### Applications of Minimum Spanning Trees

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February 14, 2013

#### **Minimum Spanning Trees**

- We motivated MSTs through the problem of finding a low-cost network connecting a set of nodes.
- MSTs are useful in a number of seemingly disparate applications.
- ▶ We will consider two problems: minimum bottleneck graphs (problem 9 in Chapter 4) and clustering (Chapter 4.7).

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MINIMUM BOTTLENECK SPANNING TREE (MBST)

**INSTANCE:** An undirected graph G(V, E) and a function  $c: E \to \mathbb{R}^+$ 

**SOLUTION:** A set  $T \subseteq E$  of edges such that (V, T) is a spanning tree and there is no spanning tree in G with a cheaper bottleneck edge.

#### Two Questions on MBSTs

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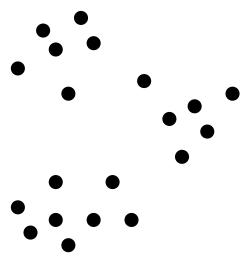
- 1. Assume edge costs are distinct.
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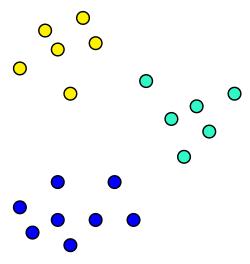
#### Two Questions on MBSTs

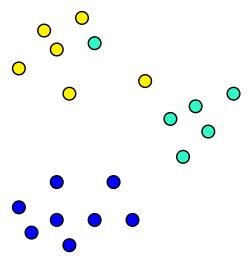
- 1. Assume edge costs are distinct.
- 2. Is every MBST tree an MST? No. It is easy to create a counterexample.
- 3. Is every MST an MBST? Yes. Use the cycle property.
  - ▶ Let T be the MST and let T' be a spanning tree with a cheaper bottleneck edge. Let e be the bottleneck edge in T.
  - Every edge in T' is cheaper than e.
  - Adding e to T' creates a cycle consisting only of edges in T' and e.
  - Since e is the costliest edge in this cycle, by the cycle property, e cannot belong to any MST, which contradicts the fact that T is an MST.

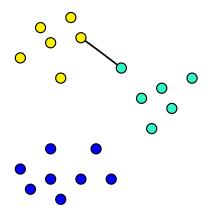
#### Motivation for Clustering

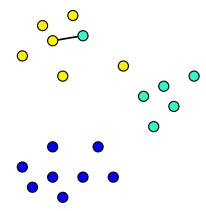
- Given a set of objects and distances between them.
- ▶ Objects can be images, web pages, people, species . . . .
- Distance function: increasing distance corresponds to decreasing similarity.
- Goal: group objects into clusters, where each cluster is a set of similar objects.











- ▶ Let *U* be the set of *n* objects labelled  $p_1, p_2, ..., p_n$ .
- ▶ For every pair  $p_i$  and  $p_j$ , we have a distance  $d(p_i, p_j)$ .
- ▶ We require  $d(p_i, p_i) = 0$ ,  $d(p_i, p_j) > 0$ , if  $i \neq j$ , and  $d(p_i, p_j) = d(p_j, p_i)$

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- The spacing of a clustering is the smallest distance between objects in two different subsets:

$$\operatorname{spacing}(C_1, C_2, \dots C_k) = \min_{\substack{1 \le i, j \le k \\ i \ne j, \\ p \in C_i, q \in C_i}} d(p, q)$$

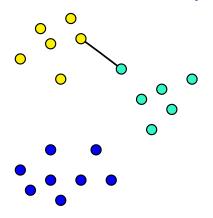
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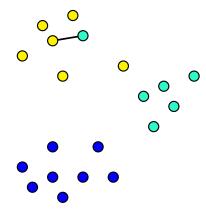
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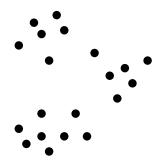
Clustering of Maximum Spacing

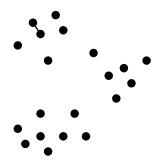
**INSTANCE:** A set U of objects, a distance function  $d: U \times U \to \mathbb{R}^+$ , and a positive integer k

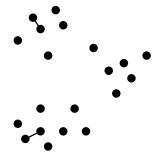
**SOLUTION:** A k-clustering of U whose spacing is the largest over all possible k-clusterings.



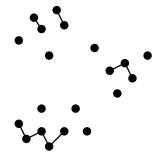




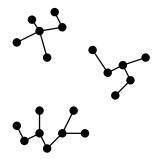




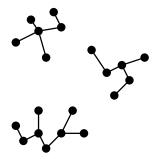
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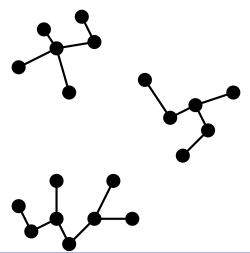
- Intuition: greedily cluster objects in increasing order of distance.
- Let C be a set of n clusters, with each object in U in its own cluster.
- ▶ Process pairs of objects in increasing order of distance.
  - ▶ Let (p, q) be the next pair with  $p \in C_p$  and  $q \in C_q$ .
  - ▶ If  $C_p \neq C_q$ , add new cluster  $C_p \cup C_q$  to C, delete  $C_p$  and  $C_q$  from C.
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- ▶ Same as Kruskal's algorithm but do not add last k-1 edges in MST.

