Basic Definitions	Graph Traversal	BFS	DFS	All Components	Implementations

T. M. Murali

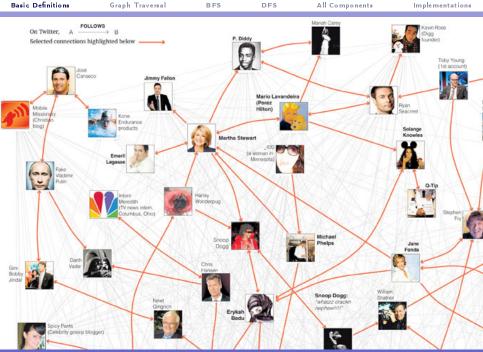
January 28, February 2, 4, 2016

DFS



The Oracle of Bacon

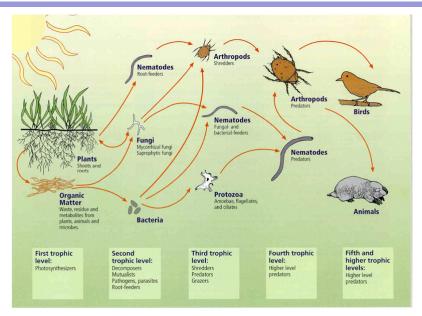


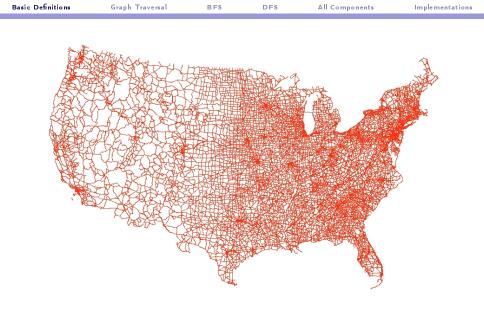


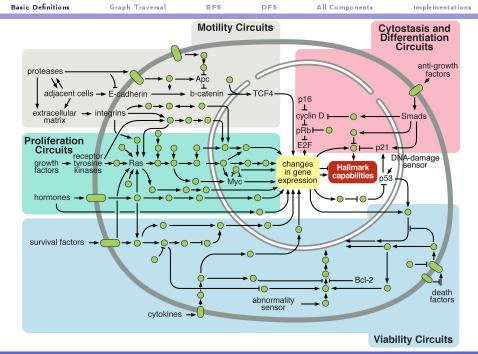
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CS4104: Graphs







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Graphs									

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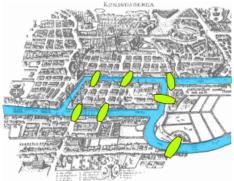
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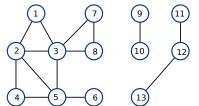


- Undirected graph G = (V, E): set V of nodes and set E of edges, where $E \subseteq V \times V$. Elements of E are unordered pairs.
 - Abuse of notation: write an edge e between nodes u and v as e = (u, v) and not as e = {u, v}.
 - Say that edge *e* is *incident* on *u* and on *v*.
 - Exactly one edge between any pair of nodes.
 - G contains no self loops.

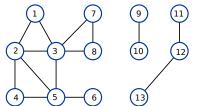
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 - A pair of nodes {u, v} may be connected by two directed edges: (u, v) and (v, u).
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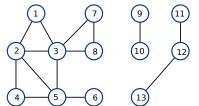
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- ▶ By default, "graph" will mean an "undirected graph".



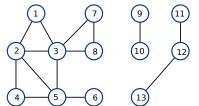
- ▶ A path in an undirected graph G = (V, E) is a sequence P of nodes $v_1, v_2, \ldots, v_{k-1}, v_k \in V$ such that every consecutive pair of nodes $v_i, v_{i+1}, 1 \leq i < k$ is connected by an edge in E.
 - P is called a path from v_1 to v_K or a v_1 - v_k path.
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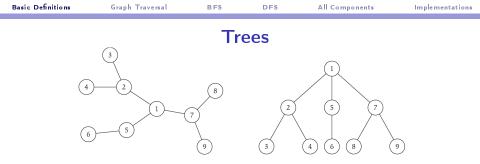


Figure 3.1 Two drawings of the same tree. On the right, the tree is rooted at node 1.

> An undirected graph is a *tree* if it is connected and does not contain a cycle.

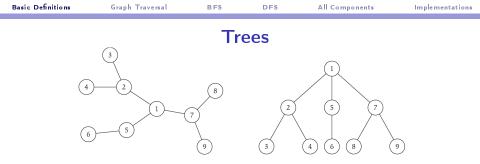


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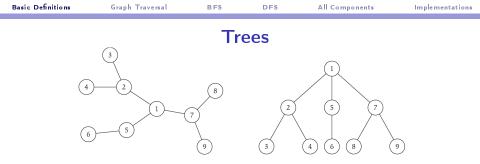


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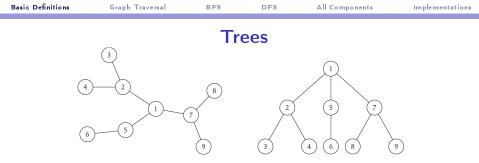


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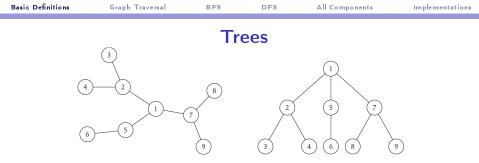


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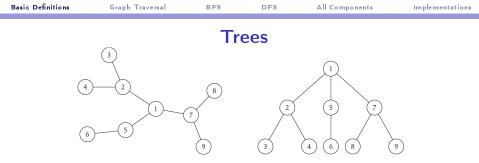


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- Examples of (rooted) trees: organisational hierarchy, class hierarchies in object-oriented languages.

