# Divide and Conquer Algorithms

#### T. M. Murali

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CS 4104: Divide and Conquer Algorithms

# **Divide and Conquer Algorithms**

- Study three divide and conquer algorithms:
  - Counting inversions.
  - Finding the closest pair of points.
  - Integer multiplication.
- First two problems use clever conquer strategies.
- Third problem uses a clever divide strategy.

- Collaborative filtering: match one user's preferences to those of other users.
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- Suggestion: two rankings are very similar if they have few inversions.
  - Assume one ranking is the ordered list of integers from 1 to *n*.
  - ► The other ranking is a permutation a<sub>1</sub>, a<sub>2</sub>,..., a<sub>n</sub> of the integers from 1 to n.
  - ► The second ranking has an *inversion* if there exist i, j such that i < j but a<sub>i</sub> > a<sub>j</sub>.
  - ► The number of inversions *s* is a measure of the difference between the rankings.
- ► Question also arises in statistics: Kendall's rank correlation of two lists of numbers is 1 - 2s/(n(n - 1)).

#### COUNT INVERSIONS

**INSTANCE:** A list  $L = x_1, x_2, ..., x_n$  of distinct integers between 1 and *n*.

**SOLUTION:** The number of pairs  $(i, j), 1 \le i < j \le n$  such  $x_i > x_j$ .

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**Figure 5.4** Counting the number of inversions in the sequence 2, 4, 1, 3, 5. Each crossing pair of line segments corresponds to one pair that is in the opposite order in the input list and the ascending list—in other words, an inversion.

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- Candidate algorithm:
  - 1. Partition L into two lists A and B of size n/2 each.
  - 2. Recursively count the number of inversions in A.
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- 5. Append the rest of the non-empty list to the output.
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- Running time of this algorithm is O(m).





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Sort-and-Count(L)
If the list has one element then
    there are no inversions
Else
    Divide the list into two halves:
       A contains the first \lceil n/2 \rceil elements
       B contains the remaining |n/2| elements
    (r_A, A) = Sort-and-Count(A)
    (r_B, B) = \text{Sort-and-Count}(B)
    (r, L) = Merge-and-Count(A, B)
 Endif
 Return r = r_A + r_B + r, and the sorted list L
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► Running time T(n) of the algorithm is O(n log n) because T(n) ≤ 2T(n/2) + O(n).

#### **Counting Inversions: Correctness of Sort-and-Count**

Prove by induction. Strategy: every inversion in the data is counted exactly once.
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- Base case: n = 1.
- ► Inductive hypothesis: Algorithm counts number of inversions correctly for all sets of n - 1 or fewer numbers.
- Inductive step: Pick an arbitrary k and l such that k < l but x<sub>k</sub> > x<sub>l</sub>. When is this inversion counted?

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$$k, l \leq \lfloor n/2 \rfloor$$
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  - ▶  $k, l \leq \lfloor n/2 \rfloor$ :  $x_k, x_l \in A$ , counted in  $r_A$ .
  - $k, l \ge \lceil n/2 \rceil$ :  $x_k, x_l \in B$ , counted in  $r_B$ .
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  - ▶  $k, l \leq \lfloor n/2 \rfloor$ :  $x_k, x_l \in A$ , counted in  $r_A$ .
  - $k, l \ge \lceil n/2 \rceil$ :  $x_k, x_l \in B$ , counted in  $r_B$ .
  - ▶  $k \leq \lfloor n/2 \rfloor, l \geq \lceil n/2 \rceil$ :  $x_k \in A, x_l \in B$ . Is this inversion counted by MERGE-AND-COUNT? Yes, when  $x_l$  is output.
  - Why is no non-inversion not counted?



- Prove by induction. Strategy: every inversion in the data is counted exactly once.
- Base case: n = 1.
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  - ▶ Why is no non-inversion not counted? When *x<sub>l</sub>* is output, it is smaller than all remaining elements in *A*.



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	$\times 1101$
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(a)	(b)

**Figure 5.8** The elementary-school algorithm for multiplying two integers, in (a) decimal and (b) binary representation.

