Introduction to CS 4104

T. M. Murali

January 18, 2017
Course Information

Instructor
- T. M. Murali, 2160B Torgerson, 231-8534, murali@cs.vt.edu
- Office Hours: 9:30am-11:30am, Mondays and by appointment

Graduate teaching assistants
- Wenjie Zhuang (GTA), kaito@vt.edu
- Office Hours: to be announced
- Peter Steele (UTA), peter707@vt.edu
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Class meeting times

- MW 2:30pm–3:45pm, SURGE 204C

Prerequisite: Grade of C or better in CS 3114; P or better in MATH 3034 or MATH 3134

Force-add: Visit https://www.cs.vt.edu/S17Force-Adds before 3:45pm today and use the password "4104tmm$"
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Keeping in Touch

- Course web site
  http://courses.cs.vt.edu/~cs4104/murali/spring2017, updated regularly through the semester
- Canvas: grades and homework/exam solutions
- Piazza: announcements
Required Course Textbook

- Algorithm Design
- Jon Kleinberg and Éva Tardos
- Addison-Wesley
- 2006
Course Goals

- Learn methods and principles to construct algorithms.
- Learn techniques to analyze algorithms mathematically for correctness and efficiency (e.g., running time and space used).
- Course roughly follows the topics suggested in textbook
  - Stable matching
  - Measures of algorithm complexity
  - Graphs
  - Greedy algorithms
  - Divide and conquer
  - Dynamic programming
  - Network flow problems
  - NP-completeness
  - Coping with intractability
  - Approximation algorithms
Required Readings

- Reading assignment available on the website.
- Read **before** class.
- I strongly encourage you to keep up with the reading. Will make the class much easier.
Lecture Slides

- Will be available on class web site.
- Usually posted just before class.
- Class attendance is extremely important.
Lecture Slides

- Will be available on class web site.
- Usually posted just before class.
- **Class attendance is extremely important.** Lecture in class contains significant and substantial additions to material on the slides.
Homeworks

- Posted on the web site ≈ one week before due date.
- Announced via Canvas/Piazza.
- Prepare solutions digitally and upload on Canvas.
  - Solution preparation recommended in \LaTeX.
  - Do not submit handwritten solutions!
Homeworks

- Posted on the web site $\approx$ one week before due date.
- Announced via Canvas/Piazza.
- Prepare solutions digitally and upload on Canvas.
  - Solution preparation recommended in $\LaTeX$.
  - Do not submit handwritten solutions!
- Homework grading: lenient at beginning but gradually become stricter over the semester.
- Essential that you read posted homework solutions to learn how to describe algorithms and write proofs.
Examinations

- Take-home midterm.
- Take-home final (comprehensive).
- Prepare digital solutions (recommend \texttt{\LaTeX}).
About the Course

Data and Algorithm Analysis

Stable Matching

Grades

- Homeworks: 7–8, 60% of the grade.
- Take-home midterm: 15% of the grade.
- Take-home final: 25% of the grade.

T. M. Murali
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Honor Code

- Virginia Tech Honor Code applies to this class.
- Assistance from the internet or anyone else is a violation of the Honor Code.
- Your work and solutions to the examinations must be only your own.
- Special policy for homeworks:
  - Work on the homework in pairs. You can bounce ideas off your partner.
  - Prepare solutions individually. *Identical or similar solutions to any problem in a homework violate the Honor Code.*
What is an Algorithm?
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Chamber’s  A set of prescribed computational procedures for solving a problem; a step-by-step method for solving a problem.

Knuth, TAOCP  An algorithm is a finite, definite, effective procedure, with some input and some output.
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Chamber’s  A set of prescribed computational procedures for solving a problem; a step-by-step method for solving a problem.

Knuth, TAOCP  An algorithm is a finite, definite, effective procedure, with some input and some output.

Two other important aspects:

1. **Correct**: We will be able to rigorously prove that the algorithm does what it is supposed to do.

