP?

## 3-SAT is $\mathcal{NP}$ -Complete

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- ▶  $\text{CIRCUIT SATISFIABILITY} \leq_P \text{3-SAT}$ .
  1. Given an instance of  $\text{CIRCUIT SATISFIABILITY}$ , create an instance of SAT, in which each clause has *at most* three variables.
  2. Convert this instance of SAT into one of 3-SAT.



**Figure 8.4** A circuit with three inputs, two additional sources that have assigned truth values, and one output.

## Circuit Satisfiability $\leq_P$ 3-SAT: Transformation

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- ▶ Output: if  $o$  is the output node, use the clause  $(x_o)$ .



# Circuit Satisfiability $\leq_P$ 3-SAT: Proof

- ▶ Prove that  $K$  is equivalent to the instance of SAT.
  - ▶  $K$  is satisfiable  $\rightarrow$  clauses are satisfiable.

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  - ▶ If a clause has a single term  $t$ , replace the clause with  $(t \vee z_1 \vee z_2)$ .
  - ▶ If a clause has a two terms  $t$  and  $t'$ , replace the clause with  $t \vee t' \vee z_1$ .



## More $\mathcal{NP}$ -Complete problems

- ▶ CIRCUIT SATISFIABILITY is  $\mathcal{NP}$ -Complete.
- ▶ We just showed that CIRCUIT SATISFIABILITY  $\leq_P$  3-SAT.
- ▶ We know that

3-SAT  $\leq_P$  INDEPENDENT SET  $\leq_P$  VERTEX COVER  $\leq_P$  SET COVER

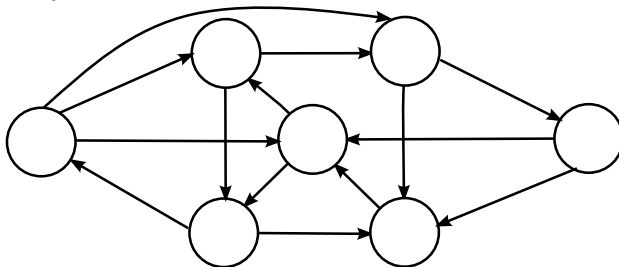
- ▶ All these problems are in  $\mathcal{NP}$ .
- ▶ Therefore, INDEPENDENT SET, VERTEX COVER, and SET COVER are  $\mathcal{NP}$ -Complete.

# Hamiltonian Cycle

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- ▶ Another type of computationally hard problem involves searching over the set of all permutations of a collection of objects.

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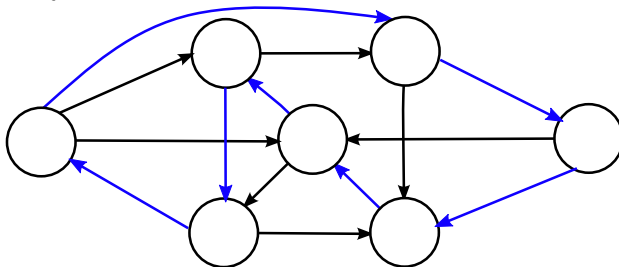
HAMILTONIAN CYCLE

**INSTANCE:** A directed graph  $G$ .

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# Hamiltonian Cycle is $\mathcal{NP}$ -Complete

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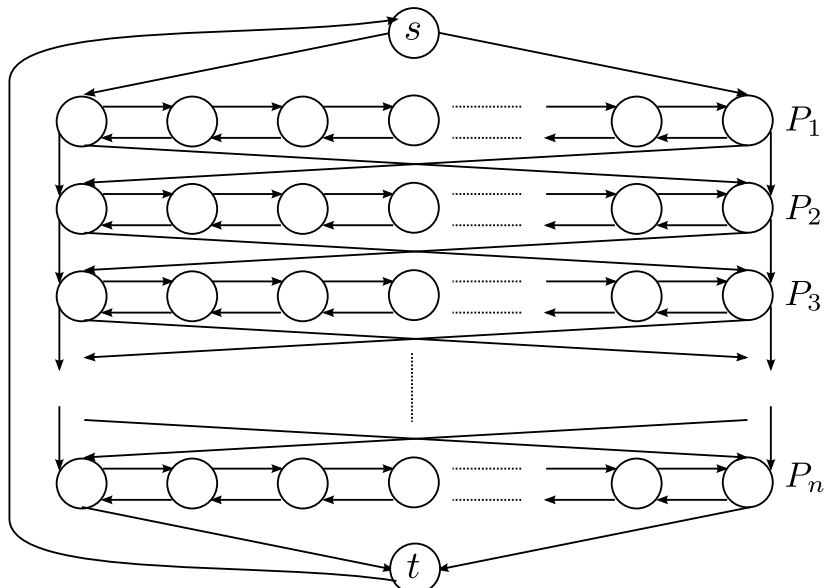
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# Hamiltonian Cycle is $\mathcal{NP}$ -Complete