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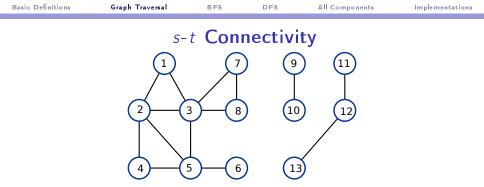
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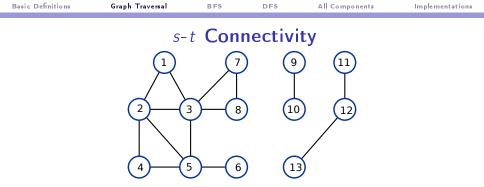
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 - 3 and $1 \Rightarrow 2$: prove yourself.



s-*t* Connectivity

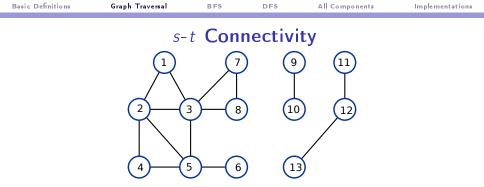
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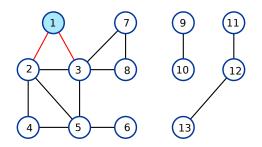
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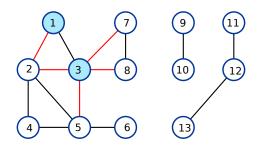
- ► The connected component of G containing s is the set of all nodes u such that there is an s-u path in G.
- Algorithm for the s-t Connectivity problem: compute the connected component of G that contains s and check if t is in that component.

```
R will consist of nodes to which s has a path
Initially R = \{s\}
While there is an edge (u, v) where u \in R and v \notin R
Add v to R
Endwhile
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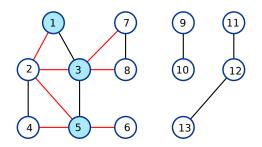
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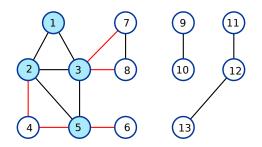
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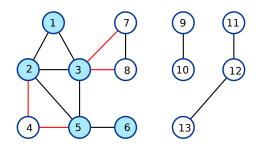
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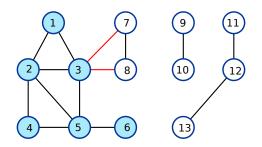
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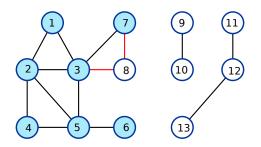
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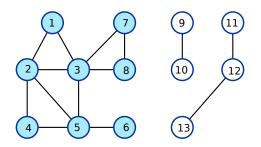
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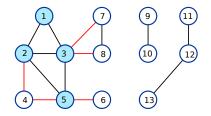
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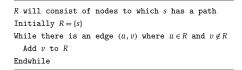
Issues in Computing Connected Components

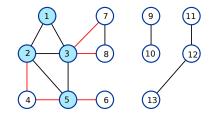
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How do we implement the while loop?



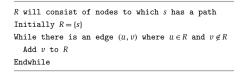
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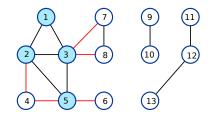




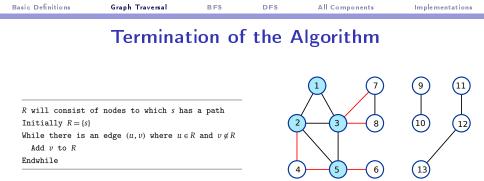
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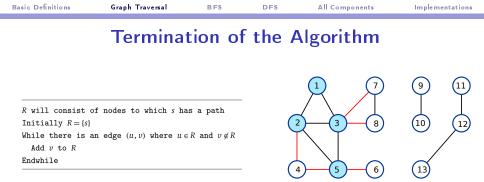




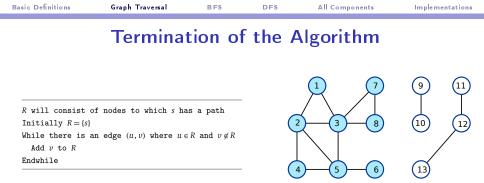
- ▶ How do we implement the while loop? Examine each edge in E.
- Other issues to consider:
 - Why does the algorithm terminate?
 - ▶ Does the algorithm truly compute connected component of G containing s?
 - What is the running time of the algorithm?



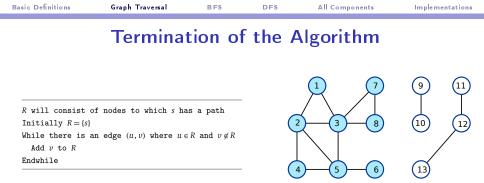
