Basic Definitions	Graph Traversal	BFS	DFS	All Components	Implementations

### Graphs

#### T. M. Murali

#### February 1, 3, 6, 8, 2017





#### The Oracle of Bacon







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CS4104: Graphs





DFS

BFS

All Components

Graph Traversal

Implementations



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## Definition of a Graph

- Undirected graph G = (V, E): set V of nodes and set E of edges, where  $E \subseteq V \times V$ . Elements of E are unordered pairs.
  - Say that edge *e* is *incident* on *u* and on *v*.
  - Exactly one edge between any pair of nodes.
  - G contains no self loops, i.e., no edges of the form (u, u).



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  - e = (u, v): u is the tail of the edge e, v is its head; e is directed from u to v.
  - ► A pair of nodes {u, v} may be connected by two directed edges: (u, v) and (v, u).
  - ► G contains no self loops.





• A  $v_1-v_k$  path in an undirected graph G = (V, E) is a sequence P of nodes  $v_1, v_2, \ldots, v_{k-1}, v_k \in V$  such that every consecutive pair of nodes  $v_i, v_{i+1}, 1 \leq i < k$  is connected by an edge in E.



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- Distance d(u, v) between two nodes u and v is the minimum number of edges in any u-v path.



Figure 3.1 Two drawings of the same tree. On the right, the tree is rooted at node 1.

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An undirected graph is a *tree* if it is connected and does not contain a cycle. For any pair of nodes in a tree, there is a unique path connecting them. *Rooting* a tree *T*: pick some node *r* in the tree and orient each edge of *T* "away" from *r*, i.e., for each node v ≠ r, define *parent* of v to be the node u that directly precedes v on the path from r to v.



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- Examples of (rooted) trees: organisational hierarchy, class hierarchies in object-oriented languages.

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- Stronger claim: Let G be an undirected graph on n nodes. Any two of the following statements implies the third:
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  - 2 and 3  $\Rightarrow$  1: prove by contradiction.
  - 3 and 1  $\Rightarrow$  2: prove yourself.



*s*-*t* Connectivity

**INSTANCE:** An undirected graph G = (V, E) and two nodes  $s, t \in V$ . **QUESTION:** Is there an *s*-*t* path in *G*?



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- The *connected component of G containing s* is the set of all nodes *u* such that there is an *s*-*u* path in *G*.
- Algorithm for the *s*-*t* Connectivity problem: compute the connected component of *G* that contains *s* and check if *t* is in that component.

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R will consist of nodes to which s has a path
Initially R = \{s\}
While there is an edge (u, v) where u \in R and v \notin R
Add v to R
Endwhile
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# **Issues in Computing Connected Components**





- How do we implement the while loop? Examine each edge in E.
- Other issues to consider:
  - Why does the algorithm terminate?
  - ▶ Does the algorithm truly compute connected component of G containing s?
  - What is the running time of the algorithm?





- How many nodes does each iteration of the while loop add to R?
- How many times is the while loop executed?





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- How many times is the while loop executed? At most *n* times.
- What is true of *R* at termination?
  - either R = V at the end or
  - in the last iteration, every edge either has both nodes in R or both nodes not in R.



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  - ▶ Note: wrong to assume that predecessor of *w* in *P* is not in *R*.

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Implementations



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- To recover the *s*-*t* path, trace these edges backwards from *t* until we reach *s*.

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- The running time is O(mn).
- Can we improve the running time by processing edges more carefully?



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  - ▶ Why is T a tree?



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- Claim: There is a path from s to t if and only if t is a member of some layer.
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  - ▶ Why is T a tree? It is connected. The number of edges in T is the number of nodes in all the layers minus 1.
  - T is called the *breadth-first search tree*.



• Non-tree edge: an edge of G that does not belong to the BFS tree T.

• Claim: Let T be a BFS tree, let x and y be nodes in T belonging to layers  $L_i$  and  $L_j$ , respectively, and let (x, y) be an edge of G. Then  $|i - j| \le 1$ .



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- Proof by contradiction: Suppose i < j − 1. Node x ∈ L<sub>i</sub> ⇒ all nodes adjacent to x are in layers L<sub>1</sub>, L<sub>2</sub>,... L<sub>i+1</sub>. Hence y must be in layer L<sub>i+1</sub> or earlier.



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- Still unresolved: an efficient implementation of BFS.

## **Depth-First Search (DFS)**

• Explore G as if it were a maze: start from s, traverse first edge out (to node v), traverse first edge out of v, ..., reach a dead-end, backtrack, .....

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DFS(u):
Mark u as "Explored" and add u to R
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• Depth-first search tree is a tree T: when DFS(v) is invoked directly during the call to DFS(v), add edge (u, v) to T.

## Example of DFS



T. M. Murali

## Example of DFS





# Example of DFS







2

3

# Example of DFS











- Both traverse all the edges in the connected component but in a different order.
- BFS trees have root-to-leaf paths that look as short as possible while paths in DFS trees tend to be long and deep.
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DFS connect ancestors to descendants.

6

#### **Properties of DFS Trees**



• Observation: All nodes marked as "Explored" between the start of DFS(*u*) and its end are descendants of *u* in the DFS tree *T*.