2. **Efficient**: We will also prove that the algorithm runs in polynomial time. We will try to make it as fast as we can.
Origin of the word “Algorithm”

1. From the Arabic *al-Khwarizmi*, a native of Khwarazm, a name for the 9th century mathematician, Abu Ja’far Mohammed ben Musa.
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3. From the Greek *algos* (meaning “pain,” also a root of “analgesic”) and *rythmos* (meaning “flow,” also a root of “rhythm”).
Origin of the word “Algorithm”

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3. From the Greek *algos* (meaning “pain,” also a root of “analgesic”) and *rythmos* (meaning “flow,” also a root of “rhythm”). “Pain flowed through my body whenever I worked on CS 4104 homeworks.” – student endorsement.
Origin of the word “Algorithm”

From the Arabic al-Khwarizmi, a native of Khwarazm, a name for the 9th century mathematician, Abu Ja’far Mohammed ben Musa. He wrote “Kitab al-jabr wa’l-muqabala,” which evolved into today’s high school algebra text.
The Match offers a fair and transparent process because all participants follow the same rules and deadlines. The Match provides unparalleled medical matching services in the United States. It's 100% objective, 100% accurate, and 100% committed to a fair and transparent process. With its internationally recognized algorithm, comprehensive data reports, and advanced technology, The Match is helping applicants achieve their dreams.

Getting it right since 1952.

NRMP ISSUES CALL FOR NOMINATIONS FOR BOARD OF DIRECTORS: The NRMP Board of Directors is seeking nominations for two open Director positions. Read about the nomination process and the qualifications for nominees.

RANKING NOW OPEN FOR 2016 MAIN RESIDENCY MATCH: The 2016 Main Residency Match ranking function opened in the Registration, Ranking, and Results system on Friday, January 13, at 12:00 p.m. Eastern Time. Final rank order lists must be certified before the Rank Order List Deadline on Wednesday, February 24, at 9:00 p.m. Eastern Time. Visit the toolkits for resources for assistance with the ranking process.

NRMP STATEMENT REGARDING A SINGLE MATCH: At its May 4, 2015 meeting, the National Resident Matching Program Board of Directors adopted a statement about whether a single Match will result from the single accreditation system for graduate medical education programs in the U.S. to be conducted under the aegis of the Accreditation Council for Graduate Medical Education.
There are $n$ men and $n$ women.
Stable Matching Problem

Each man ranks all the women in order of preference.
Each woman ranks all the men in order of preference.
Each person uses all ranks from 1 to $n$. 
Stable Matching Problem

Perfect matching: each man is paired with exactly one woman and vice versa.
# Stable Matching Problem

<table>
<thead>
<tr>
<th>Callie</th>
<th>Christina</th>
<th>Meredith</th>
<th>Miranda</th>
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<td>3</td>
</tr>
<tr>
<td>Derek</td>
<td>4</td>
<td>3</td>
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Is this matching good?
Stable Matching Problem

Is this matching good?

T. M. Murali January 18, 2017 Introduction to CS 4104
Stable Matching Problem

Is this matching good?
Stable Matching Problem

Rogue couple: a man and a woman who are not matched but prefer each other to their current partners.
**Stable Matching Problem**

**Stable matching**: A perfect matching without any rogue couples.
Stable Matching: A perfect matching without any rogue couples.
Stable Matching Problem

Questions

1. Given preferences for every woman and every man, does a stable matching exist?
2. If it does, can we compute it? How fast?
Examples

Example

$m$ prefers $w$ to $w'$
$m'$ prefers $w$ to $w'$

$w$ prefers $m$ to $m'$
$w'$ prefers $m$ to $m'$

Stable Matching

$(m, w)$ and $(m', w')$

$(m, w')$ and $(m', w)$

$(m, w)$ and $(m', w')$ or

$(m, w')$ and $(m', w)$
Examples

Example

\[ m \text{ prefers } w \text{ to } w' \]
\[ m' \text{ prefers } w \text{ to } w' \]
\[ w \text{ prefers } m \text{ to } m' \]
\[ w' \text{ prefers } m \text{ to } m' \]

Stable Matching

\( (m, w) \) and \( (m', w') \)
Examples

**Example**

- $m$ prefers $w$ to $w'$
- $m'$ prefers $w$ to $w'$

**Stable Matching**

$(m, w)$ and $(m', w')$

- $w$ prefers $m$ to $m'$
- $w'$ prefers $m$ to $m'$
- $m$ prefers $w$ to $w'$
- $m'$ prefers $w$ to $w'$

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Stable Matching

- $(m, w)$ and $(m', w')$
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Examples

Example

\( m \) prefers \( w \) to \( w' \)
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Stable Matching

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**Examples**

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$(m, w)$ and $(m', w')$ or  
$(m, w')$ and $(m', w)$ |

T. M. Murali  
January 18, 2017
Gale-Shapley Algorithm

Each man proposes to each woman, in decreasing order of preference. Woman accepts if she is free or prefers new prospect to current fiance.

