# Analysis of Algorithms

T. M. Murali

August 26, 2009

- ▶ Measure resource requirements: how do the amount of time and space that an algorithm uses scale with increasing input size?
- How do we put this notion on a concrete footing?
- What does it mean for one function to grow faster or slower than another?

- ▶ Measure resource requirements: how do the amount of time and space that an algorithm uses scale with increasing input size?
- How do we put this notion on a concrete footing?
- What does it mean for one function to grow faster or slower than another?
- ► Goal: Develop algorithms that provably run quickly and use low amounts of space.

## Worst-case Running Time

- ▶ We will measure worst-case running time of an algorithm.
  - Avoid depending on test cases or sample runs.
- Bound the largest possible running time the algorithm over all inputs of size n, as a function of n.

## Worst-case Running Time

- ▶ We will measure worst-case running time of an algorithm.
  - Avoid depending on test cases or sample runs.
- Bound the largest possible running time the algorithm over all inputs of size n, as a function of n.
- ▶ Why worst-case? Why not average-case or on random inputs?

## Worst-case Running Time

- ▶ We will measure worst-case running time of an algorithm.
  - Avoid depending on test cases or sample runs.
- Bound the largest possible running time the algorithm over all inputs of size n, as a function of n.
- ▶ Why worst-case? Why not average-case or on random inputs?
- Input size = number of elements in the input.

- ▶ We will measure worst-case running time of an algorithm.
  - Avoid depending on test cases or sample runs.
- Bound the largest possible running time the algorithm over all inputs of size n, as a function of n.
- ▶ Why worst-case? Why not average-case or on random inputs?
- Input size = number of elements in the input. Values in the input do not matter.
- Assume all elementary operations take unit time: assignment, arithmetic on a fixed-size number, comparisons, array lookup, following a pointer, etc.
  - ▶ Make analysis independent of hardware and software.

▶ Brute force algorithm: Check every possible solution.

- ▶ Brute force algorithm: Check every possible solution.
- ▶ What is a brute force algorithm for sorting: given *n* numbers, permute them so that they appear in increasing order?

- ▶ Brute force algorithm: Check every possible solution.
- ▶ What is a brute force algorithm for sorting: given *n* numbers, permute them so that they appear in increasing order?
  - ► Try all possible *n*! permutations of the numbers.
  - ► For each permutation, check if it is sorted.

- ▶ Brute force algorithm: Check every possible solution.
- ▶ What is a brute force algorithm for sorting: given *n* numbers, permute them so that they appear in increasing order?
  - ► Try all possible n! permutations of the numbers.
  - For each permutation, check if it is sorted.
  - Running time is nn!. Unacceptable in practice!

- Brute force algorithm: Check every possible solution.
- ▶ What is a brute force algorithm for sorting: given n numbers, permute them so that they appear in increasing order?
  - Try all possible n! permutations of the numbers.
  - For each permutation, check if it is sorted.
  - Running time is nn!. Unacceptable in practice!
- Desirable scaling property: when the input size doubles, the algorithm should only slow down by some constant factor k.

- Brute force algorithm: Check every possible solution.
- ▶ What is a brute force algorithm for sorting: given *n* numbers, permute them so that they appear in increasing order?
  - ► Try all possible n! permutations of the numbers.
  - ► For each permutation, check if it is sorted.
  - ▶ Running time is *nn*!. Unacceptable in practice!
- ▶ Desirable scaling property: when the input size doubles, the algorithm should only slow down by some constant factor *k*.
- An algorithm has a *polynomial* running time if there exist constants c > 0 and d > 0 such that on every input of size n, the running time of the algorithm is bounded by  $cn^d$  steps.

- Brute force algorithm: Check every possible solution.
- ▶ What is a brute force algorithm for sorting: given *n* numbers, permute them so that they appear in increasing order?
  - ► Try all possible n! permutations of the numbers.
  - ► For each permutation, check if it is sorted.
  - ▶ Running time is *nn*!. Unacceptable in practice!
- ▶ Desirable scaling property: when the input size doubles, the algorithm should only slow down by some constant factor *k*.
- An algorithm has a *polynomial* running time if there exist constants c > 0 and d > 0 such that on every input of size n, the running time of the algorithm is bounded by  $cn^d$  steps.

### Definition

An algorithm is efficient if it has a polynomial running time.

- Express " $4n^2 + 100$  does not grow faster than  $n^2$ ."
- Express " $n^2/4$  grows faster than n+1,000,000."

- Express " $4n^2 + 100$  does not grow faster than  $n^2$ "
- Express " $n^2/4$  grows faster than n+1,000,000."