- ▶ Why is the problem in  $\mathcal{NP}$ ?
- ▶ Claim:  $3\text{-SAT} \leq_P \text{HAMILTONIAN CYCLE}$ . [▶ Jump to TSP](#)
- ▶ Consider an arbitrary instance of 3-SAT with variables  $x_1, x_2, \dots, x_n$  and clauses  $C_1, C_2, \dots, C_k$ .
- ▶ Strategy:
  1. Construct a graph  $G$  with  $O(nk)$  nodes and edges and  $2^n$  Hamiltonian cycles with a one-to-one correspondence with  $2^n$  truth assignments.
  2. Add nodes to impose constraints arising from clauses.
  3. Construction takes  $O(nk)$  time.
- ▶  $G$  contains  $n$  paths  $P_1, P_2, \dots, P_n$ , one for each variable.
- ▶ Each  $P_i$  contains  $b = 3k + 3$  nodes  $v_{i,1}, v_{i,2}, \dots, v_{i,b}$ , three for each clause and some extra nodes.

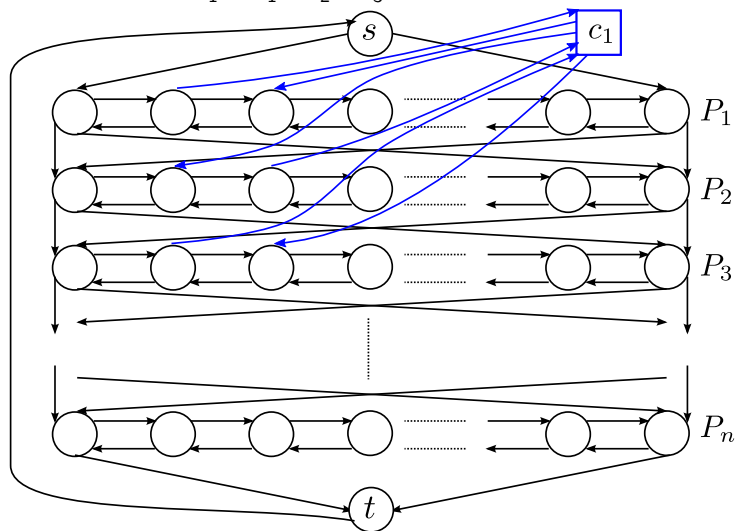
# 3-SAT $\leq_P$ Hamiltonian Cycle: Constructing $G$



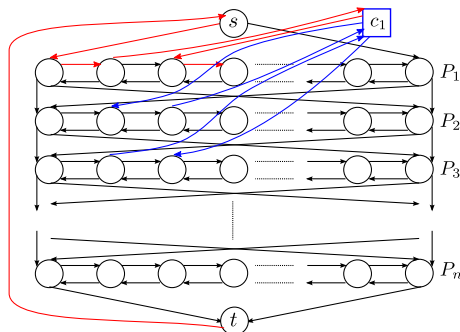


# 3-SAT $\leq_P$ Hamiltonian Cycle: Modelling clauses

- Consider the clause  $C_1 = x_1 \vee \overline{x_2} \vee x_3$ .

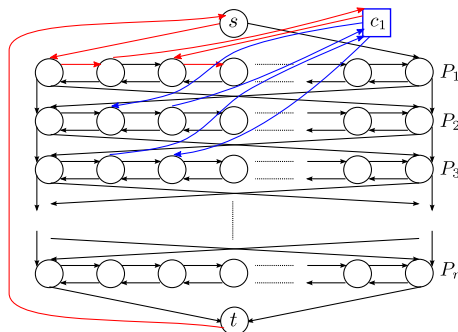


# 3-SAT $\leq_P$ Hamiltonian Cycle: Proof Part 1



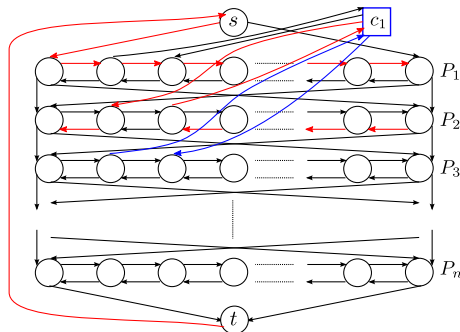
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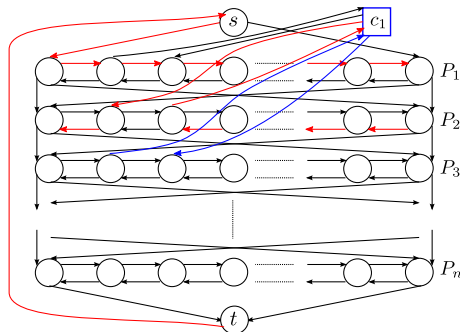
- ▶ 3-SAT instance is satisfiable  $\rightarrow G$  has a Hamiltonian cycle.
  - ▶ Construct a Hamiltonian cycle  $\mathcal{C}$  as follows:
  - ▶ If  $x_i = 1$ , traverse  $P_i$  from left to right in  $\mathcal{C}$ .
  - ▶ Otherwise, traverse  $P_i$  from right to left in  $\mathcal{C}$ .
  - ▶ For each clause  $C_j$ , there is at least one term set to 1. If the term is  $x_i$ , splice  $c_j$  into  $\mathcal{C}$  using edge from  $v_{i,3j}$  and edge to  $v_{i,3j+1}$ . Analogous construction if term is  $\bar{x}_i$ .