Initially, all men and all women are free
While there is at least one free man who has not proposed to every woman
    Choose such a man $m$
    $m$ proposes to the highest-ranked woman $w$ on his list to whom he has not yet proposed
If $w$ is free, then
    she becomes engaged to $m$
else if $w$ is engaged to $m'$ and she prefers $m$ to $m'$
    she becomes engaged to $m$
    $m'$ becomes free
Otherwise, $m$ remains free
Return set $S$ of engaged pairs
Questions about the Algorithm

- Does the algorithm even terminate?
- If it does, how long does the algorithm take to run?
- If it does, is $S$ a perfect matching? A stable matching?
Some Simple Observations

- Gale-Shapley algorithm computes a matching, i.e., each woman paired with at most one man and vice versa.
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- Woman’s status: Remains engaged after first proposal.
- Ranking of a man’s partner:
Some Simple Observations

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- Ranking of a woman’s partner:
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- Man’s status: Can alternate between being free and being engaged.
- Woman’s status: Remains engaged after first proposal.
- Ranking of a man’s partner: Remains the same or goes down.
- Ranking of a woman’s partner: Can never go down.
Proof: Algorithm Terminates

- Is there some quantity that we can use the measure the progress of the algorithm in each iteration?
Proof: Algorithm Terminates

- Is there some quantity that we can use to measure the progress of the algorithm in each iteration?
- Number of free men? Number of free women?

Number of proposals made after \( k \) iterations? Must increase by one in each iteration. How many total proposals can be made? \( n^2 \). Therefore, the algorithm must terminate in \( n^2 \) iterations.

Formal proof: Let \( p(k), k \geq 1 \) be the number of proposals made after \( k \) iterations. Clearly, \( p(k) \leq n^2 \) since there are \( n^2 \) man-woman pairs. Moreover, at least one proposal is made in every iteration. Hence, the algorithm terminates after \( n^2 \) iterations.
Proof: Algorithm Terminates

- Is there some quantity that we can use the measure the progress of the algorithm in each iteration?
- Number of free men? Number of free women? No, since both can remain unchanged in an iteration.
Proof: Algorithm Terminates

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- Number of free men? Number of free women? No, since both can remain unchanged in an iteration.
- Number of proposals made after \( k \) iterations?

\[
\text{Number of proposals made after } k \text{ iterations} = \binom{n}{2}.
\]

Therefore, the algorithm must terminate in \( n^2 \) iterations!
Proof: Algorithm Terminates

- Is there some quantity that we can use to measure the progress of the algorithm in each iteration?
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Running Time

Implement each iteration in constant time to get running time $\propto n^2$

Initially, all men and all women are free
While there is at least one free man who has not
   proposed to every woman
   Choose such a man $m$
   $m$ proposes to the highest-ranked woman $w$ on his list
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If $w$ is free, then

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If $w$ is free, then
she becomes engaged to $m$
else if $w$ is engaged to $m'$ and $\text{she prefers } m \text{ to } m'$
she becomes engaged to $m$ $\text{Rank}[w,m] = \text{rank of } m \text{ in } w \text{’s list}$
$m'$ becomes free

Otherwise, $m$ remains free
Return set $S$ of engaged pairs
Proof: Matching Computed is Perfect

- Suppose the set $S$ of pairs returned by the Gale-Shapley algorithm is not perfect.

- $S$ is a matching. Therefore, there must be at least one free man $m$.

- $m$ has proposed to all the women (since algorithm terminated).

- Therefore, each woman must be engaged (since she remains engaged after the first proposal to her).

