

## Chapter 2

# Using the Operating system

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# Last lecture review

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- Resources
  - Resource abstraction
  - Resource sharing/isolation
- Terminology
  - Multiprogramming
  - Multitasking
  - Concurrency



## Last lecture review... ctd.

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- Different OS strategies
  - batch
  - timesharing
  - personal computers
  - real time systems
  - network of computers



## Chapter 2: Using the OS

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# Resource Descriptors

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- The OS implements Abstraction of each of this
  - Unit of Computation is a 'process'
  - Unit of information storage is a 'file'
- For each resource abstraction (file, memory, processor), OS maintains a resource descriptor
- Resource descriptor:
  - Identify resources
  - Current state
  - What process it is associated with, if it is allocated
  - Number and identity of available units



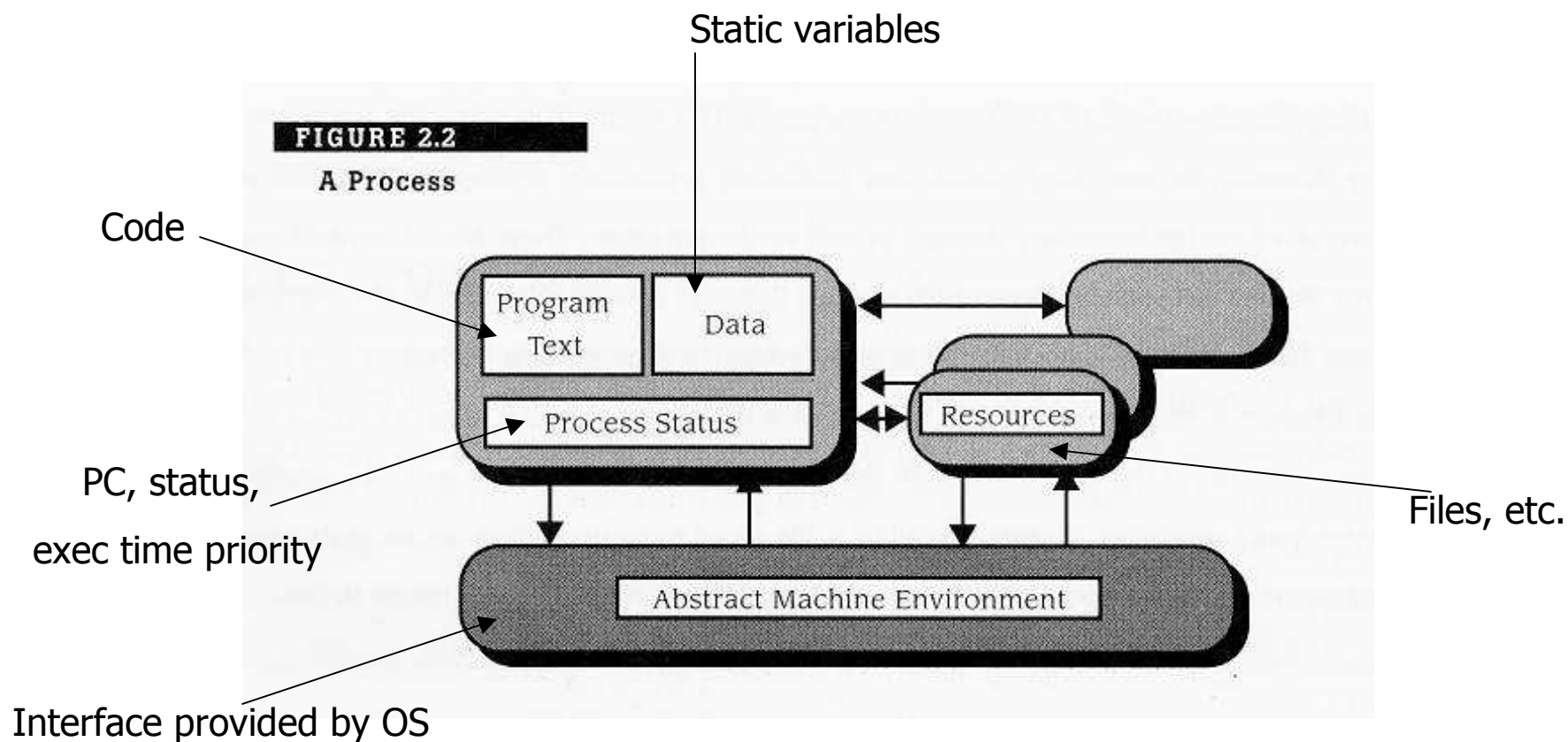
# Resource Descriptors...

