

# Divide and Conquer Algorithms

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- ▶ Solve each part recursively.
- ▶ Solve base cases by brute force.
- ▶ Efficiently combine solutions for sub-problems into final solution.

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- ▶ Efficiently combine solutions for sub-problems into final solution.
- ▶ Common use:
  - ▶ Partition problem into two equal sub-problems of size  $n/2$ .
  - ▶ Solve each part recursively.
  - ▶ Combine the two solutions in  $O(n)$  time.
  - ▶ Resulting running time is  $O(n \log n)$ .

# Mergesort

SORT

**INSTANCE:** Nonempty list  $L = x_1, x_2, \dots, x_n$  of integers.

**SOLUTION:** A permutation  $y_1, y_2, \dots, y_n$  of  $x_1, x_2, \dots, x_n$  such that  $y_i \leq y_{i+1}$ , for all  $1 \leq i < n$ .

- ▶ Mergesort is a divide-and-conquer algorithm for sorting.
  1. Partition  $L$  into two lists  $A$  and  $B$  of size  $\lfloor n/2 \rfloor$  and  $\lceil n/2 \rceil$  respectively.
  2. Recursively sort  $A$ .
  3. Recursively sort  $B$ .
  4. Merge the sorted lists  $A$  and  $B$  into a single sorted list.

# Merging Two Sorted Lists

- ▶ Merge two sorted lists  $A = a_1, a_2, \dots, a_k$  and  $B = b_1, b_2, \dots, b_l$ .

Maintain a *current* pointer for each list.

Initialise each pointer to the front of the list.

While both lists are nonempty:

    Let  $a_i$  and  $b_j$  be the elements pointed to by the *current* pointers.

    Append the smaller of the two to the output list.

    Advance the current pointer in the list that the smaller element belonged to.

EndWhile

Append the rest of the non-empty list to the output.

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  - Maintain a *current* pointer for each list.
  - Initialise each pointer to the front of the list.
  - While both lists are nonempty:
    - Let  $a_i$  and  $b_j$  be the elements pointed to by the *current* pointers.
    - Append the smaller of the two to the output list.
    - Advance the current pointer in the list that the smaller element belonged to.
  - EndWhile
  - Append the rest of the non-empty list to the output.
- ▶ Running time of this algorithm is  $O(k + l)$ .

# Analysing Mergesort

1. Partition  $L$  into two lists  $A$  and  $B$  of size  $\lfloor n/2 \rfloor$  and  $\lceil n/2 \rceil$  respectively.
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Worst-case running time for  $n$  elements ( $T(n)$ )  $\leq$   
Worst-case running time for  $\lfloor n/2 \rfloor$  elements +  
Worst-case running time for  $\lceil n/2 \rceil$  elements +  
Time to split the input into two lists +  
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$$T(n) \leq 2T(n/2) + cn, n > 2$$

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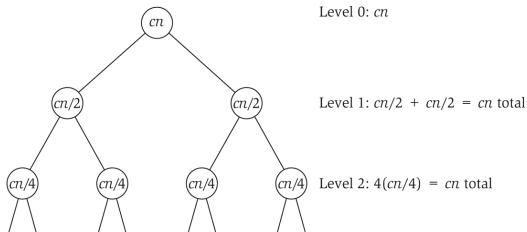
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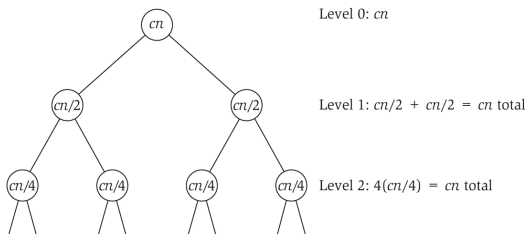
- ▶ Three basic ways of solving this recurrence relation:
  1. “Unroll” the recurrence (somewhat informal method).
  2. Guess a solution and substitute into recurrence to check.
  3. Guess solution in  $O()$  form and substitute into recurrence to determine the constants

# Unrolling the recurrence



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- ▶ Recursion tree has  $\log n$  levels.
- ▶ Total work done at each level is  $cn$ .
- ▶ Running time of the algorithm is  $cn \log n$ .
- ▶ Use this method only to get an idea of the solution.

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- ▶ Inductive step: Prove  $T(n) \leq cn \log n$ .

$$\begin{aligned} T(n) &\leq 2T\left(\frac{n}{2}\right) + cn \\ &\leq 2\left(\frac{cn}{2} \log\left(\frac{n}{2}\right)\right) + cn, \text{ by the inductive hypothesis} \\ &= cn \log\left(\frac{n}{2}\right) + cn \\ &= cn \log n - cn + cn \\ &= cn \log n. \end{aligned}$$

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- ▶ Why doesn't an attempt to prove  $T(n) \leq kn$ , for some  $k > 0$  work?
- ▶ Why is  $T(n) \leq kn^2$  a "loose" bound?