- Therefore, all men must be engaged, contradicting the assumption that $m$ is free.
Proof: Matching Computed is Stable

Perfect matching $S$ returned by algorithm
Proof: Matching Computed is Stable

Not stable: $m_1$ paired with $w_1$ but prefers $w_2$;
$w_2$ paired with $m_2$ but prefers $m_1$
Proof: Matching Computed is Stable

Not stable: \( m_1 \) paired with \( w_1 \) but prefers \( w_2 \);
\( w_2 \) paired with \( m_2 \) but prefers \( m_1 \)
\[ \Rightarrow m_1 \text{ proposed to } w_2 \text{ before proposing to } w_1 \]
Proof: Matching Computed is Stable

Rewind: What happened when $m_1$ proposed to $w_2$?
Proof: Matching Computed is Stable

Case 1: $w_2$ rejected $m_1$ because she preferred current partner $m_3$. 

Diagram showing the matching process and preferences between agents.
Proof: Matching Computed is Stable

Case 1: At termination, $w_2$ must prefer her final partner $m_2$ to $m_3$.
Contradicts consequence of instability: $w_2$ prefers $m_1$ to $m_2$.
Proof: Matching Computed is Stable

Case 2: $w_2$ accepted $m_1$ because she had no partner or preferred $m_1$ to current partner $m_3$. 

Diagram:

- $m_2$
- $m_3$
- $w_2$
- $m_1$
- $w_1$
Proof: Matching Computed is Stable

Case 2: By instability, we know $w_2$ prefers $m_1$ to $m_2$. But at termination, $w_2$ is matched with $m_2$, which contradicts property that a woman switches only to a better match.
Proof: Stable Matching (in Words)

- Suppose \( S \) is not stable, i.e., there are two pairs \((m_1, w_1)\) and \((m_2, w_2)\) in \( S \) such that \( m_1 \) prefers \( w_2 \) to \( w_1 \) and \( w_2 \) prefers \( m_1 \) to \( m_2 \).
- \( m_1 \) must have proposed to \( w_2 \) before \( w_1 \) because . . . .
- At that stage \( w_2 \) must have rejected \( m_1 \); otherwise, the algorithm would pair \( m_1 \) and \( w_2 \), which would prevent the pairing of \( m_2 \) and \( w_2 \) in a later iteration of the algorithm. (Why?)
- When \( w_2 \) rejected \( m_1 \), she must have been paired with some man, say \( m_3 \), whom she prefers to \( m_1 \).
- Since \( m_2 \) is paired with \( w_2 \) at termination, \( w_2 \) must prefer to \( m_2 \) to \( m_3 \) or \( m_2 = m_3 \) (Why?), which contradicts our conclusion (from instability) that \( w_2 \) prefers \( m_1 \) to \( m_2 \).
Further Reading and Viewing

- Gail-Shapley algorithm always produces the same matching in which each man is paired with his best valid partner but each woman is paired with her worst valid partner. Read pages 9–12 of the textbook.

- Video describing matching algorithm used by the National Resident Matching Program

- Description of research to Roth and Shapley that led to 2012 Nobel Prize in Economics
Variants of Stable Matching

- Hospitals and residents: Each hospital can take multiple residents.

- Hospitals and residents with couples: Each hospital can take multiple residents. A couple must be assigned together, either to the same hospital or to a specific pair of hospitals chosen by the couple.

- Stable roommates problem: there is only one “gender”.

- Preferences may be incomplete or have ties or people may lie.
Variants of Stable Matching

- Hospitals and residents: Each hospital can take multiple residents. Modification of Gale-Shapley algorithm works. Some residents may not be matched. Some hospitals may not fill quota.

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- Stable roommates problem: there is only one “gender”. Irving’s algorithm; more complex than Gale-Shapley.

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Variants of Stable Matching

- Hospitals and residents: Each hospital can take multiple residents. Modification of Gale-Shapley algorithm works. Some residents may not be matched. Some hospitals may not fill quota.

- Hospitals and residents with couples: Each hospital can take multiple residents. A couple must be assigned together, either to the same hospital or to a specific pair of hospitals chosen by the couple. NP-complete

- Stable roommates problem: there is only one “gender”. Irving’s algorithm; more complex than Gale-Shapley.

- Preferences may be incomplete or have ties or people may lie. Several variants are NP-hard, even to approximate.