# 3-SAT $\leq_P$ Hamiltonian Cycle: Proof Part 2



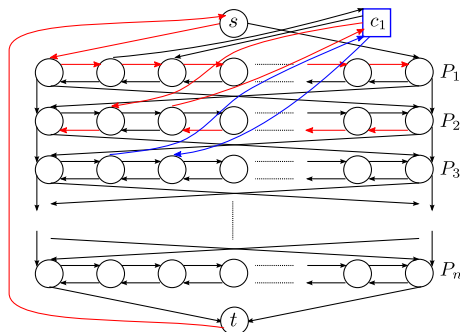
- ▶  $G$  has a Hamiltonian cycle  $\mathcal{C} \rightarrow$  3-SAT instance is satisfiable.
  - ▶ If  $\mathcal{C}$  enters  $c_j$  on an edge from  $v_{i,3j}$ , it must leave  $c_j$  along the edge to  $v_{i,3j+1}$ .
  - ▶ Analogous statement if  $\mathcal{C}$  enters  $c_j$  on an edge from  $v_{i,3j+1}$ .

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  - ▶ Nodes immediately before and after  $c_j$  in  $\mathcal{C}$  are themselves connected by an edge  $e$  in  $G$ .
  - ▶ If we remove all such edges  $e$  from  $\mathcal{C}$ , we get a Hamiltonian cycle  $\mathcal{C}'$  in  $G - \{c_1, c_2, \dots, c_k\}$ .
  - ▶ Use  $\mathcal{C}'$  to construct truth assignment to variables; prove assignment is satisfying.

# The Traveling Salesman Problem

- ▶ A salesman must visit  $n$  cities  $v_1, v_2, \dots, v_n$  starting at home city  $v_1$ .
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- ▶ For every pair of cities  $v_i$  and  $v_j$ ,  $d(v_i, v_j) > 0$  is the distance from  $v_i$  to  $v_j$ .
- ▶ A *tour* is a permutation  $v_{i_1} = v_1, v_{i_2}, \dots, v_{i_n}$ .
- ▶ The *length* of the tour is  $\sum_{j=1}^{n-1} d(v_{i_j}, v_{i_{j+1}}) + d(v_{i_n}, v_{i_1})$ .



# The Traveling Salesman Problem

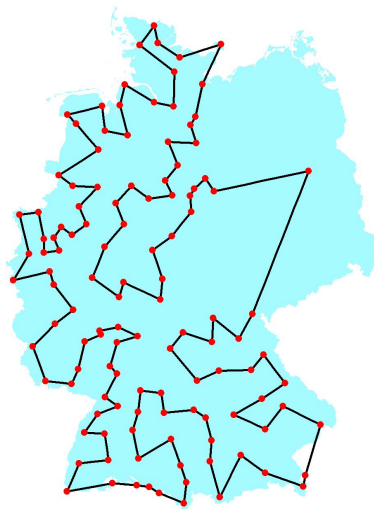
- ▶ A salesman must visit  $n$  cities  $v_1, v_2, \dots, v_n$  starting at home city  $v_1$ .
- ▶ Salesman must find a *tour*, an order in which to visit each city exactly once, and return home.
- ▶ Goal is to find as short a tour as possible.
- ▶ For every pair of cities  $v_i$  and  $v_j$ ,  $d(v_i, v_j) > 0$  is the distance from  $v_i$  to  $v_j$ .
- ▶ A *tour* is a permutation  $v_{i_1} = v_1, v_{i_2}, \dots, v_{i_n}$ .
- ▶ The *length* of the tour is  $\sum_{j=1}^{n-1} d(v_{i_j}, v_{i_{j+1}}) + d(v_{i_n}, v_{i_1})$ .

## TRAVELLING SALESMAN

**INSTANCE:** A set  $V$  of  $n$  cities, a function  $d : V \times V \rightarrow \mathbb{R}^+$ , and a number  $D > 0$ .