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Implementations

### **Properties of DFS Trees**

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- Claim: Let x and y be nodes in a DFS tree T such that (x, y) is an edge of G but not of T. Then one of x or y is an ancestor of the other in T.
- Proof: Assume, without loss of generality, that DFS(u) reached x first.
  - Since (x, y) is an edge in G, it is examined during DFS(x).
  - Since  $(x, y) \notin T$ , y must be marked as "Explored" during DFS(x) but before (x, y) is examined.
  - Since y was not marked as "Explored" before DFS(x) was invoked, it must be marked as "Explored" between the start and the end of DFS(x).
  - Therefore, y must be a descendant of x in T.

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#### **All Connected Components**

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#### **Computing All Connected Components**

- Pick an arbitrary node *s* in *G*.
- Occupate its connected component using BFS (or DFS).
- Solution Find a node (say v, not already visited) and repeat the BFS from v.
- Seperat this process until all nodes are visited.

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BFS

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#### **Data Structures for Implementation**

- "Implementation" of BFS and DFS: fully specify the algorithms and data structures so that we can obtain provably efficient times.
- Inner loop of both BFS and DFS: process the set of edges incident on a given node and the set of visited nodes.
- How do we store the set of visited nodes? Order in which we process the nodes is crucial.

#### **Data Structures for Implementation**

- "Implementation" of BFS and DFS: fully specify the algorithms and data structures so that we can obtain provably efficient times.
- Inner loop of both BFS and DFS: process the set of edges incident on a given node and the set of visited nodes.
- How do we store the set of visited nodes? Order in which we process the nodes is crucial.
  - ► BFS: store visited nodes in a queue (first-in, first-out).
  - DFS: store visited nodes in a stack (last-in, first-out)

DF

All Components

#### Implementing BFS

Maintain an array Discovered and set
 Discovered[v] = true as soon as the algorithm sees v.

```
BFS(s):
  Set Discovered[s] = true and Discovered[v] = false for all other v
  Initialize L[0] to consist of the single element s
  Set the layer counter i=0
  Set the current BFS tree T = \emptyset
  While L[i] is not empty
    Initialize an empty list L[i+1]
    For each node u \in L[i]
      Consider each edge (u, v) incident to u
      If Discovered[v] = false then
        Set Discovered[v] = true
        Add edge (u, v) to the tree T
        Add v to the list L[i+1]
      Endif
    Endfor
    Increment the layer counter i by one
  Endwhile
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BFS(s):
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Set Discovered[s] = true
Set Discovered[v] = false, for all other nodes v
Initialize L to consist of the single element s
While L is not empty
Pop the node u at the head of L
Consider each edge (u, v) incident on u
If Discovered[v] = false then
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• Instead of storing each layer in a different list, maintain all the layers in a single queue *L*.

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Endwhile

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• Simple to modify this procedure to keep track of layer numbers as well.

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- Claim: Nodes in layer *i* + 1 will appear in *L* immediately after nodes in layer *i*.

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- Simple to modify this procedure to keep track of layer numbers as well. Store the pair  $(u, l_u)$ , where  $l_u$  is the index of the layer containing u.
- Claim: Nodes in layer i + 1 will appear in L immediately after nodes in layer i. More formally: If BFS(s) pops  $(v, l_v)$  from L immediately after it pops  $(u, l_u)$ , then either  $l_v = l_u$  or  $l_v = l_u + 1$ .

#### February 1, 3, 6, 8, 2017

All Components

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• Naive bound on running time is

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   Initialize L to consist of the single element s
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       Pop the node u at the head of L
       Consider each edge (u, v) incident on u
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  - Maintaining layer information: O(1) time per node.
  - Total time is O(n+m).

#### **Recursive DFS**

DFS(u):

Mark u as "Explored" and add u to R
For each edge (u, v) incident to u
If v is not marked "Explored" then
Recursively invoke DFS(v)
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- Procedure has "tail recursion": recursive call is the last step.
- Can replace the recursion by an iteration: use a stack to explicitly implement the recursion.

- Maintain a stack S to store nodes to be explored.
- Maintain an array Explored and set Explored[v] = true when the algorithm pops v from the stack.
- Read textbook on how to construct the DFS tree.

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DFS(s):
Initialize S to be a stack with one element s
While S is not empty
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DFS(s):
  Initialize S to be a stack with one element s
  While S is not empty
    Take a node u from S
    If Explored[u] = false then
                                                        2
                                                                   3
                                                                              8
       Set Explored[u] = true
       For each edge (u, v) incident to u
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                                                                   5
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                                                                              6
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  While S is not empty
                                                                                    Add parent
                                                                                    pointer when
    Take a node u from S
                                                                                    pushing to
                                                                                      stack
    If Explored[u] = false then
                                                           2
                                                                       3
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#### **Comparing Recursion and Iteration**

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Basic Definitions	Graph Traversal	BFS	DFS	All Components	
	А	nalysi	ng DF	S	
DFS(s) Init	: ialize S to be a st	tack with	one element	S	
Whil	e $S$ is not empty				
Ta	ke a node $u$ from $S$				
If	Explored[u] = false	e then			
	Set $Explored[u] = -$	true			

• How many times is a node's adjacency list scanned?

For each edge (u, v) incident to u

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Add $v$ to the stack $S$	

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Add v to the stack S

Endfor Endif Endwhile