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- File descriptor:
  - File name
  - File type (Sequential, Indexed, ...)
  - Owner
  - State (Open, Closed)
  - Extents (mapping to the physical storage)
  
- Process descriptor
  - Object program (Program text)
  - Data segment
  - Process Status Word (PSW) – executing, waiting, ready
  - Resources acquired

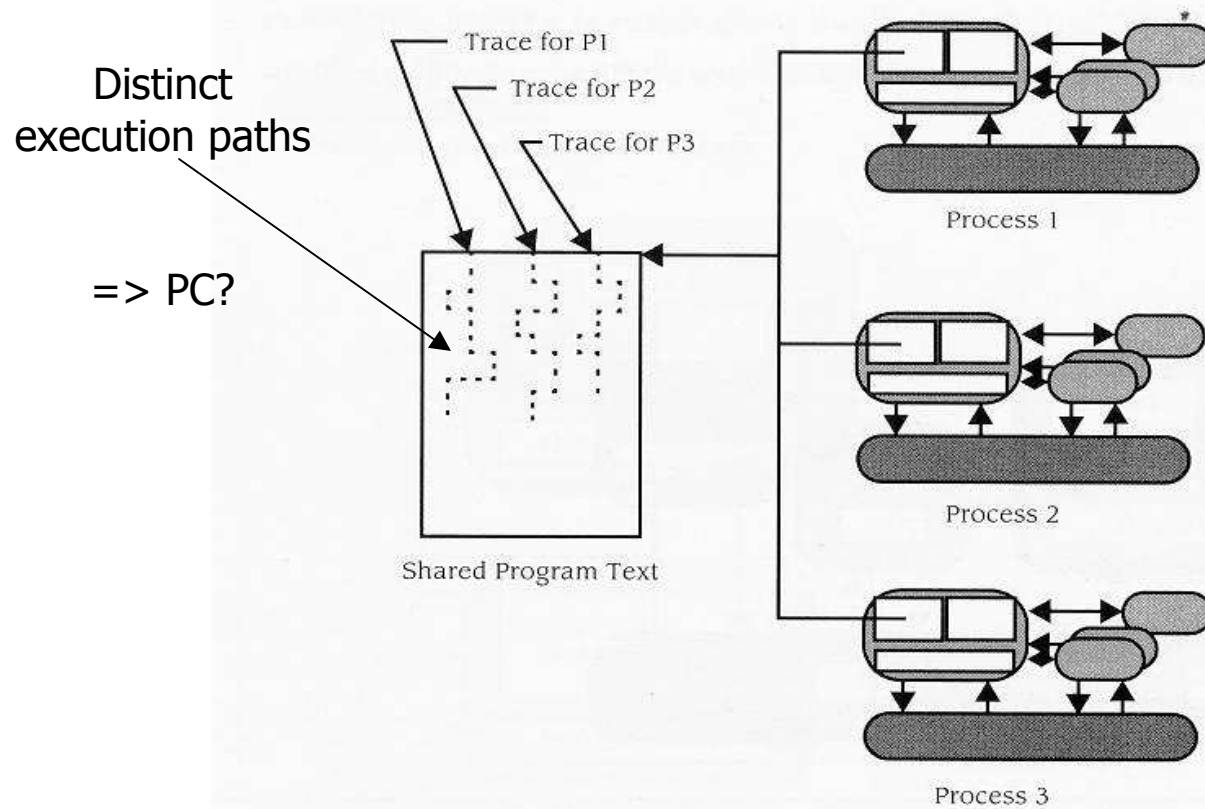
# Process & Process Descriptor

Contents of a descriptor maps directly to the Abstract Machine provided by the OS



# One Program / Multiple Instantiations

**FIGURE 2.3**  
Sequential Operation



Note:

Each Process has its **own** descriptor  
- text (shared), data...

Only **one** process  
active at a time  
(context switching)





# Process

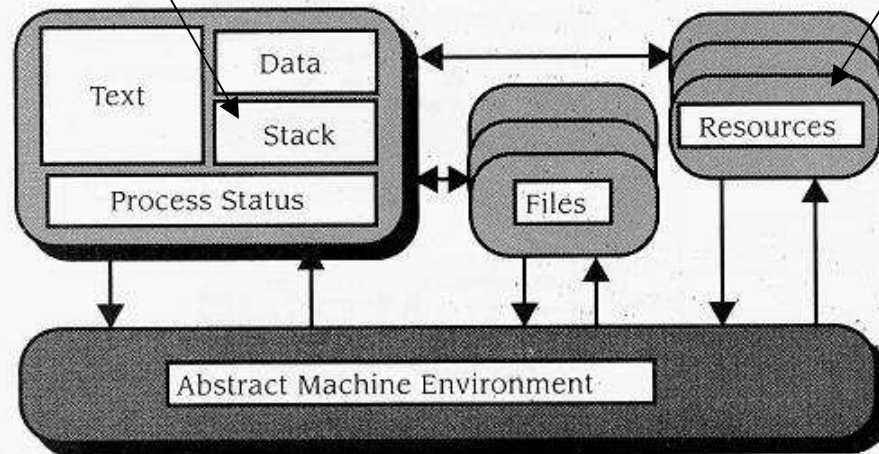
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- 3 units of computations:
  - Process
  - Thread
  - Object
- Process: 'heavy-weight' process
  - OS overhead to **create and maintain descriptor** is expensive
- Thread: "light-weight" process
  - OS maintains minimal internal state information
- Objects: 'heavy-weight' process
  - Instantiation of a class