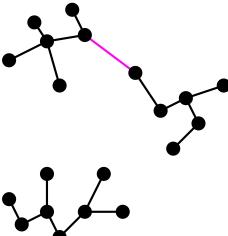
## What is the spacing of the Algorithm's Clustering?

- $\blacktriangleright$  Let  $\mathcal{C}$  be the clustering produced by the algorithm.
- ▶ What is spacing(C)?

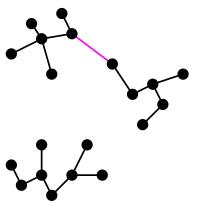


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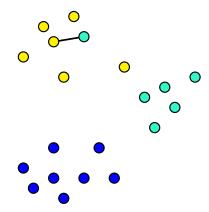
- ightharpoonup Let  $\mathcal C$  be the clustering produced by the algorithm.
- ▶ What is  $\operatorname{spacing}(\mathcal{C})$ ? It is the cost of the (k-1)st most expensive edge in the MST. Let this cost be  $d^*$ .



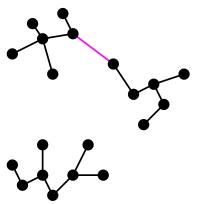
#### Why does the Algorithm Work?

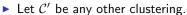


- ▶ Let C' be any other clustering.
- ▶ We will prove that spacing(C') ≤  $d^*$ .

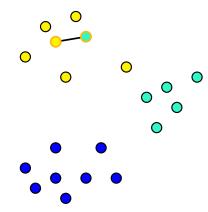


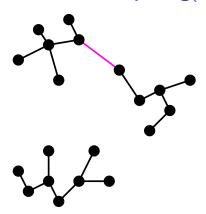
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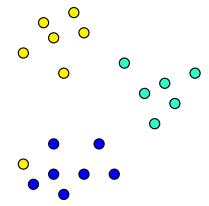


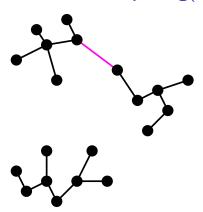


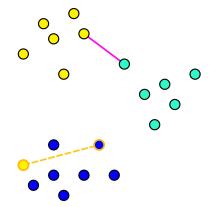
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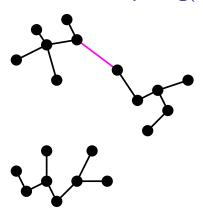


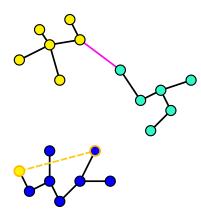


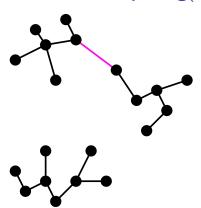


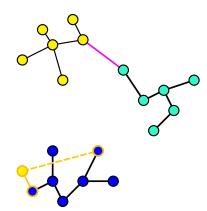












### $\mathsf{spacing}(\mathcal{C}') \leq d^*$

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- ▶ There must be two objects  $p_i$  and  $p_j$  in U in the same cluster  $C_r$  in C but in different clusters in C': spacing $(C') \leq d(p_i, p_j)$ . But  $d(p_i, p_j)$  could be  $> d^*$ .
- ▶ Suppose  $p_i \in C'_s$  and  $p_j \in C'_t$  in C'.

### $spacing(C') < d^*$

- ▶ There must be two objects  $p_i$  and  $p_i$  in U in the same cluster  $C_r$  in C but in different clusters in  $\mathcal{C}'$ : spacing( $\mathcal{C}'$ )  $\leq d(p_i, p_i)$ . But  $d(p_i, p_i)$  could be  $> d^*$ .
- ▶ Suppose  $p_i \in C'_{\epsilon}$  and  $p_i \in C'_{\epsilon}$  in C'.
- ▶ All edges in the path Q connecting  $p_i$  and  $p_j$  in the MST have length  $\leq d^*$ .
- In particular, there is an object  $p \in C'_s$  and an object  $p' \notin C'_s$  such that p and p' are adjacent in Q.
- $\rightarrow$   $d(p, p') < d^* \Rightarrow \operatorname{spacing}(\mathcal{C}') < d(p, p') < d^*$ .

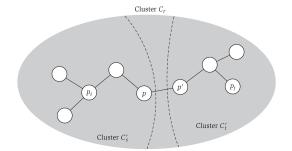


Figure 4.15 An illustration of the proof of (4.26), showing that the spacing of any other clustering can be no larger than that of the clustering found by the single-linkage