### Definition

```
Asymptotic upper bound: A function f(n) is O(g(n)) if we have f(n) \leq g(n).
```

- Express " $4n^2 + 100$  does not grow faster than  $n^2$ ."
- Express " $n^2/4$  grows faster than n+1,000,000."

### Definition

Asymptotic upper bound: A function f(n) is O(g(n)) if there exists constant c > 0 such that we have  $f(n) \le cg(n)$ .

- Express " $4n^2 + 100$  does not grow faster than  $n^2$ ."
- Express " $n^2/4$  grows faster than n+1,000,000."

### Definition

Asymptotic upper bound: A function f(n) is O(g(n)) if there exist constants c > 0 and  $n_0 \ge 0$  such that for all  $n \ge n_0$ , we have  $f(n) \le cg(n)$ .

- Express " $4n^2 + 100$  does not grow faster than  $n^2$ "
- Express " $n^2/4$  grows faster than n+1,000,000."

### Definition

Asymptotic upper bound: A function f(n) is O(g(n)) if there exist constant c > 0 and  $n_0 \ge 0$  such that for all  $n \ge n_0$ , we have  $f(n) \le cg(n)$ .

### Definition

Asymptotic lower bound: A function f(n) is  $\Omega(g(n))$  if there exist constants c > 0 and  $n_0 \ge 0$  such that for all  $n \ge n_0$ , we have  $f(n) \ge cg(n)$ .

- Express " $4n^2 + 100$  does not grow faster than  $n^2$ ."
- Express " $n^2/4$  grows faster than n+1,000,000."

### Definition

Asymptotic upper bound: A function f(n) is O(g(n)) if there exist constant c > 0 and  $n_0 \ge 0$  such that for all  $n \ge n_0$ , we have  $f(n) \le cg(n)$ .

### Definition

Asymptotic lower bound: A function f(n) is  $\Omega(g(n))$  if there exist constants c > 0 and  $n_0 \ge 0$  such that for all  $n \ge n_0$ , we have  $f(n) \ge cg(n)$ .

### Definition

Asymptotic tight bound: A function f(n) is  $\Theta(g(n))$  if f(n) is O(g(n)) and f(n) is  $\Omega(g(n))$ .

- Express " $4n^2 + 100$  does not grow faster than  $n^2$ ."
- Express " $n^2/4$  grows faster than n+1,000,000."

### Definition

Asymptotic upper bound: A function f(n) is O(g(n)) if there exist constant c > 0 and  $n_0 \ge 0$  such that for all  $n \ge n_0$ , we have  $f(n) \le cg(n)$ .

### Definition

Asymptotic lower bound: A function f(n) is  $\Omega(g(n))$  if there exist constants c > 0 and  $n_0 \ge 0$  such that for all  $n \ge n_0$ , we have  $f(n) \ge cg(n)$ .

### Definition

Asymptotic tight bound: A function f(n) is  $\Theta(g(n))$  if f(n) is O(g(n)) and f(n) is  $\Omega(g(n))$ .

 $\triangleright$  In these definitions, c is a constant independent of n.

- Express " $4n^2 + 100$  does not grow faster than  $n^2$ ."
- Express " $n^2/4$  grows faster than n+1,000,000."

### Definition

Asymptotic upper bound: A function f(n) is O(g(n)) if there exist constant c > 0 and  $n_0 \ge 0$  such that for all  $n \ge n_0$ , we have  $f(n) \le cg(n)$ .

### Definition

Asymptotic lower bound: A function f(n) is  $\Omega(g(n))$  if there exist constants c>0 and  $n_0\geq 0$  such that for all  $n\geq n_0$ , we have  $f(n)\geq cg(n)$ .

### Definition

Asymptotic tight bound: A function f(n) is  $\Theta(g(n))$  if f(n) is O(g(n)) and f(n) is  $\Omega(g(n))$ .

- $\triangleright$  In these definitions, c is a constant independent of n.
- ▶ Abuse of notation: say g(n) = O(f(n)),  $g(n) = \Omega(f(n))$ ,  $g(n) = \Theta(f(n))$ .

• 
$$f(n) = pn^2 + qn + r$$
 is

•  $f(n) = pn^2 + qn + r$  is  $\theta(n^2)$ . Can ignore lower order terms.

- $f(n) = pn^2 + qn + r$  is  $\theta(n^2)$ . Can ignore lower order terms.
- Is  $f(n) = pn^2 + qn + r = O(n^3)$ ?

