Chapter 6

Process Management
Introduction

Scenario
- One process running
- One/more process performing I/O
- One/more process waiting on resources

Most of the complexity stems from the need to manage multiple processes
Introduction

- Process Manager
  - CPU sharing
  - Process synchronization
  - Deadlock prevention

- Each process has a Process Descriptor
  - Describes complete environment for a process
# Process Descriptor

<table>
<thead>
<tr>
<th>FIELD</th>
<th>DESCRIPTION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Internal process name</td>
<td>An internal name of the process, such as an integer or table index, used in the operating system code.</td>
</tr>
<tr>
<td>State</td>
<td>The process's current state.</td>
</tr>
<tr>
<td>Owner</td>
<td>A process has an owner (identified by the owner's internal identification such as the login name). The descriptor contains a field for storing the owner identification.</td>
</tr>
<tr>
<td>Parent process descriptor</td>
<td>A pointer to the process descriptor of this process's parent.</td>
</tr>
<tr>
<td>List of child process descriptors</td>
<td>A pointer to a list of the child processes of this process.</td>
</tr>
<tr>
<td>List of reusable resources</td>
<td>A pointer to a list of reusable resource types held by the process. Each resource type will be a descriptor of the number of units of the resource.</td>
</tr>
<tr>
<td>List of consumable resources</td>
<td>Similar to the reusable resource list (see Section 6.3.2).</td>
</tr>
<tr>
<td>List of file descriptors</td>
<td>A special case of the reusable resource list.</td>
</tr>
<tr>
<td>Message queue</td>
<td>A special case of the consumable resource list.</td>
</tr>
<tr>
<td>Protection domain</td>
<td>A description of the access rights currently held by the process (see Chapter 14).</td>
</tr>
<tr>
<td>CPU status register content</td>
<td>A copy of each of the CPU status registers at the last time the process exited the running state.</td>
</tr>
<tr>
<td>CPU general register content</td>
<td>A copy of each of the CPU general registers at the last time the process exited the running state.</td>
</tr>
</tbody>
</table>
Process Address Space

- Defines all aspects of process computation
  - Program
  - Variables
  - ...
- Address space is generated/defined by translation
Creating an executable program

- Generates separate object code modules
- Separate objects each relative to 0
- Maps relative address space to physical memory location
- Relocates modules one behind other
  - Relocates addresses of all but first
  - Resolves external reference to library calls and external modules
- One large program
  - 0 - X
  - Y - (X+Y)
Basic Memory Hierarchy...

- At any point in the same program, element can be in
  - Secondary memory $M_S$
  - Primary memory $M_P$
  - Registers $M_R$

- **Consistency is a Problem**
  - $M_S$ $M_P$ $M_R$ (code vs data)
  - When does one make them consistent?
  - How?
Consistency Problem

- Scheduler switching out processes - Context Switch
- Is Instruction a Problem ???
  - NO
  - Instructions are never modified
  - Separate Instruction and Data space
  - Therefore, $M_{R_j} = M_{P_j} = M_{S_j}$

How can an instruction be in a register?
Consistency Problem...

- Is Data a Problem ???
  - YES
  - Variable temporarily stored in register has value added to it
  - Therefore, $M_{Rj} \neq M_{Pj}$

- On context switch, all registers are saved
  - Therefore, current state is saved
Sample Scenario...

- Suppose ‘MOV X Y’ instruction is executed
  - \( M_p \) ? \( M_s \)

- On context switch, is all of a process’ memory flushed to \( M_s \)?
  - No, only on page swap

- Hence, \( env_{process} = (M_R + M_s) + (...) \)

- Note:
  - Flushing of memory frees it up for incoming process
    - \( => \) Page Swap
Process States

- Focus on Resource Management & Process Management

- Recall also that part of the process environment is its state

State Transition Diagram
Process States...