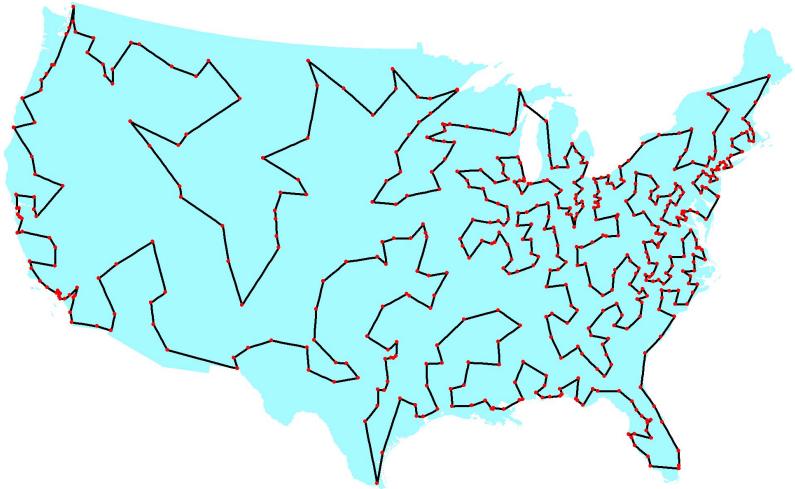
**QUESTION:** Is there a tour of length at most  $D$ ?

# Examples of Travelling Salesman



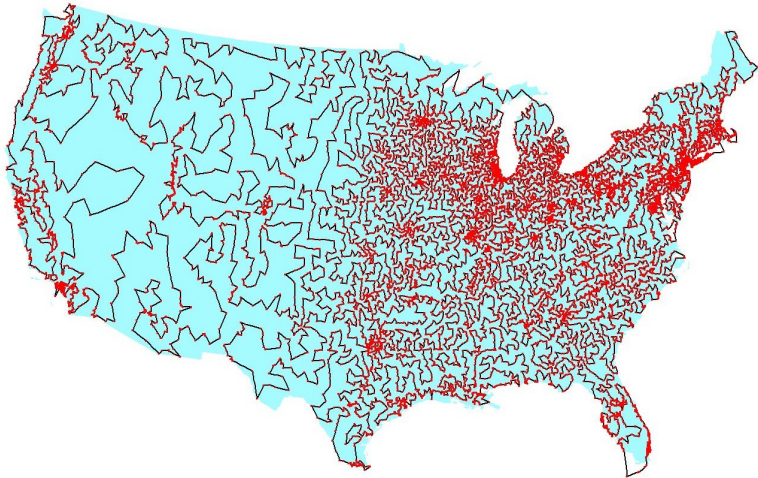
(1977) 120 cities, Groetschel  
Images taken from <http://tsp.gatech.edu>

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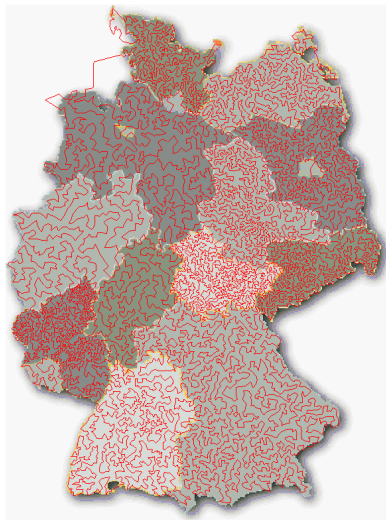
(1987) 532 AT&T switch locations, Padberg and Rinaldi  
Images taken from <http://tsp.gatech.edu>

# Examples of Travelling Salesman



(1987) 13,509 cities with population  $\geq 500$ , Applegate, Bixby, Chvátal, and Cook  
Images taken from <http://tsp.gatech.edu>

# Examples of Travelling Salesman



(2001) 15,112 cities, Applegate, Bixby, Chvátal, and Cook  
Images taken from <http://tsp.gatech.edu>

# Examples of Travelling Salesman



(2004) 24978, cities, Applegate, Bixby, Chvátal, Cook, and Helsgaum  
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# Travelling Salesman is $\mathcal{NP}$ -Complete

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HAMILTONIAN CYCLE	TRAVELLING SALESMAN
Directed graph $G(V, E)$	Cities
Edges have identical weights	Distances between cities can vary
Not all pairs of nodes are connected in $G$	<i>Every pair</i> of cities has a distance
$(u, v)$ and $(v, u)$ may both be edges	$d(v_i, v_j) \neq d(v_j, v_i)$ , in general
Does a cycle exist?	Does a tour of length $\leq D$ exist?

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  - ▶ Create a city  $v_i$  for each node  $i \in V$ .
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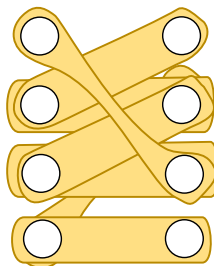
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- ▶ Claim:  $G$  has a Hamiltonian cycle iff the instance of Travelling Salesman has a tour of length at most  $n$ .

# Special Cases and Extensions that are $\mathcal{NP}$ -Complete

- ▶ HAMILTONIAN CYCLE for undirected graphs.
- ▶ HAMILTONIAN PATH for directed and undirected graphs.
- ▶ TRAVELLING SALESMAN with symmetric distances (by reducing HAMILTONIAN CYCLE for undirected graphs to it).
- ▶ TRAVELLING SALESMAN with distances defined by points on the plane.

