

# CS 4604: Introduction to Database Management Systems

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Lecture #3: SQL and Relational  
Algebra

# Reminder

- NO office hours today!
- Extended (10am-12noon) hours on 1/30 and 2/4

# Formal Query Languages

- How do we collect information?
- E.g. Find SSNs of people in 4604  
(recall everything is a set!)

# What is SQL

- SQL = Structured Query Language (pronounced “sequel”).
- Language for defining as well as querying data in an RDBMS.
- Primary mechanism for querying and modifying the data in an RDBMS.
- SQL is declarative:
  - Say what you want to accomplish, without specifying how.
  - One of the main reasons for the commercial success of RDBMSs.
- SQL has many standards and implementations:
  - ANSI SQL
  - SQL-92/SQL2 (null operations, outerjoins)
  - SQL-99/SQL3 (recursion, triggers, objects)
  - Vendor-specific variations.

# Relational Algebra

- Relational algebra is a notation for specifying queries about the contents of relations
- Notation of relational algebra eases the task of reasoning about queries
- Operations in relational algebra have counterparts in SQL

# What is an Algebra?

- An algebra is a set of operators and operands
  - Arithmetic: operands are variables and constants, operators are  $+, -, \times, \div, /$ , etc.
  - Set algebra: operands are sets and operators are  $\cap, U, -$
- An algebra allows us to
  - **construct expressions** by combining operands and expression using operators
  - has **rules for reasoning** about expressions
$$a^2 + 2 \times a \times b + 2b, \quad (a + b)^2$$
$$R - (R - S), \quad R \cap S$$

# Basics of Relational Algebra

- Operands are relations, thought of as sets of tuples.
- Think of operands as variables, whose tuples are unknown.
- Results of operations are also sets of tuples.
- Think of expressions in relational algebra as queries, which construct new relations from given relations.
  
- Four types of operators:
  - Select>Show parts of a single relation: projection and selection.
  - Usual set operations (union, intersection, difference).
  - Combine the tuples of two relations, such as cartesian product and joins.
  - Renaming.

# Projection

- The projection operator produces from a relation R a new relation containing only **some of R's columns**
- “Delete” (i.e. not show) attributes not in projection list
- Duplicates eliminated (sets vs *multisets*)
- To obtain a relation containing only the columns A<sub>1</sub>, A<sub>2</sub>, . . . A<sub>n</sub> of R

RA:  $\pi_{A_1, A_2, \dots, A_n}(R)$

SQL: **SELECT A<sub>1</sub>, A<sub>2</sub>, . . . A<sub>n</sub> FROM R;**

# Projection Example

*S2*

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

$\pi_{sname, rating}(S2)$

sname	rating
yuppy	9
lubber	8
guppy	5
rusty	10

$\pi_{age}(S2)$

age
35.0
55.5

# Selection

- The selection operator applied to a relation R produces a new relation with a **subset of R's tuples**
- The tuples in the resulting relation satisfy some condition C that involves the attributes of R
  - with duplicate removal

RA:  $\sigma_C(R)$

SQL: **SELECT \* FROM R WHERE C;**

- The WHERE clause of a SQL command corresponds to  $\sigma( )$

# Selection: Syntax of Conditional

- Syntax of conditional (C): similar to conditionals in programming languages.
- Values compared are constants and attributes of the relations mentioned in the FROM clause.
- We may apply usual arithmetic operators to numeric values before comparing them.

**RA** Compare values using standard arithmetic operators.

**SQL** Compare values using `=, <>, <, >, <=, >=`.

# Selection Example

 $S2$ 

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

 $\sigma_{rating > 8}(S2)$ 

sid	sname	rating	age
28	yuppy	9	35.0
58	rusty	10	35.0

 $\pi_{sname, rating}(\sigma_{rating > 8}(S2))$ 

sname	rating
yuppy	9
rusty	10

Combining Operators

# Set Operations: Union

- Standard definition: The union of two relations R and S is the set of tuples that are in R, or S or in both.
- When is it valid?
  - R and S must have identical sets of attributes and the types of the attributes must be the same.
  - The attributes of R and S must occur in the same order.

# Set Operations: Union

- RA    R U S
- SQL    (SELECT \* FROM R)  
             UNION  
             (SELECT \* FROM S);

# Set Operations: Intersection

- The intersection of R and S is the set of tuples in both R and S
- Same conditions hold on R and S as for the union operator
- RA  $R \cap S$
- SQL  $(\text{SELECT * FROM } R)$   
          INTERSECT  
           $(\text{SELECT * FROM } S);$

# Set Operations: Difference

- Set of tuples in R but NOT in S
- Same conditions on R and S as union
- RA     $R \cap S$
- SQL    (SELECT \* FROM R)  
            EXCEPT  
            (SELECT \* FROM S);
- $R - (R - S) = R \cap S$

# Difference

 $S1$ 

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

 $S2$ 

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

 $S1 - S2$ 

<u>sid</u>	sname	rating	age
22	dustin	7	45.0

# Cartesian Product

- The Cartesian product (or cross-product or product) of two relations R and S is a the set of pairs that can be formed by pairing each tuple of R with each tuple of S.
  - The result is a relation whose schema is the schema for R followed by the schema for S.

RA:  $R \times S$

SQL: **SELECT \* FROM R , S ;**

# Cartesian Product

*S1*

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

*R1*

<u>sid</u>	bid	day
22	101	10/10/96
58	103	11/12/96

*S1 X R1*

?