MULTIPLY INTEGERS **INSTANCE:** Two *n*-digit binary integers *x* and *y* **SOLUTION:** The product *xy* 

- Multiply two n-digit integers.
- Result has at most 2*n* digits.
- Algorithm we learnt in school takes O(n<sup>2</sup>) operations. Size of the input is not 2 but 2n,

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	$\times 1101$
12	1100
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► Algorithm: each of x<sub>1</sub>, x<sub>0</sub>, y<sub>1</sub>, y<sub>0</sub> has n/2 bits, so we can compute x<sub>1</sub>y<sub>1</sub>, x<sub>1</sub>y<sub>0</sub>, x<sub>0</sub>y<sub>1</sub>, and x<sub>0</sub>y<sub>0</sub> recursively, and merge the answers in O(n) time.

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Algorithm: each of x1, x0, y1, y0 has n/2 bits, so we can compute x1y1, x1y0, x0y1, and x0y0 recursively, and merge the answers in O(n) time.
What is the running time T(n)?

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$$\begin{array}{rcl} T(n) & \leq & 3T(n/2) + cn \\ & \leq & O(n^{\log_2 3}) = O(n^{1.59}) \end{array}$$

### **Final Algorithm**

Recursive-Multiply(x,y): Write  $x = x_1 \cdot 2^{n/2} + x_0$   $y = y_1 \cdot 2^{n/2} + y_0$ Compute  $x_1 + x_0$  and  $y_1 + y_0$  p = Recursive-Multiply( $x_1 + x_0$ ,  $y_1 + y_0$ )  $x_1y_1$  = Recursive-Multiply( $x_1$ ,  $y_1$ )  $x_0y_0$  = Recursive-Multiply( $x_0$ ,  $y_0$ ) Return  $x_1y_1 \cdot 2^n + (p - x_1y_1 - x_0y_0) \cdot 2^{n/2} + x_0y_0$ 

# **Computational Geometry**

- Algorithms for geometric objects: points, lines, segments, triangles, spheres, polyhedra, ldots.
- Started in 1975 by Shamos and Hoey.
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- At first glance, it seems any algorithm must take  $\Omega(n^2)$  time.
- Shamos and Hoey figured out an ingenious O(n log n) divide and conquer algorithm.

- Let  $P = \{p_1, p_2, ..., p_n\}$  with  $p_i = (x_i, y_i)$ .
- ► Use d(p<sub>i</sub>, p<sub>j</sub>) to denote the Euclidean distance between p<sub>i</sub> and p<sub>j</sub>. For a specific pair of points, can compute d(p<sub>i</sub>, p<sub>j</sub>) in O(1) time.
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  - Sort: closest pair must be adjacent in the sorted order.
  - Divide and conquer after sorting: closest pair must be closest of
    - 1. closest pair in left half: distance  $\delta_l$ .
    - 2. closest pair in right half: distance  $\delta_r$ .
    - 3. closest among pairs that span the left and right halves and are at most  $\min(\delta_l, \delta_r)$  apart. How many such pairs do we need to consider?



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- Generalize the second idea to 2D.



# **Closest Pair: Algorithm Skeleton**

- 1. Divide P into two sets Q and R of n/2 points such that each point in Q has x-coordinate less than any point in R.
- 2. Recursively compute closest pair in Q and in R, respectively.



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- 3. Let  $\delta_Q$  be the distance computed for Q,  $\delta_R$  be the distance computed for R, and  $\delta = \min(\delta_Q, \delta_R)$ .
- 4. Compute pair (q, r) of points such that  $q \in Q$ ,  $r \in R$ ,  $d(q, r) < \delta$ and d(q, r) is the smallest possible.


## **Closest Pair: Proof Sketch**

- Prove by induction: Let (s, t) be the closest pair.
  - (i) both are in Q: computed correctly by recursive call.
  - (ii) both are in R: computed correctly by recursive call.
  - (iii) one is in Q and the other is in R: computed correctly in O(n) time by the procedure we will discuss.
- Strategy: Pairs of points for which we do not compute the distance between cannot be the closest pair.
- Overall running time is  $O(n \log n)$ .