# UNIX Processes

- Dynamically allocated variables
- Runtime stack

**FIGURE 2.4**  
UNIX Processes



Tape drive,  
memory



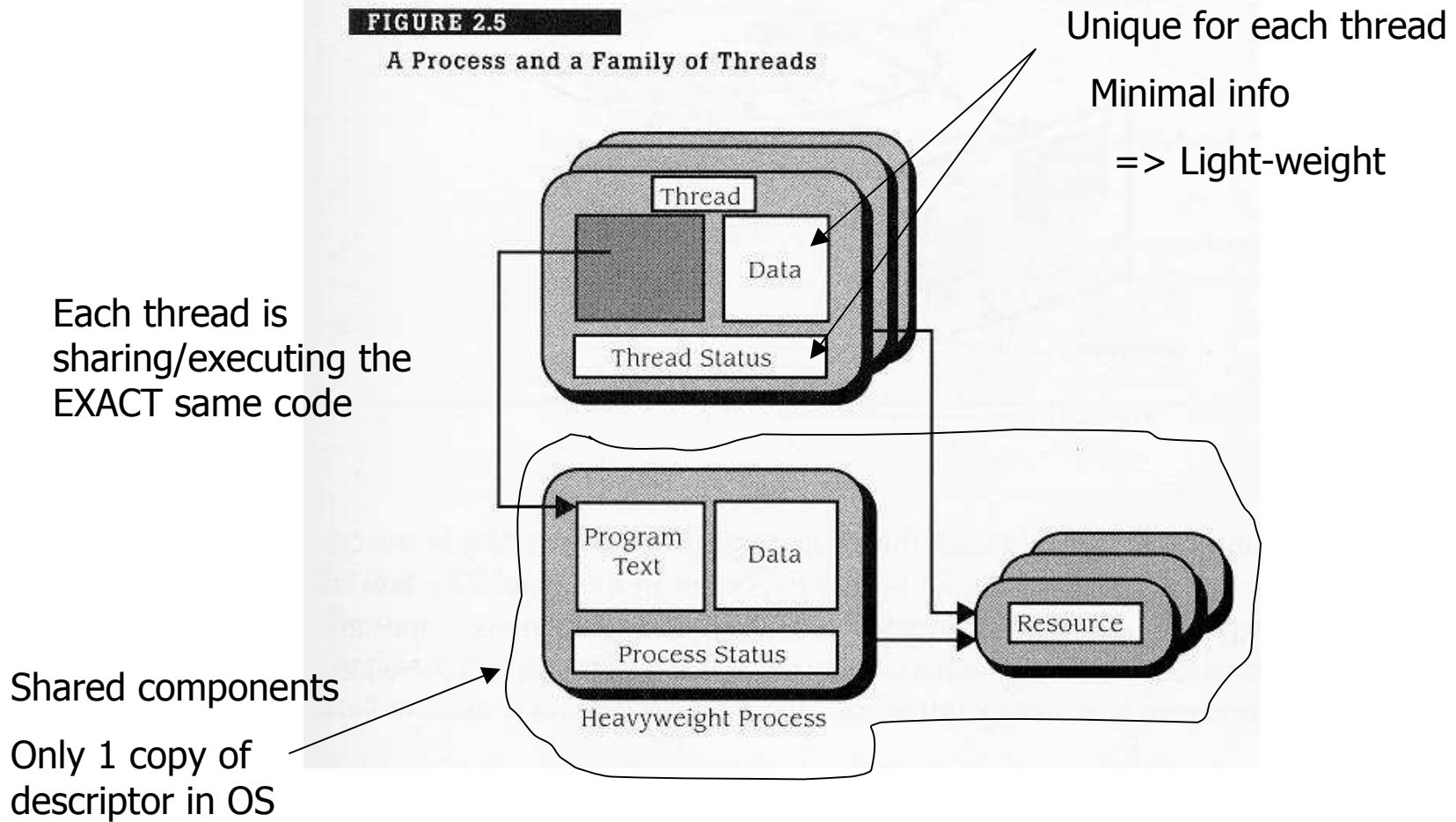
# Thread

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- Thread: light-weight process
  - OS maintains minimal internal state information
- Usually instantiated from a process
- Each thread has its OWN unique descriptor
  - Data, Thread Status Word (TSW)
- SHARES with the parent process (and other threads)
  - Program text
  - Resources
  - Parent process data segment