## 3-Dimensional Matching

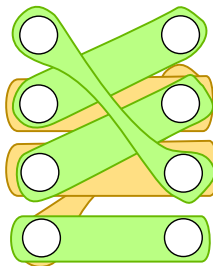


### BIPARTITE MATCHING

**INSTANCE:** Disjoint sets  $X$ ,  $Y$ , each of size  $n$ , and a set  $T \subseteq X \times Y$  of pairs

**QUESTION:** Is there a set of  $n$  pairs in  $T$  such that each element of  $X \cup Y$  is contained in exactly one of these pairs?

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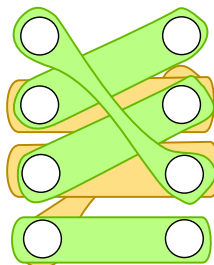


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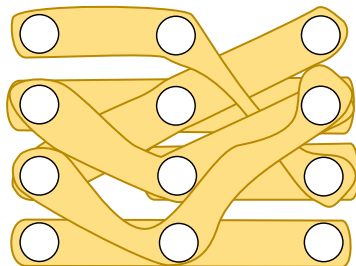
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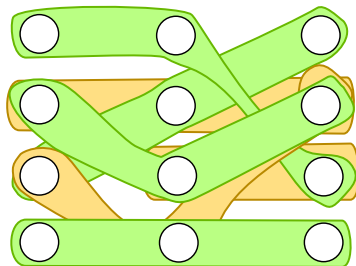
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- ▶ Easy to show 3-DIMENSIONAL MATCHING  $\leq_P$  SET COVER and 3-DIMENSIONAL MATCHING  $\leq_P$  SET PACKING.

# 3-Dimensional Matching is $\mathcal{NP}$ -Complete

- Why is the problem in  $\mathcal{NP}$ ?

# 3-Dimensional Matching is $\mathcal{NP}$ -Complete

- ▶ Why is the problem in  $\mathcal{NP}$ ?
- ▶ Show that  $3\text{-SAT} \leq_P 3\text{-DIMENSIONAL MATCHING}$ . [▶ Jump to Colouring](#)
- ▶ Strategy:
  - ▶ Start with an instance of 3-SAT with  $n$  variables and  $k$  clauses.
  - ▶ Create a gadget for each variable  $x_i$  that encodes the choice of truth assignment to  $x_i$ .
  - ▶ Add gadgets that encode constraints imposed by clauses.

# 3-SAT $\leq_P$ 3-Dimensional Matching: Variables

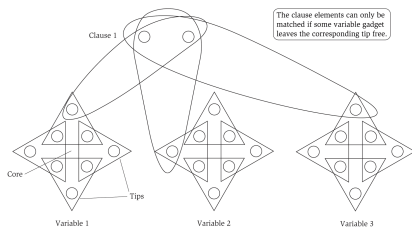


Figure 8.9 The reduction from 3-SAT to 3-Dimensional Matching.

- ▶ Each  $x_i$  corresponds to a *variable gadget*  $i$  with  $2k$  *core* elements  $A_i = \{a_{i,1}, a_{i,2}, \dots, a_{i,2k}\}$  and  $2k$  *tips*  $B_i = \{b_{i,1}, b_{i,2}, \dots, b_{i,2k}\}$ .
- ▶ For each  $1 \leq j \leq 2k$ , variable gadget  $i$  includes a triple  $t_{ij} = (a_{i,j}, a_{i,j+1}, b_{i,j})$ .
- ▶ A triple (tip) is *even* if  $j$  is even. Otherwise, the triple (tip) is *odd*.
- ▶ Only these triples contain elements in  $A_i$ .

# 3-SAT $\leq_P$ 3-Dimensional Matching: Variables

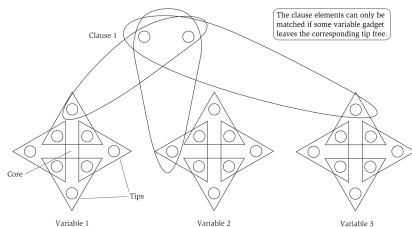


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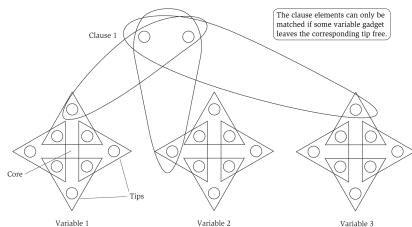


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- ▶ A triple (tip) is *even* if  $j$  is even. Otherwise, the triple (tip) is *odd*.
- ▶ Only these triples contain elements in  $A_i$ .
- ▶ In any perfect matching, we can cover the elements in  $A_i$  either using all the even triples in gadget  $i$  or all the odd triples in the gadget.
- ▶ Even triples used, odd tips free  $\equiv x_i = 0$ ; odd triples used, even tips free  $\equiv x_i = 1$ .

# 3-SAT $\leq_P$ 3-Dimensional Matching: Clauses

- Consider the clause  $C_1 = x_1 \vee \overline{x_2} \vee x_3$ .