1. When process enters ‘Ready’ state, it must compete for CPU. Memory has already been allocated.

2. Process has CPU.

3. Process requests resource that is immediately available ✗ NO blocking.

4. Process requests resource that is NOT yet available.

5. Resource allocated, memory re-allocated?

State Transition Diagram
Resources & Resource Manager

- 2 types of Resources
  - Reusable (Memory)
  - Consumable (Input/Time slice)

Process requesting resource unit(s)
- Get it, or
- Block => Stay in Queue
Resource Descriptor

Each Resource R has a Resource Descriptor associated with it (similar to the process)

=> there is a “Status” for that Resource, and
=> a Resource Manager to manage it

<table>
<thead>
<tr>
<th>FIELD</th>
<th>DESCRIPTION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Internal resource name</td>
<td>An internal name for the resource used by the operating system code.</td>
</tr>
<tr>
<td></td>
<td>/ dev/ ...</td>
</tr>
<tr>
<td>Total units</td>
<td>The number of units of this resource type configured into the system.</td>
</tr>
<tr>
<td></td>
<td>6</td>
</tr>
<tr>
<td>* Available units</td>
<td>The number of units currently available.</td>
</tr>
<tr>
<td></td>
<td>3</td>
</tr>
<tr>
<td>List of available</td>
<td>The set of available units of this resource type that are available for use by</td>
</tr>
<tr>
<td>units</td>
<td>processes.</td>
</tr>
<tr>
<td></td>
<td>A, B, C</td>
</tr>
<tr>
<td>List of blocked</td>
<td>The list of processes that have a pending request for units of this resource</td>
</tr>
<tr>
<td>processes</td>
<td>type.</td>
</tr>
<tr>
<td></td>
<td>Only if * = 0</td>
</tr>
</tbody>
</table>
Process Hierarchy

- Conceptually, this is the way in which we would like to view it.
- Root controls all processes i.e. Parent.
Creating Processes

- Parent Process needs ability to
  - Block child
  - Activate child
  - Destroy child
  - Allocate resources to child

- True for User processes spawning child
- True for OS spawning init, getty, etc.
- Process hierarchy a natural,
  if fork/exec commands exist
UNIX `fork` command

- `ForkUNIX`
  - Shares text
  - Shares memory
  - Has its own address space
  - Cannot communicate with parent by referring variable stored in code

- Earlier definition: `ForkConway`
  - Shares text
  - Shares resources
  - Shares address space
  - Process can communicate thru variables declared in code
Cooperating Processes

Now processes A & B, share address space & can communicate thru declared variables

Problem ???

A can write 2 times before B reads
Synchronizing Access to Shared Variables

- Shared address space allows communication through declared variables automatically

- How then, can we synchronize access to them?

- Need Synchronization Primitives

=> JOIN & QUIT
In addition to the “Fork(proc)” command, Conway also defined system calls to support process synchronization.

**Join (count)**
- **Un-interruptable**
  - Decrement count;
  - if count \(\neq 0\) then Quit, else Continue

**Quit**
- Terminate process
Fork, Join, Quit example

L0: count = 2;
   <compute A1>
   write(x);
   FORK(L2);
   <compute A2>
L1: JOIN(count);
   read(y);
   QUIT();
L2: read(x);
   <compute B1>
   write(y);
   FORK(L3);
   goto L1;
L3: <compute B2>
   goto L0;
A Simple Parent Program (Revisit)

```c
#include <sys/wait.h>
#define NULL 0

int main (void){
    if (fork() == 0){ /* This is the child process */
        execve("child",NULL,NULL);
        exit(0);        /* Should never get here, terminate */
    }

    /* Parent code here */
    printf("Process[%d]: Parent in execution ...\n", getpid());
    sleep(2);
    if(wait(NULL) > 0) /* Child terminating */
        printf("Process[%d]: Parent detects terminating child \n", getpid());
    printf("Process[%d]: Parent terminating ...\n", getpid());
}
```
Suppose we wish to spawn a child that is different from the parent

fork
execve(...)
Factoring in additional Control Complexities

Recall:
- A parent process can suspend a child process

Therefore, if a child is in \textit{run} state and goes to ready (time slice up), and the parent runs and decides to suspend the child, then how do we reflect this in the process state diagram???

We need 2 more states
- Ready suspended
- Blocked suspended
Process State diagram reflecting Control

Start
- Not blocked
- Not suspended
- Has memory

- Blocked
- Not suspended
- No memory

- Not Blocked
- Suspended
- No memory
Give it a thought...

Why can a process NOT go from ‘Ready Active’ to ‘Blocked Active’ or ‘Blocked Suspended’?