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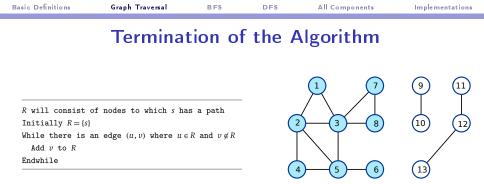
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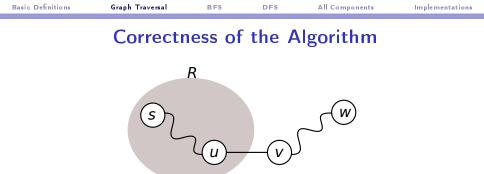
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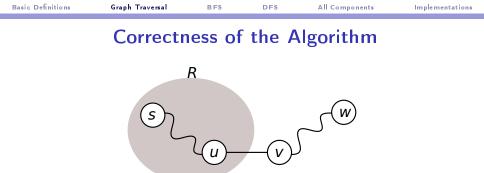
- ▶ How many nodes does each iteration of the while loop add to R? Exactly 1.
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- ▶ What is true of *R* at termination?



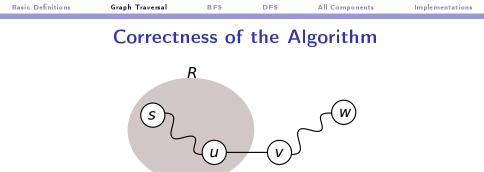
- ▶ How many nodes does each iteration of the while loop add to R? Exactly 1.
- ▶ How many times is the while loop executed? At most *n* times.
- What is true of R at termination?
 - either R = V at the end or
 - in the last iteration, every edge either has both nodes in R or both nodes not in R.



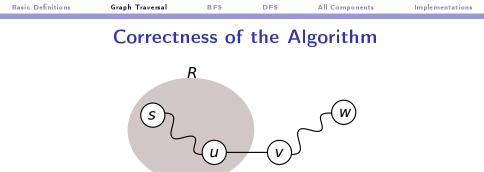
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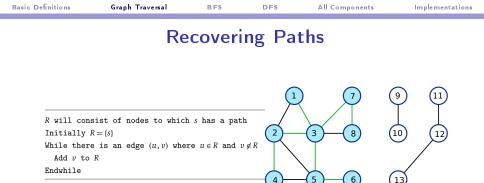


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 - ▶ Note: wrong to assume that predecessor of *w* in *P* is not in *R*.

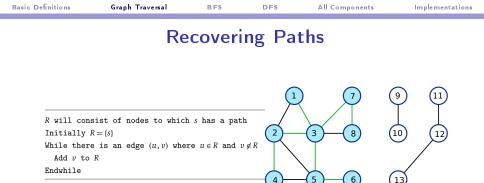
Recovering Paths

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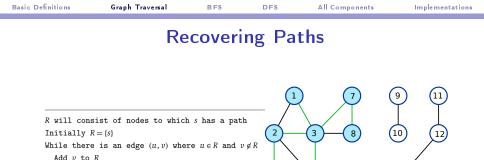
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- ▶ To recover the *s*-*t* path, trace these edges backwards from *t* until we reach *s*.

13

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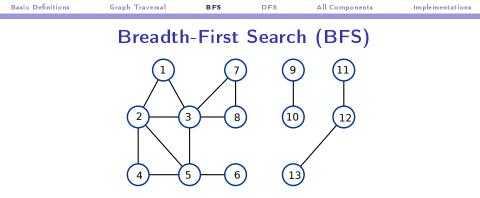
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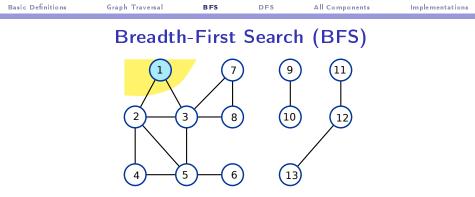
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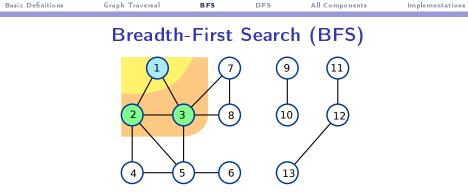
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- Can we improve the running time by processing edges more carefully?



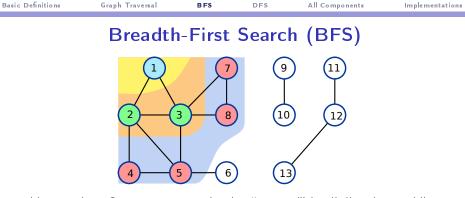
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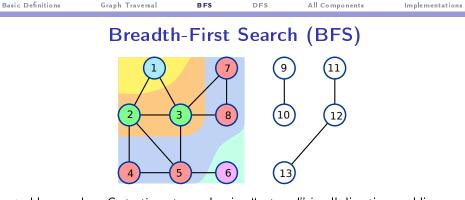
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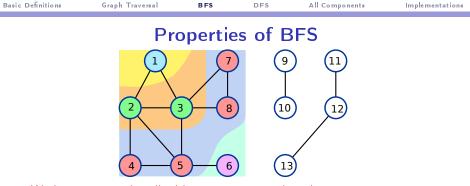
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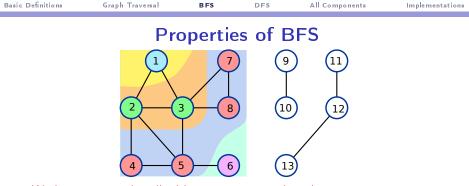
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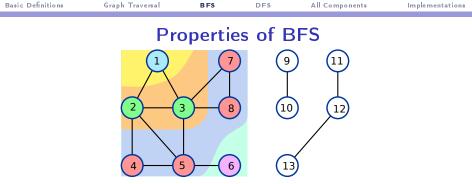
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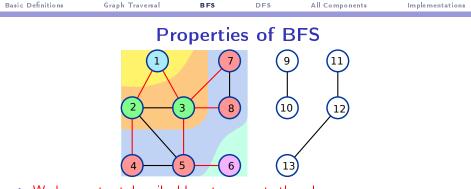
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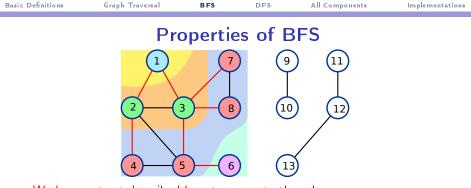
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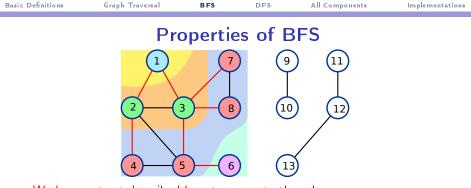