(sid)	sname	rating	age	(sid)	bid	day
22	dustin	7	45.0	22	101	10/10/96
22	dustin	7	45.0	58	103	11/12/96
31	lubber	8	55.5	22	101	10/10/96
31	lubber	8	55.5	58	103	11/12/96
58	rusty	10	35.0	22	101	10/10/96
58	rusty	10	35.0	58	103	11/12/96

We **rename** attributes to avoid ambiguity or we **prefix attribute** with the name of the relation it belongs to.

# Theta-Join

- The theta-join of two relations R and S is the **set of tuples in the Cartesian product of R and S that satisfy some condition C.**

RA:  $R \bowtie_C S$

SQL: **SELECT \***  
**FROM R, S**  
**WHERE C;**

- $R \bowtie_C S = \sigma_C(R \times S)$

# Theta-Join

*S1*

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

*R1*

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/96
58	103	11/12/96

$$S1 \bowtie_{S1.sid < R1.sid} R1$$

(sid)	sname	rating	age	(sid)	bid	day
22	dustin	7	45.0	58	103	11/12/96
31	lubber	8	55.5	58	103	11/12/96

$$R \bowtie_C S = \sigma_C(R \times S)$$

# Natural Join

- The natural join of two relations R and S is a set of **pairs of tuples**, one from R and one from S, **that agree on whatever attributes are common to the schemas of R and S**.
- The schema for the result contains the union of the attributes of R and S.
- Assume the schemas  $R(A, B, C)$  and  $S(B, C, D)$

RA:  $R \bowtie S$

SQL: **SELECT \***  
**FROM R, S**  
**WHERE R.B = S.B AND R.C = S.C;**

# Operators so far

- Remove parts of single relations
  - Projection:  $\pi_{(A,B)}(R)$  and SELECT A, B FROM R
  - Selection:  $\sigma_C(R)$  and SELECT \* FROM R WHERE C
  - Combining Projection and Selection:
    - $\pi_{(A,B)}(\sigma_C(R))$
    - SELECT A, B FROM R WHERE C

# Operations so far

- **Set operations**

- R and S must have the same attributes, same attribute types, and same order of attributes
- Union:  $R \cup S$  and  $(R) \text{ UNION } (S)$
- Intersection:  $R \cap S$  and  $(R) \text{ INTERSECT } (S)$
- Difference:  $R - S$  and  $(R) \text{ EXCEPT } (S)$

# Operations so far

- **Combine the tuples of two relations**
  - Cartesian Product:  $R \times S, \dots \text{ FROM } R, S \dots$
  - Theta Join:  $R \bowtie_C S, \dots \text{ FROM } R, S \text{ WHERE } C$
  - Natural Join:  $R \bowtie S$

# Disambiguation and Renaming

- If two relations have the same attribute, disambiguate the attributes by prefixing the attribute with the name of the relation it belongs to.
- How do we answer the query “Name pairs of students who live at the same address”?  
**Students(Name, Address)**
  - We need to take the cross-product of Students with itself?
  - How do we refer to the two “copies” of Students?
  - Use the rename operator.

# Disambiguation and Renaming

**RA:** give R the name S;  
R has n attributes,  
which are  $\rho_{S(A_1, A_2, \dots, A_n)}(R)$   
called A<sub>1</sub>, A<sub>2</sub>, . . . , A<sub>n</sub> in S

**SQL:** Use the **AS** keyword in the **FROM** clause:  
Students AS Students1 renames Students to  
Students1.

**SQL:** Use the **AS** keyword in the **SELECT** clause to  
rename attributes.

# Disambiguation and Renaming

- Name pairs of students who live at the same address:

RA:  $\pi_{S1.Name, S2.Name} \left( \sigma_{S1.Address = S2.Address} \left( \rho_{S1}(Students) \times \rho_{S2}(Students) \right) \right)$

SQL: `SELECT S1.name, S2.name  
FROM Students AS S1, Students AS S2  
WHERE S1.address = S2.address`

# Disambiguation and Renaming

- Name pairs of students who live at the same address:

**SQL:**    SELECT S1.name, S2.name  
            FROM Students AS S1, Students AS S2  
            WHERE S1.address = S2.address

- Are these correct?
- **No !!!** the result includes tuples where a student is paired with himself/herself
- **Solution:** Add the condition  $S1.name <> S2.name$ .

# Other Details in SQL

- Read Chapters 6.1.3-6.1.8 of the textbook for string comparison, pattern matching, NULL and UNKNOWN values, dates and times, and ordering the output.

# Independence of Operators

- The operators we have covered so far are:

$$\pi_{A,B}(R), \sigma_C(R), \rho_{S(A_1, A_2)}(R)$$
$$R \cup S, R \cap S, R - S, R \times S, R \bowtie S, R \bowtie_C S$$

- Are all of them needed?
- NO!

# Independence of Operators

$$R \cap S = R - (R - S)$$

$$R \bowtie_C = \sigma_C(R \times S)$$

$$R \bowtie S = ??$$

# Independence of Operators

$$R \bowtie S$$

- Suppose R and S share the attributes A1,A2,..An
- Let L be the list of attributes in R followed by the list of attributes in S
- Let C be the condition

$$R.A1 = S.A1 \text{ AND } R.A2 = S.A2 \text{ AND } \dots \text{ } R.An = S.An$$

$$R \bowtie S = \pi_L(\sigma_C(R \times S))$$