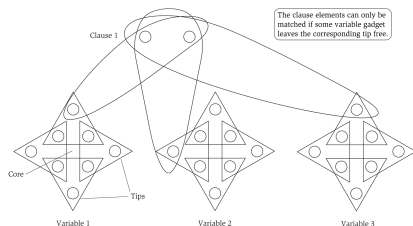


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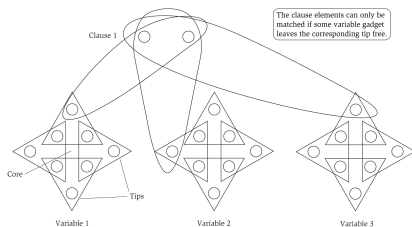


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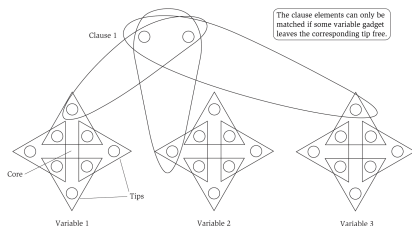
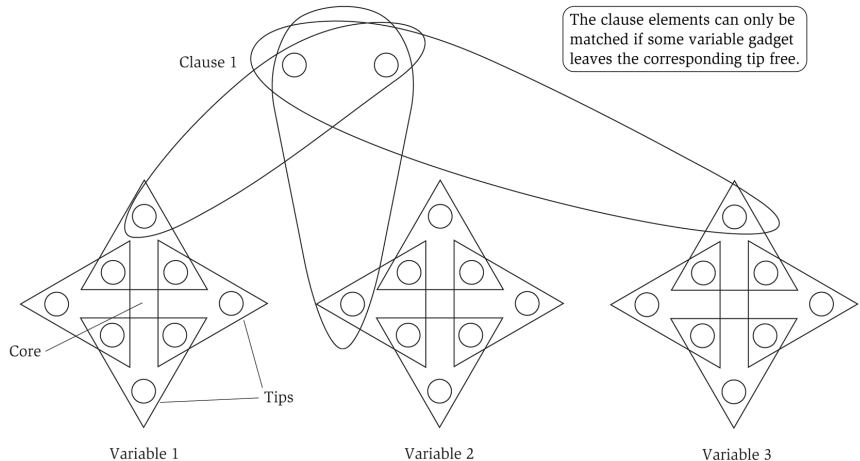


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- ▶ *Clause gadget  $j$*  for clause  $C_j$  contains two core elements  $P_j = \{p_j, p'_j\}$  and three triples:
  - ▶  $C_j$  contains  $x_i$ : add triple  $(p_j, p'_j, b_{i,2j})$ .
  - ▶  $C_j$  contains  $\overline{x_i}$ : add triple  $(p_j, p'_j, b_{i,2j-1})$ .

# 3-SAT $\leq_P$ 3-Dimensional Matching: Example



**Figure 8.9** The reduction from 3-SAT to 3-Dimensional Matching.

# 3-SAT $\leq_P$ 3-Dimensional Matching: Proof

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- ▶ Matching  $\rightarrow$  satisfying assignment.



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  - ▶ Is clause  $C_j$  satisfied? Core in clause gadget  $j$  is covered by some triple  $\Rightarrow$  other element in the triple must be a tip element from the correct odd/even set in the three variable gadgets corresponding to a term in  $C_j$ .

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- ▶ How many elements do we have?
  - ▶  $2nk$   $a_{ij}$  elements.
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  - ▶  $k$   $p'_j$  elements.
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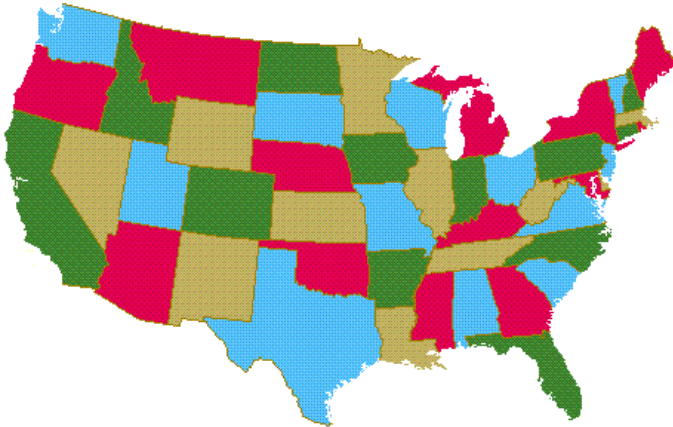
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- ▶  $X$  is the union of  $a_{ij}$  with even  $j$ , the set of all  $p_j$  and the set of all  $q_i$ .
- ▶  $Y$  is the union of  $a_{ij}$  with odd  $j$ , the set of all  $p'_j$  and the set of all  $q'_i$ .
- ▶  $Z$  is the set of all  $b_{ij}$ .