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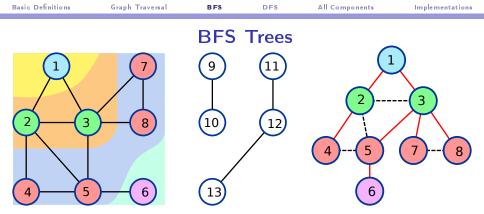
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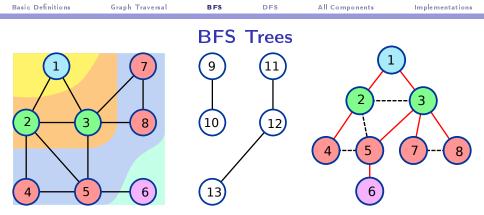


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 - ► Why is T a tree? It is connected. The number of edges in T is the number of nodes in all the layers minus 1.
 - T is called the *breadth-first search tree*.



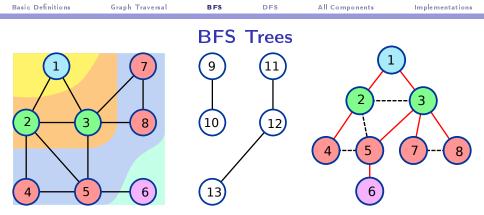
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- **Still unresolved**: an efficient implementation of BFS.

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BFS

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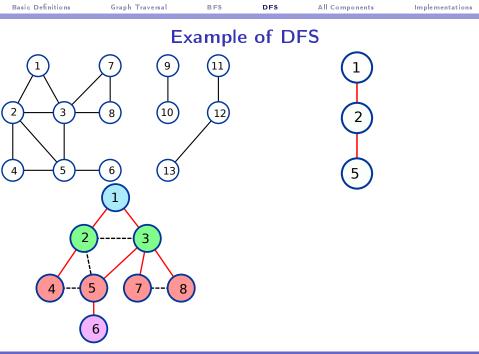
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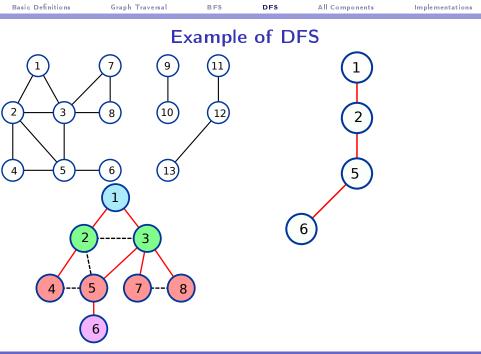
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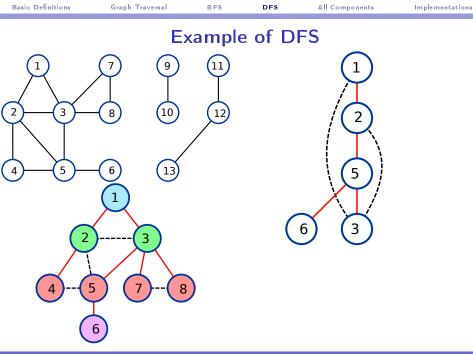
▶ Depth-first search tree is a tree T: when DFS(v) is invoked directly during the call to DFS(v), add edge (u, v) to T.

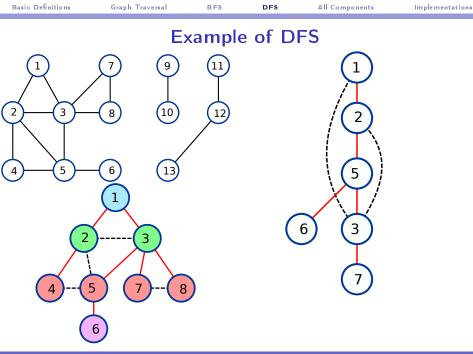
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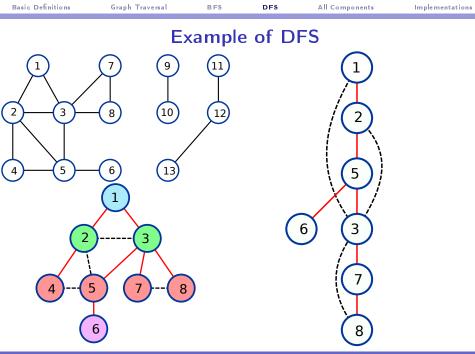
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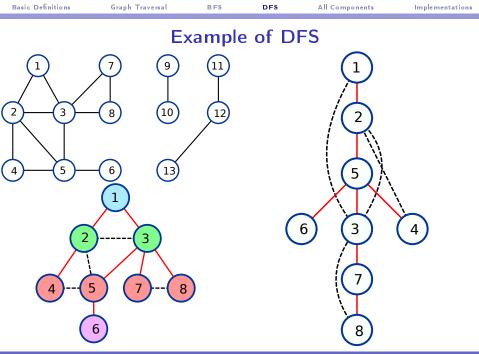


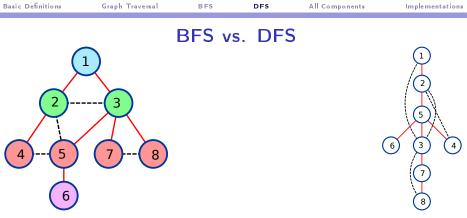






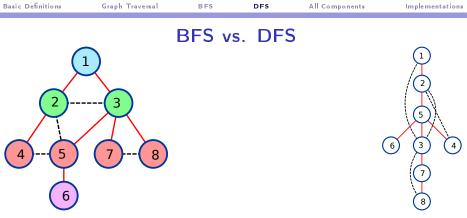






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- Both traverse all the edges in the connected component but in a different order.
- BFS trees have root-to-leaf paths that look as short as possible while paths in DFS trees tend to be long and deep.
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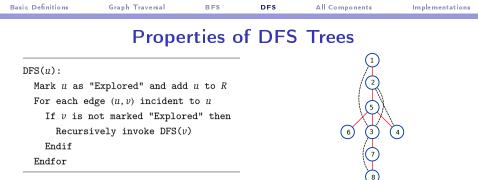


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DFS connect ancestors to descendants.

January 28, February 2, 4, 2016



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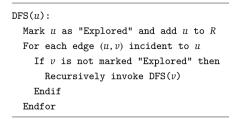
DFS

All Components

6

Implementations

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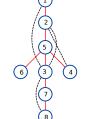
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- > Proof: Assume, without loss of generality, that DFS(u) reached x first.
 - Since (x, y) is an edge in G, it is examined during DFS(x).
 - Since $(x, y) \notin T$, y must be marked as "Explored" during DFS(x) but before (x, y) is examined.
 - Since y was not marked as "Explored" before DFS(x) was invoked, it must be marked as "Explored" between the start and the end of DFS(x).