- $f(n) = pn^2 + qn + r$  is  $\theta(n^2)$ . Can ignore lower order terms.
- Is  $f(n) = pn^2 + qn + r = O(n^3)$ ?
- $\blacktriangleright f(n) = \sum_{0 \le i \le d} a_i n^i =$

- $f(n) = pn^2 + qn + r$  is  $\theta(n^2)$ . Can ignore lower order terms.
- ▶ Is  $f(n) = pn^2 + qn + r = O(n^3)$ ?
- $f(n) = \sum_{0 \le i \le d} a_i n^i = O(n^d)$ , if d > 0 is an integer constant and  $a_d > 0$ .
  - $ightharpoonup O(n^d)$  is the definition of polynomial time.

- $f(n) = pn^2 + qn + r$  is  $\theta(n^2)$ . Can ignore lower order terms.
- Is  $f(n) = pn^2 + qn + r = O(n^3)$ ?
- $f(n) = \sum_{0 \le i \le d} a_i n^i = O(n^d)$ , if d > 0 is an integer constant and  $a_d > 0$ .
  - $\triangleright$   $O(n^d)$  is the definition of polynomial time.
- ▶ Is an algorithm with running time  $O(n^{1.59})$  a polynomial-time algorithm?

- $f(n) = pn^2 + qn + r$  is  $\theta(n^2)$ . Can ignore lower order terms.
- ▶ Is  $f(n) = pn^2 + qn + r = O(n^3)$ ?
- $f(n) = \sum_{0 \le i \le d} a_i n^i = O(n^d)$ , if d > 0 is an integer constant and  $a_d > 0$ .
  - ▶  $O(n^d)$  is the definition of polynomial time.
- ▶ Is an algorithm with running time  $O(n^{1.59})$  a polynomial-time algorithm?
- ▶  $O(\log_a n) = O(\log_b n)$  for any pair of constants a, b > 1.
- For every x > 0,  $\log n = O(n^x)$ .

- $f(n) = pn^2 + qn + r$  is  $\theta(n^2)$ . Can ignore lower order terms.
- ▶ Is  $f(n) = pn^2 + qn + r = O(n^3)$ ?
- $f(n) = \sum_{0 \le i \le d} a_i n^i = O(n^d)$ , if d > 0 is an integer constant and  $a_d > 0$ .
  - $\triangleright$   $O(n^d)$  is the definition of polynomial time.
- ▶ Is an algorithm with running time  $O(n^{1.59})$  a polynomial-time algorithm?
- ▶  $O(\log_a n) = O(\log_b n)$  for any pair of constants a, b > 1.
- For every x > 0,  $\log n = O(n^x)$ .
- ▶ For every r > 1 and every d > 0,  $n^d = O(r^n)$ .

### Transitivity

- ▶ If f = O(g) and g = O(h), then f = O(h).
- ▶ If  $f = \Omega(g)$  and  $g = \Omega(h)$ , then  $f = \Omega(h)$ .
- ▶ If  $f = \Theta(g)$  and  $g = \Theta(h)$ , then  $f = \Theta(h)$ .

### Transitivity

- ▶ If f = O(g) and g = O(h), then f = O(h).
- ▶ If  $f = \Omega(g)$  and  $g = \Omega(h)$ , then  $f = \Omega(h)$ .
- ▶ If  $f = \Theta(g)$  and  $g = \Theta(h)$ , then  $f = \Theta(h)$ .

- ▶ If f = O(h) and g = O(h), then f + g = O(h).
- ▶ Similar statements hold for lower and tight bounds.

### Transitivity

- ▶ If f = O(g) and g = O(h), then f = O(h).
- ▶ If  $f = \Omega(g)$  and  $g = \Omega(h)$ , then  $f = \Omega(h)$ .
- ▶ If  $f = \Theta(g)$  and  $g = \Theta(h)$ , then  $f = \Theta(h)$ .

- ▶ If f = O(h) and g = O(h), then f + g = O(h).
- ▶ Similar statements hold for lower and tight bounds.
- ▶ If k is a constant and there are k functions  $f_i = O(h), 1 \le i \le k$ ,

### Transitivity

- ▶ If f = O(g) and g = O(h), then f = O(h).
- ▶ If  $f = \Omega(g)$  and  $g = \Omega(h)$ , then  $f = \Omega(h)$ .
- ▶ If  $f = \Theta(g)$  and  $g = \Theta(h)$ , then  $f = \Theta(h)$ .

- ▶ If f = O(h) and g = O(h), then f + g = O(h).
- ► Similar statements hold for lower and tight bounds.
- ▶ If k is a constant and there are k functions  $f_i = O(h), 1 \le i \le k$ , then  $f_1 + f_2 + ... + f_k = O(h)$ .