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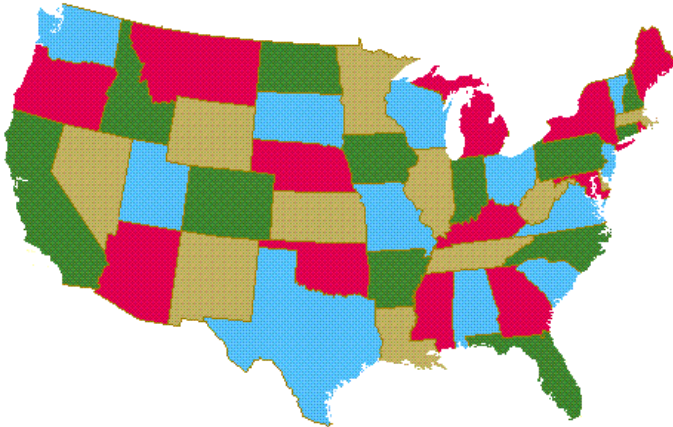
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  - ▶  $k$   $p_j$  elements.
  - ▶  $k$   $p'_j$  elements.
  - ▶  $(n-1)k$   $q_i$  elements.
  - ▶  $(n-1)k$   $q'_i$  elements.
- ▶  $X$  is the union of  $a_{ij}$  with even  $j$ , the set of all  $p_j$  and the set of all  $q_i$ .
- ▶  $Y$  is the union of  $a_{ij}$  with odd  $j$ , the set of all  $p'_j$  and the set of all  $q'_i$ .
- ▶  $Z$  is the set of all  $b_{ij}$ .
- ▶ Each triple contains exactly one element from  $X$ ,  $Y$ , and  $Z$ .



# Colouring maps

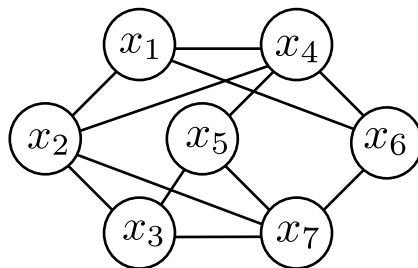


# Colouring maps



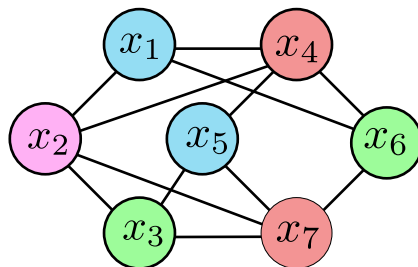
- ▶ Any map can be coloured with four colours (Appel and Hakken, 1976).

# Graph Colouring



- ▶ Given an undirected graph  $G(V, E)$ , a  *$k$ -colouring* of  $G$  is a function  $f : V \rightarrow \{1, 2, \dots, k\}$  such that for every edge  $(u, v) \in E$ ,  $f(u) \neq f(v)$ .

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GRAPH COLOURING (*k*-COLOURING)

**INSTANCE:** An undirected graph  $G(V, E)$  and an integer  $k > 0$ .

**QUESTION:** Does  $G$  have a *k*-colouring?

# Applications of Graph Colouring

1. Job scheduling: assign jobs to  $n$  processors under constraints that certain pairs of jobs cannot be scheduled at the same time.
2. Compiler design: assign variables to  $k$  registers but two variables being used at the same time cannot be assigned to the same register.
3. Wavelength assignment: assign one of  $k$  transmitting wavelengths to each of  $n$  wireless devices. If two devices are close to each other, they must get different wavelengths.

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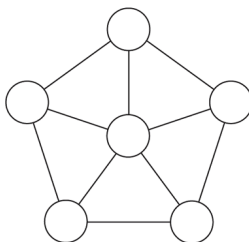
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- ▶ Testing 2-colourability is possible in  $O(|V| + |E|)$  time.
- ▶ What about 3-COLOURING? Is it easy to exhibit a certificate that a graph *cannot* be coloured with three colours?



**Figure 8.10** A graph that is not 3-colorable.

# 3-Colouring is $\mathcal{NP}$ -Complete

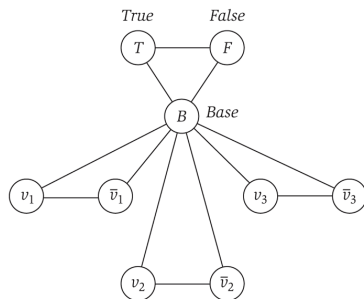
- ▶ Why is 3-Colouring in  $\mathcal{NP}$ ?

## 3-Colouring is $\mathcal{NP}$ -Complete

- ▶ Why is 3-Colouring in  $\mathcal{NP}$ ?
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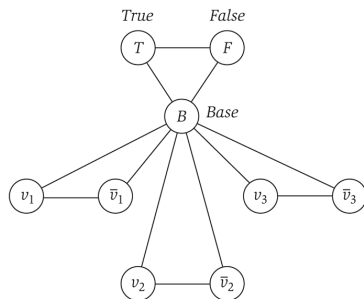
# 3-SAT $\leq_P$ 3-Colouring: Encoding Variables

- $x_i$  corresponds to node  $v_i$  and  $\bar{x}_i$  corresponds to node  $\bar{v}_i$ .