## **Closest Pair: Conquer Step**

- ► Line *L* passes through right-most point in *Q*.
- Let S be the set of points within distance  $\delta$  of L. (In image,  $\delta = \delta_{R}$ .)
- ► Claim: There exist  $q \in Q$ ,  $r \in R$  such that  $d(q, r) < \delta$  if and only if  $q, r \in S$ .
- ► Corollary: If  $t \in Q S$  and  $u \in R S$ , then (t, u) cannot be the closest pair.



▶ Intuition: "too many" points in S that are closer than  $\delta$  to each other ⇒ there must be a pair in Q or in R that are less than  $\delta$  apart.

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- Claim: If there exist s, s' ∈ S such that d(s, s') < δ then s and s' are at most 15 indices apart in S<sub>y</sub>.



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- Converse of the claim: If there exist s, s' ∈ S such that s' appears 16 or more indices after s in S<sub>y</sub>, then s'<sub>y</sub> − s<sub>y</sub> ≥ δ.



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- ► Use the claim in an algorithm: For every point s ∈ S<sub>y</sub>, compute distances only to the next 15 points in S<sub>y</sub>.



• Claim: If there exist  $s, s' \in S$  such that s' appears 16 or more indices after s in  $S_y$ , then  $s'_y - s_y \ge \delta$ .



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- Pack the plane with squares of side  $\delta/2$ .



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- Each square contains at most one point.
- Let s lie in one of the squares in the first row.
- Any point in the fourth row has a y-coordinate at least δ more than s<sub>y</sub>.



#### **Closest Pair: Final Algorithm**

```
Closest-Pair(P)
  Construct P_r and P_r (O(n log n) time)
  (p_{n}^{*}, p_{1}^{*}) = \text{Closest-Pair-Rec}(P_{n}, P_{n})
Closest-Pair-Rec(P_v, P_v)
  If |P| \le 3 then
     find closest pair by measuring all pairwise distances
  Endif
  Construct Q_x, Q_y, R_x, R_y (O(n) time)
  (q_0^*, q_1^*) = \text{Closest-Pair-Rec}(Q_x, Q_y)
  (r_{\alpha}^{*}, r_{1}^{*}) = \text{Closest-Pair-Rec}(R_{x}, R_{y})
  \delta = \min(d(q_0^*, q_1^*), d(r_0^*, r_1^*))
  x^* = maximum x-coordinate of a point in set O
  L = \{(x, y) : x = x^*\}
  S = \text{points in } P \text{ within distance } \delta \text{ of } L.
  Construct S. (O(n) time)
  For each point s \in S_{n}, compute distance from s
      to each of next 15 points in S.
      Let s, s' be pair achieving minimum of these distances
      (O(n) time)
  If d(s,s') < \delta then
      Return (s,s')
  Else if d(q_{0,*}^*q_1^*) < d(r_{0,*}^*r_1^*) then
      Return (q_{0,*}^*, q_1^*)
  Else
      Return (r_0*, r_1*)
  Endif
```

# **Closest Pair: Final Algorithm**

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Closest-Pair(P)

Construct P_x and P_y (O(n log n) time)

(p_0^*, p_1^*) = \text{Closest-Pair-Rec}(P_x, P_y)

Closest-Pair-Rec(P_x, P_y)

If |P| \leq 3 then

find closest pair by measuring all pairwise distances

Endif
```

```
Construct Q_x, Q_y, R_x, R_y (O(n) time)

(q_0^*, q_1^*) = \text{Closest-Pair-Rec}(Q_x, Q_y)

(r_0^*, r_1^*) = \text{Closest-Pair-Rec}(R_x, R_y)
```

$$\delta = \min(d(q_0^*, q_1^*), d(r_0^*, r_1^*))$$

$$x^* = \max \min x - \text{coordinate of a point in set } Q$$

# **Closest Pair: Final Algorithm**

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x^* = maximum x-coordinate of a point in set Q
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S = points in P within distance \delta of L.
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Construct S_y (O(n) time)
For each point s \in S_y, compute distance from s to each of next 15 points in S_y
Let s, s' be pair achieving minimum of these distances (O(n) time)
```

```
If d(s,s') < \delta then

Return (s,s')

Else if d(q_0^*,q_1^*) < d(r_0^*,r_1^*) then

Return (q_0^*,q_1^*)

Else

Return (r_0^*,r_1^*)

Endif
```