 - Therefore, y must be a descendant of x in T.

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Computing All Connected Components

- 1. Pick an arbitrary node s in G.
- 2. Compute its connected component using BFS (or DFS).
- 3. Find a node (say v, not already visited) and repeat the BFS from v.
- 4. Repeat this process until all nodes are visited.

Representing Graphs

• Graph G = (V, E) has two input parameters: |V| = n, |E| = m.

- Size of the graph is defined to be m + n.
- Strive for algorithms whose running time is linear in graph size, i.e., O(m + n).

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- ► Adjacency matrix representation: n × n Boolean matrix, where the entry in row i and column j is 1 iff the graph contains the edge (i, j).
 - Space used is $\Theta(n^2)$, which is optimal in the worst case.
 - Check if there is an edge between node i and node j in O(1) time.
 - Iterate over all the edges incident on node i in $\Theta(n)$ time.
- Adjacency list representation: array Adj, where Adj[v] stores the list of all nodes adjacent to v.
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 - Space used is $O(n + \sum_{v \in G} n_v) = O(n + m)$, which is optimal for every graph.
 - Check if there is an edge between node u and node v in $O(n_u)$ time.
 - ▶ Iterate over all the edges incident on node u in $\Theta(n_u)$ time.

Data Structures for Implementation

- "Implementation" of BFS and DFS: fully specify the algorithms and data structures so that we can obtain provably efficient times.
- Inner loop of both BFS and DFS: process the set of edges incident on a given node and the set of visited nodes.
- How do we store the set of visited nodes? Order in which we process the nodes is crucial.

Data Structures for Implementation

- "Implementation" of BFS and DFS: fully specify the algorithms and data structures so that we can obtain provably efficient times.
- Inner loop of both BFS and DFS: process the set of edges incident on a given node and the set of visited nodes.
- How do we store the set of visited nodes? Order in which we process the nodes is crucial.
 - ▶ BFS: store visited nodes in a queue (first-in, first-out).
 - DFS: store visited nodes in a stack (last-in, first-out)



DFS

All Components

Implementations

3 2 5 7 8 4 6

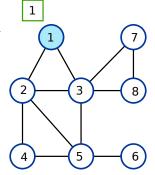
Implementing BFS

Maintain an array Discovered and set
 Discovered[v] = true as soon as the algorithm sees v.