**Figure 8.11** The beginning of the reduction for 3-Coloring.

## 3-SAT $\leq_P$ 3-Colouring: Encoding Variables



**Figure 8.11** The beginning of the reduction for 3-Coloring.

- ▶  $x_i$  corresponds to node  $v_i$  and  $\bar{x}_i$  corresponds to node  $\bar{v}_i$ .
- ▶ In any 3-Colouring, nodes  $v_i$  and  $\bar{v}_i$  get a colour different from  $Base$ .
- ▶ *True colour*: colour assigned to the *True* node; *False colour*: colour assigned to the *False* node.
- ▶ Set  $x_i$  to 1 iff  $v_i$  gets the *True* colour.

# 3-SAT $\leq_P$ 3-Colouring: Encoding Clauses

- Consider the clause  
 $C_1 = x_1 \vee \overline{x_2} \vee x_3.$

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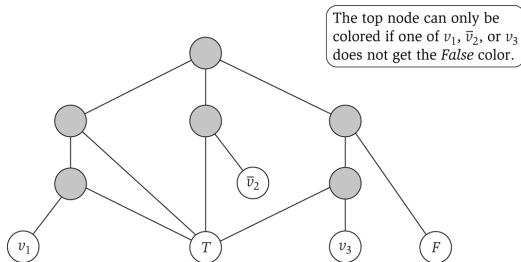


Figure 8.12 Attaching a subgraph to represent the clause  $x_1 \vee \bar{x}_2 \vee x_3$ .

- Consider the clause  $C_1 = x_1 \vee \bar{x}_2 \vee x_3$ .
- Attach a six-node subgraph for this clause to the rest of the graph.

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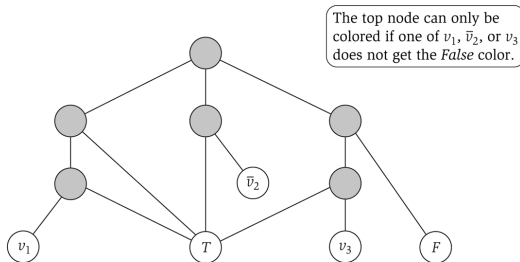


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- ▶ Claim: Top node in the subgraph can be coloured in a 3-colouring iff one of  $v_1$ ,  $\bar{v}_2$ , or  $v_3$  does not get the *False* colour.



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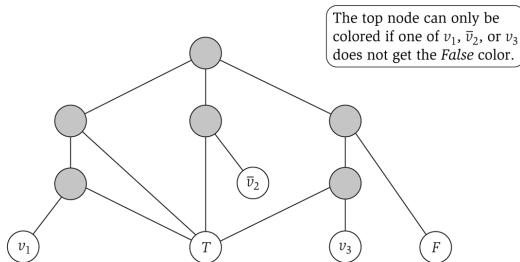


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- ▶ Claim: Graph is 3-colourable iff instance of 3-SAT is satisfiable.

# Subset Sum

## SUBSET SUM

**INSTANCE:** A set of  $n$  natural numbers  $w_1, w_2, \dots, w_n$  and a target  $W$ .

**QUESTION:** Is there a subset of  $\{w_1, w_2, \dots, w_n\}$  whose sum is  $W$ ?

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3-DIMENSIONAL MATCHING  $\leq_P$  SUBSET SUM.
- ▶ **Caveat:** Special case of SUBSET SUM in which  $W$  is bounded by a polynomial function of  $n$  is **not**  $\mathcal{NP}$ -Complete (read pages 494–495 of your textbook).

# Examples of Hard Computational Problems

*(taken from Adam D. Smith's slides at Penn State University)*

- ▶ Aerospace engineering: optimal mesh partitioning for finite elements.
- ▶ Biology: protein folding.
- ▶ Chemical engineering: heat exchanger network synthesis.
- ▶ Civil engineering: equilibrium of urban traffic flow.
- ▶ Economics: computation of arbitrage in financial markets with friction.
- ▶ Electrical engineering: VLSI layout.
- ▶ Environmental engineering: optimal placement of contaminant sensors.
- ▶ Financial engineering: find minimum risk portfolio of given return.
- ▶ Game theory: find Nash equilibrium that maximizes social welfare.
- ▶ Genomics: phylogeny reconstruction.
- ▶ Mechanical engineering: structure of turbulence in sheared flows.
- ▶ Medicine: reconstructing 3-D shape from biplane angiogram.
- ▶ Operations research: optimal resource allocation.
- ▶ Physics: partition function of 3-D Ising model in statistical mechanics.
- ▶ Politics: Shapley-Shubik voting power.
- ▶ Pop culture: Minesweeper consistency.
- ▶ Statistics: optimal experimental design.