## Partial Substitution

- ▶ Guess that the solution is  $kn \log n$  (logarithm to the base 2).
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- ▶  $k \geq c$  will work.

## Proof for All Values of $n$

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- ▶ Let  $m$  be the smallest power of 2 larger than  $n$ .
- ▶  $T(n) \leq T(m) = O(m \log m)$

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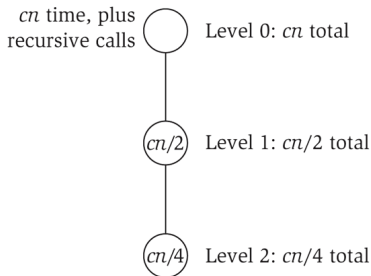
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- ▶ Let  $m$  be the smallest power of 2 larger than  $n$ .
- ▶  $T(n) \leq T(m) = O(m \log m) = O(n \log n)$ , because  $m \leq 2n$ .

## Other Recurrence Relations

- ▶ Divide into  $q$  sub-problems of size  $n/2$  and merge in  $O(n)$  time. Two distinct cases:  $q = 1$  and  $q > 2$ .
- ▶ Divide into two sub-problems of size  $n/2$  and merge in  $O(n^2)$  time.

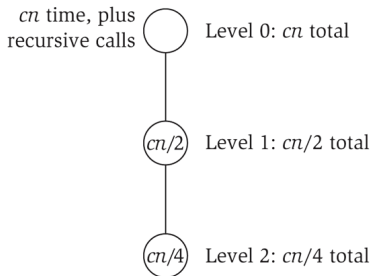


$$T(n) = qT(n/2) + cn, q = 1$$



**Figure 5.3** Unrolling the recurrence  $T(n) \leq T(n/2) + O(n)$ .

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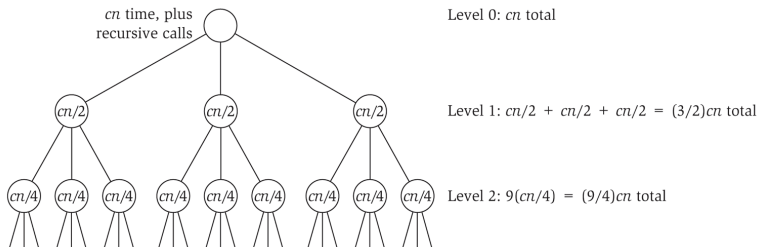


**Figure 5.3** Unrolling the recurrence  $T(n) \leq T(n/2) + O(n)$ .

- ▶ Each invocation reduces the problem size by a factor of 2  $\Rightarrow$  there are  $\log n$  levels in the recursion tree.
- ▶ At level  $i$  of the tree, the problem size is  $n/2^i$  and the work done is  $cn/2^i$ .
- ▶ Therefore, the total work done is

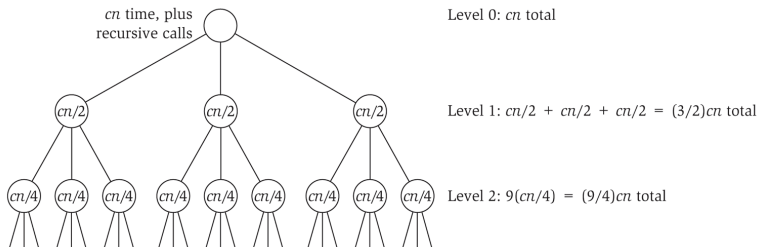
$$\sum_{i=0}^{i=\log n} \frac{cn}{2^i} = O(n).$$

$$T(n) = qT(n/2) + cn, q > 2$$



**Figure 5.2** Unrolling the recurrence  $T(n) \leq 3T(n/2) + O(n)$ .

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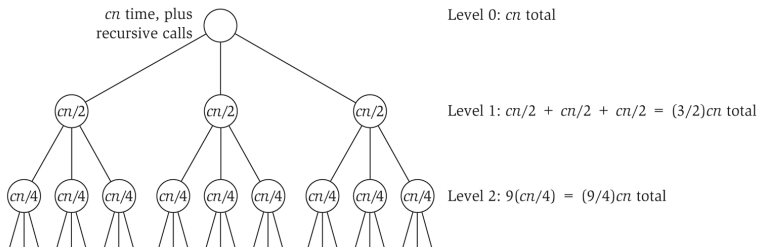


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- ▶ There are  $\log n$  levels in the recursion tree.
- ▶ At level  $i$  of the tree, there are  $q^i$  sub-problems, each of size  $n/2^i$ .
- ▶ The total work done at level  $i$  is  $q^i cn/2^i$ .
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$$T(n) \leq \sum_{i=0}^{i=\log n} q^i \frac{cn}{2^i} \leq$$

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$$T(n) \leq \sum_{i=0}^{i=\log n} q^i \frac{cn}{2^i} \leq O(n^{\log_2 q}).$$

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