### Transitivity

- ▶ If f = O(g) and g = O(h), then f = O(h).
- ▶ If  $f = \Omega(g)$  and  $g = \Omega(h)$ , then  $f = \Omega(h)$ .
- ▶ If  $f = \Theta(g)$  and  $g = \Theta(h)$ , then  $f = \Theta(h)$ .

- ▶ If f = O(h) and g = O(h), then f + g = O(h).
- ► Similar statements hold for lower and tight bounds.
- ▶ If k is a constant and there are k functions  $f_i = O(h), 1 \le i \le k$ , then  $f_1 + f_2 + \ldots + f_k = O(h)$ .
- ▶ If f = O(g), then f + g =

### Transitivity

- ▶ If f = O(g) and g = O(h), then f = O(h).
- ▶ If  $f = \Omega(g)$  and  $g = \Omega(h)$ , then  $f = \Omega(h)$ .
- ▶ If  $f = \Theta(g)$  and  $g = \Theta(h)$ , then  $f = \Theta(h)$ .

- ▶ If f = O(h) and g = O(h), then f + g = O(h).
- ► Similar statements hold for lower and tight bounds.
- ▶ If k is a constant and there are k functions  $f_i = O(h), 1 \le i \le k$ , then  $f_1 + f_2 + \ldots + f_k = O(h)$ .
- ▶ If f = O(g), then  $f + g = \Theta(g)$ .

#### **Linear Time**

▶ Running time is at most a constant factor times the size of the input.

Common Running Times

### **Linear Time**

- Running time is at most a constant factor times the size of the input.
- Finding the minimum, merging two sorted lists.

#### Linear Time

- ▶ Running time is at most a constant factor times the size of the input.
- Finding the minimum, merging two sorted lists.
- Sub-linear time.

#### **Linear Time**

- Running time is at most a constant factor times the size of the input.
- Finding the minimum, merging two sorted lists.
- ▶ Sub-linear time. Binary search in a sorted array of n numbers takes  $O(\log n)$ time.

## $O(n \log n)$ Time

▶ Any algorithm where the costliest step is sorting.

### **Quadratic Time**

► Enumerate all pairs of elements.

### **Quadratic Time**

- ► Enumerate all pairs of elements.
- ▶ Given a set of *n* points in the plane, find the pair that are the closest.

### Quadratic Time

- ► Enumerate all pairs of elements.
- Given a set of n points in the plane, find the pair that are the closest. Surprising fact: will solve this problem in O(n log n) time later in the semester.

▶ Does a graph have an independent set of size *k*, where *k* is a constant, i.e. there are *k* nodes such that no two are joined by an edge?

# $O(n^k)$ Time

- Does a graph have an independent set of size k, where k is a constant, i.e. there are k nodes such that no two are joined by an edge?
  ► Algorithm: For each subset S of k nodes, shock if S is an independent set.
- ▶ Algorithm: For each subset *S* of *k* nodes, check if *S* is an independent set. If the answer is yes, report it.

# $O(n^k)$ Time

- Does a graph have an independent set of size k, where k is a constant, i.e. there are k nodes such that no two are joined by an edge?
  Algorithm: For each subset S of k nodes, check if S is an independent set.
- ▶ Algorithm: For each subset *S* of *k* nodes, check if *S* is an independent set. If the answer is yes, report it.
- Running time is

# $O(n^k)$ Time

- ▶ Does a graph have an independent set of size *k*, where *k* is a constant, i.e. there are *k* nodes such that no two are joined by an edge?
- ▶ Algorithm: For each subset *S* of *k* nodes, check if *S* is an independent set. If the answer is yes, report it.
- Running time is  $O(k^2\binom{n}{k}) = O(n^k)$ .

▶ What is the largest size of an independent set in a graph with *n* nodes?

- ▶ What is the largest size of an independent set in a graph with *n* nodes?
- Algorithm: For each  $1 \le i \le n$ , check if the graph has an independent size of size i. Output largest independent set found.

- $\blacktriangleright$  What is the largest size of an independent set in a graph with n nodes?
- ▶ Algorithm: For each  $1 \le i \le n$ , check if the graph has an independent size of size i. Output largest independent set found.
- ▶ What is the running time?

- ▶ What is the largest size of an independent set in a graph with *n* nodes?
- ▶ Algorithm: For each  $1 \le i \le n$ , check if the graph has an independent size of size i. Output largest independent set found.
- ▶ What is the running time?  $O(n^22^n)$ .