```
BFS(s):
  Set Discovered[s] = true and Discovered[v] = false for all other v
  Initialize L[0] to consist of the single element s
  Set the layer counter i=0
  Set the current BFS tree T = \emptyset
  While L[i] is not empty
    Initialize an empty list L[i+1]
    For each node u \in L[i]
      Consider each edge (u, v) incident to u
      If Discovered[v] = false then
        Set Discovered[v] = true
        Add edge (u, v) to the tree T
        Add v to the list L[i+1]
      Endif
    Endfor
    Increment the layer counter i by one
  Endwhile
```

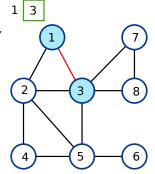
```
BFS(s):
```

```
Set Discovered[s] = true
Set Discovered[v] = false, for all other nodes v
Initialize L to consist of the single element s
While L is not empty
Pop the node u at the head of L
Consider each edge (u, v) incident on u
If Discovered[v] = false then
Set Discovered[v] = true
Add edge (u, v) to the tree T
Push v to the back of L
Endif
Endwhile
```



```
BFS(s):
```

```
Set Discovered[s] = true
Set Discovered[v] = false, for all other nodes v
Initialize L to consist of the single element s
While L is not empty
Pop the node u at the head of L
Consider each edge (u, v) incident on u
If Discovered[v] = false then
Set Discovered[v] = true
Add edge (u, v) to the tree T
Push v to the back of L
Endif
Endwhile
```

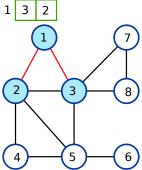


Implementations

Using a Queue in BFS

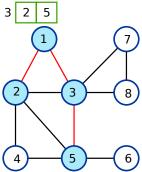
```
BFS(s):
```

```
Set Discovered[s] = true
Set Discovered[v] = false, for all other nodes v
Initialize L to consist of the single element s
While L is not empty
Pop the node u at the head of L
Consider each edge (u, v) incident on u
If Discovered[v] = false then
Set Discovered[v] = true
Add edge (u, v) to the tree T
Push v to the back of L
Endif
Endwhile
```



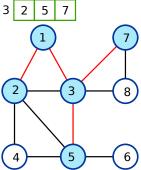
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Set Discovered[s] = true
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Push v to the back of L
Endif
Endwhile
```

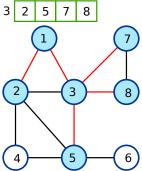


Implementations

Using a Queue in BFS

```
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If Discovered[v] = false then
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Push v to the back of L
Endif
Endwhile
```

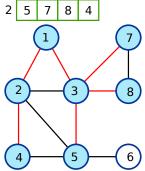


Implementations

Using a Queue in BFS

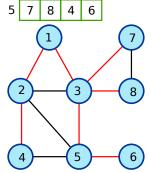
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BFS(s):
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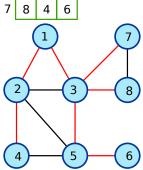


Implementations

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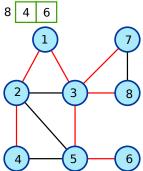


Implementations

Using a Queue in BFS

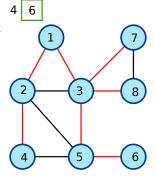
```
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```

```
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Pop the node u at the head of L
Consider each edge (u, v) incident on u
If Discovered[v] = false then
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Endwhile
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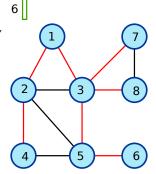
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If Discovered[v] = false then
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Add edge (u, v) to the tree T
Push v to the back of L
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Endwhile
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2

3

5

Implementations

Using a Queue in BFS

Instead of storing each layer in a different list, maintain all the layers in a single queue L. 6

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Set Discovered[v] = false, for all other nodes v
Initialize L to consist of the single element s
While L is not empty
    Pop the node u at the head of L
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    If Discovered[v] = false then
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       Push v to the back of L
    Endif
```

Endwhile

Simple to modify this procedure to keep track of layer numbers as well.

Implementations

Using a Queue in BFS

Instead of storing each layer in a different list, maintain all the layers in a single queue L.

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BFS(s):
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Set Discovered[s] = true

Set Discovered[v] = false, for all other nodes v

Initialize L to consist of the single element s

While L is not empty

Pop the node u at the head of L

Consider each edge (u, v) incident on u

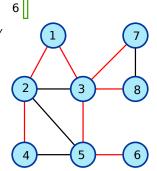
If Discovered[v] = false then

Set Discovered[v] = true

Add edge (u, v) to the tree T

Push v to the back of L

Endif
```



Endwhile

Simple to modify this procedure to keep track of layer numbers as well. Store the pair (u, l_u), where l_u is the index of the layer containing u.

Instead of storing each layer in a different list, maintain all the layers in a single queue L.

```
BFS(s):
```

```
Set Discovered[s] = true

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Initialize L to consist of the single element s

While L is not empty

Pop the node u at the head of L

Consider each edge (u, v) incident on u

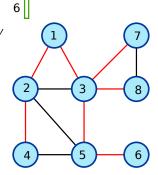
If Discovered[v] = false then

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Add edge (u, v) to the tree T

Push v to the back of L

Endif
```



Endwhile

- ► Simple to modify this procedure to keep track of layer numbers as well. Store the pair (u, l_u), where l_u is the index of the layer containing u.
- Claim: Nodes in layer i + 1 will appear in L immediately after nodes in layer i.

Instead of storing each layer in a different list, maintain all the layers in a single queue L.

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BFS(s):
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Set Discovered[s] = true

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Initialize L to consist of the single element s

While L is not empty

Pop the node u at the head of L

Consider each edge (u, v) incident on u

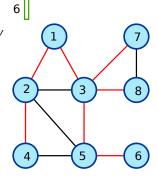
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Push v to the back of L

Endif
```



Endwhile

- ► Simple to modify this procedure to keep track of layer numbers as well. Store the pair (u, l_u), where l_u is the index of the layer containing u.
- Claim: Nodes in layer i + 1 will appear in L immediately after nodes in layer i. More formally: If BFS(s) pops (v, l_v) from L immediately after it pops (u, l_u) , then either $l_v = l_u$ or $l_v = l_u + 1$.

```
BFS(s):
   Set Discovered[s] = true
   Set Discovered [v] = false, for all other nodes v
   Initialize L to consist of the single element s
   While L is not empty
       Pop the node u at the head of L
       Consider each edge (u, v) incident on u
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Naive bound on running time is

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   Endwhile
```

▶ Naive bound on running time is $O(n^2)$: For each node, we spend O(n) time.

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   Endwhile
```

- Naive bound on running time is O(n²): For each node, we spend O(n) time.
 Improved bound:
 - How many times is a node popped from L?

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- ▶ Naive bound on running time is $O(n^2)$: For each node, we spend O(n) time.
- Improved bound:
 - ► How many times is a node popped from *L*? Exactly once.
 - Time used by for loop for a node u:

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- Naive bound on running time is $O(n^2)$: For each node, we spend O(n) time.
- Improved bound:
 - ► How many times is a node popped from *L*? Exactly once.
 - Time used by for loop for a node $u: O(n_u)$ time.
 - Total time for all for loops: $\sum_{u \in G} O(n_u) = O(m)$ time.
 - Maintaining layer information:

```
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   Initialize L to consist of the single element s
   While L is not empty
       Pop the node u at the head of L
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```

- ▶ Naive bound on running time is $O(n^2)$: For each node, we spend O(n) time.
- Improved bound:
 - How many times is a node popped from L? Exactly once.
 - Time used by for loop for a node u: $O(n_u)$ time.
 - Total time for all for loops: $\sum_{u \in G} O(n_u) = O(m)$ time.
 - Maintaining layer information: O(1) time per node.
 - ▶ Total time is O(n + m)

Basic Definitions	Graph Traversal	BFS	DFS	All Components	Implementations
	F	Recurs	ive DF	S	
DFS (u) :					

Mark u as "Explored" and add u to R
For each edge (u, v) incident to u
If v is not marked "Explored" then
Recursively invoke DFS(v)
Endif
Endfor

Procedure has "tail recursion": recursive call is the last step.

Basic Definitions	Graph Traversal	B FS	DFS	All Components	Implementations			
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		(ccurs		0				

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For each edge (u, v) incident to u
If v is not marked "Explored" then
Recursively invoke DFS(v)
Endif
Endfor

- ▶ Procedure has "tail recursion": recursive call is the last step.
- Can replace the recursion by an iteration: use a stack to explicitly implement the recursion.

- Maintain a stack S to store nodes to be explored.
- Maintain an array Explored and set Explored[v] = true when the algorithm pops v from the stack.
- Read textbook on how to construct the DFS tree.

```
DFS(s):
Initialize S to be a stack with one element s
While S is not empty
Take a node u from S
If Explored[u] = false then
Set Explored[u] = true
For each edge (u, v) incident to u
Add v to the stack S
Endfor
Endif
Endwhile
```

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- ▶ Read textbook on how to construct the DFS tree.

```
DFS(s):
  Initialize S to be a stack with one element s
  While S is not empty
    Take a node u from S
    If Explored[u] = false then
                                                        2
                                                                   3
                                                                              8
       Set Explored[u] = true
       For each edge (u, v) incident to u
         Add v to the stack S
       Endfor
                                                                   5
    Endif
                                                                              6
  Endwhile
```

- Maintain a stack S to store nodes to be explored.
- Maintain an array Explored and set Explored[v] = true when the algorithm pops v from the stack.
- ▶ Read textbook on how to construct the DFS tree.

```
DFS(s):
  Initialize S to be a stack with one element s
  While S is not empty
                                                                                    Add parent
                                                                                    pointer when
    Take a node u from S
                                                                                    pushing to
                                                                                      stack
    If Explored[u] = false then
                                                           2
                                                                       3
       Set Explored[u] = true
       For each edge (u, v) incident to u
          Add v to the stack S
                                                                                            3
       Endfor
                                                                       5
    Endif
                                                                                  6
                                                                                        1
  Endwhile
```

- Maintain a stack S to store nodes to be explored.
- Maintain an array Explored and set Explored[v] = true when the algorithm pops v from the stack.
- ▶ Read textbook on how to construct the DFS tree.

```
DFS(s):
                                                                                          Node may
  Initialize S to be a stack with one element s
                                                                                          be on stack
                                                                                          more than
  While S is not empty
                                                                                          once
    Take a node u from S
                                                                                                8
    If Explored[u] = false then
                                                             2
                                                                          3
                                                                                      8
                                                                                                7
        Set Explored[u] = true
                                                                            Overwrite
        For each edge (u, v) incident to u
                                                                                                5
                                                                            parent pointer if
                                                                            pushing node
          Add v to the stack S
                                                                            again
                                                                                                2
        Endfor
                                                                          5
    Endif
                                                                                            3
                                                                                      6
                                                                                                2
  Endwhile
```

- Maintain a stack S to store nodes to be explored.
- Maintain an array Explored and set Explored[v] = true when the algorithm pops v from the stack.
- ▶ Read textbook on how to construct the DFS tree.

```
DFS(s):
  Initialize S to be a stack with one element s
  While S is not empty
    Take a node u from S
                                                                                        7
    If Explored[u] = false then
                                                        2
                                                                   3
                                                                              8
                                                                                       7
       Set Explored[u] = true
       For each edge (u, v) incident to u
                                                                                       5
         Add v to the stack S
                                                                                       2
       Endfor
                                                                   5
    Endif
                                                                                    8
                                                                                       2
                                                                              6
  Endwhile
```

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  Initialize S to be a stack with one element s
  While S is not empty
    Take a node u from S
    If Explored[u] = false then
                                                        2
                                                                   3
                                                                              8
                                                                                        7
       Set Explored[u] = true
       For each edge (u, v) incident to u
                                                                                        5
         Add v to the stack S
                                                                                        2
       Endfor
                                                                   5
    Endif
                                                                               6
  Endwhile
```

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    Take a node u from S
    If Explored[u] = false then
                                                        2
                                                                   3
                                                                              8
       Set Explored[u] = true
       For each edge (u, v) incident to u
                                                                                       5
         Add v to the stack S
                                                                                       2
       Endfor
                                                                   5
    Endif
                                                                              6
  Endwhile
```

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- Maintain an array Explored and set Explored[v] = true when the algorithm pops v from the stack.
- ▶ Read textbook on how to construct the DFS tree.

```
DFS(s):
  Initialize S to be a stack with one element s
  While S is not empty
    Take a node u from S
                                                                                       6
    If Explored[u] = false then
                                                        2
                                                                   3
                                                                              8
                                                                                       4
       Set Explored[u] = true
       For each edge (u, v) incident to u
                                                                                       2
         Add v to the stack S
                                                                                       2
       Endfor
                                                                   5
                                                                                       2
    Endif
                                                                                    5
                                                                              6
  Endwhile
```

- Maintain a stack S to store nodes to be explored.
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  Initialize S to be a stack with one element s
  While S is not empty
    Take a node u from S
    If Explored[u] = false then
                                                        2
                                                                   3
                                                                              8
                                                                                       4
       Set Explored[u] = true
       For each edge (u, v) incident to u
                                                                                       2
         Add v to the stack S
                                                                                       2
       Endfor
                                                                   5
    Endif
                                                                                       2
                                                                              6
                                                                                   6
  Endwhile
```

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  While S is not empty
    Take a node u from S
    If Explored[u] = false then
                                                        2
                                                                   3
                                                                              8
       Set Explored[u] = true
       For each edge (u, v) incident to u
                                                                                       2
         Add v to the stack S
                                                                                       2
       Endfor
                                                                   5
    Endif
                                                                                       2
                                                                              6
                                                                                    Δ
  Endwhile
```

- Maintain a stack S to store nodes to be explored.
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```
DFS(s):
  Initialize S to be a stack with one element s
  While S is not empty
    Take a node u from S
    If Explored[u] = false then
                                                        2
                                                                   3
                                                                              8
       Set Explored[u] = true
       For each edge (u, v) incident to u
         Add v to the stack S
                                                                                       2
       Endfor
                                                                   5
    Endif
                                                                              6
                                                                                    2
  Endwhile
```

Comparing Recursion and Iteration

```
DFS(u):
  Mark u as "Explored" and add u to R
  For each edge (u, v) incident to u
    If v is not marked "Explored" then
        Recursively invoke DFS(v)
    Endif
Endif
```

```
DFS(s):
Initialize S to be a stack with one element s
While S is not empty
Take a node u from S
If Explored[u] = false then
Set Explored[u] = true
For each edge (u, v) incident to u
Add v to the stack S
Endfor
Endif
Endwhile
```

Basic Definitions	Graph Traversal	B FS	DFS	All Components	Implementations
	Δ	nalysi	ing DF	S	
DFS(s)	:				
Init	ialize S to be a s	tack with	one element	t <i>s</i>	
While	e S is not empty				
Tal	ke a node u from S				
If	Explored[u] = fals	e then			
	Set $Explored[u] =$	true			
	For each edge $(u, $	v) incident	to u		
	Add v to the st	ack S			
	Endfor				
End	dif				
Endwl	hile				

▶ How many times is a node's adjacency list scanned?

Basic Definitions	Graph Traversal	B FS	DFS	All Components	Implementations	
	А	nalys	ing DF	S		
DFS(s):	:					
Initi	Initialize S to be a stack with one element s					
While	While S is not empty					
Tal	Take a node <i>u</i> from <i>S</i>					
If	Explored[u] = fals	e then				
	Set $Explored[u] = true$					
	For each edge (u, u)	v) incident	t to u			
	Add v to the sta	ack S				
	Endfor					
Enc	dif					
Endwl	hile					

► How many times is a node's adjacency list scanned? Exactly once.

Basic Definitions	Graph Traversal	BFS	DFS	All Components	Implementations
	Δ	nalysi	ng DF	-S	
DFS(s)	:				
Init	ialize S to be a s	tack with	one element	t s	
Whil	e S is not empty				
Ta	ke a node u from S				
If	Explored[u] = fals	e then			
	Set $Explored[u] =$	true			
	For each edge (u, t)	v) incident	; to u		
	Add v to the st	ack S			
	Endfor				
En	dif				
Endw	hile				

- ▶ How many times is a node's adjacency list scanned? Exactly once.
- ▶ The total amount of time to process edges incident on node *u*'s is

Basic Definitions	Graph Traversal	B FS	DFS	All Components	Implementations
	Δ	nalysi	ing DF	S	
DFS(s)	:				
Init	ialize S to be a s	tack with	one element	<i>s</i>	
While	e S is not empty				
Tai	ke a node u from S				
If	Explored[u] = fals	e then			
	Set $Explored[u] =$	true			
	For each edge (u, t)	v) incident	t to u		
	Add v to the st	ack S			
	Endfor				
Ene	dif				
Endw	hile				

- ► How many times is a node's adjacency list scanned? Exactly once.
- The total amount of time to process edges incident on node u's is $O(n_u)$.
- The total running time of the algorithm is

Basic Definitions	Graph Traversal	B FS	DFS	All Components	Implementations
	Δ	nalysi	ing DF	S	
DFS(s)	:				
Init	ialize S to be a s	tack with	one element	<i>s</i>	
While	e S is not empty				
Tal	ke a node u from S				
If	Explored[u] = fals	e then			
	Set $Explored[u] =$	true			
	For each edge (u, t)	v) incident	to u		
	Add v to the st	ack S			
	Endfor				
End	dif				
Endwl	hile				

- ► How many times is a node's adjacency list scanned? Exactly once.
- ▶ The total amount of time to process edges incident on node u's is $O(n_u)$.
- The total running time of the algorithm is O(n + m).