# Thread ...

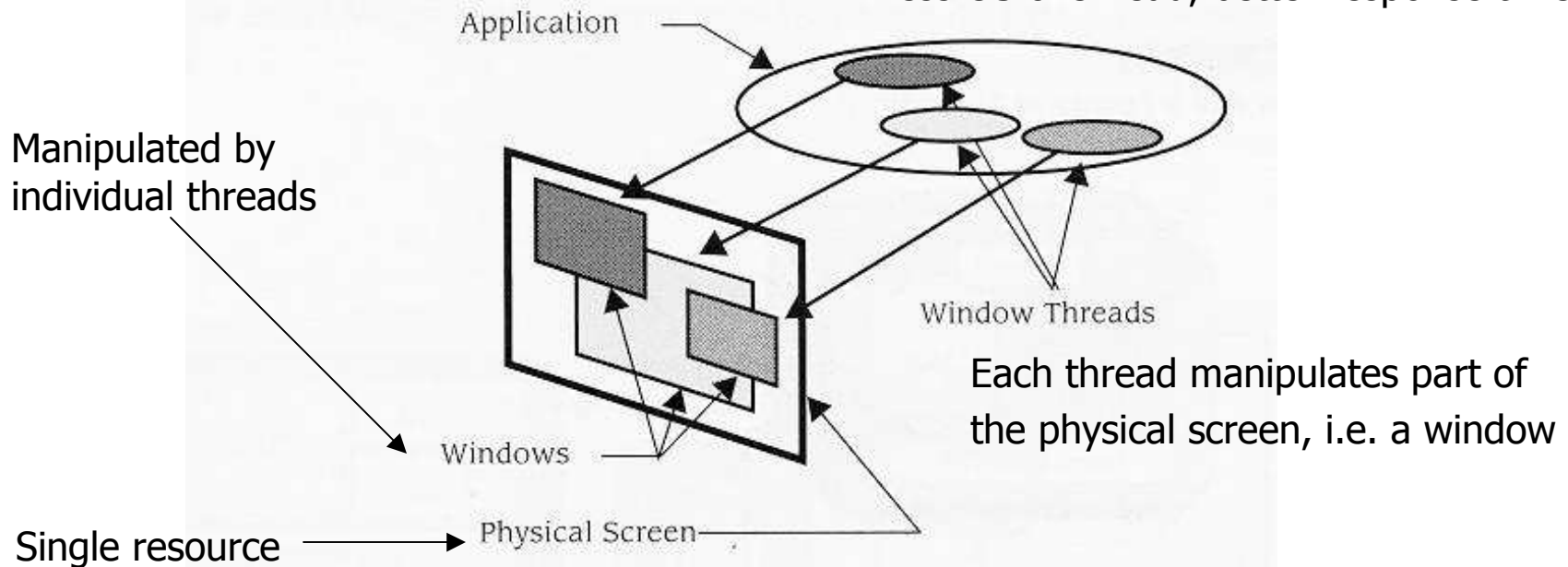
**FIGURE 2.5**  
A Process and a Family of Threads



# Threads... example

**FIGURE 2.6**  
Using Threads

Multiple lightweight processes; one resource allocated  
=> Only one physical resource has to be maintained by OS  
=> Less OS overhead, better response time



Manipulated by individual threads

Window Threads

Each thread manipulates part of the physical screen, i.e. a window

Single resource

Physical Screen

Threads share access to physical screen  
- Screen resource allocated to heavyweight process



# Objects

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- Objects:
  - Derived from SIMULA '67
  - Defined by classes
  - Autonomous
- Classes
  - Abstract Data Types (ADT)
  - Private variables
- An instantiation of a class is an Object



# Objects

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- Objects are heavy-weight processes
  - have full descriptors
- Object communicate via Message passing
- OOP:
  - Appeals to intuition
  - Only recently viable
    - Overhead of instantiation and communication

# Computational Environment

- When OS is started up
  - Machine abstraction created
    - Hides hardware from User and Application
  - Instantiates processes that serve as the user interface or “Shell”
    - Shell (UI) instantiates user processes
  
- Consider UNIX:  

UNIX  $\Longrightarrow$  getty  $\Longrightarrow$  shell  $\Longrightarrow$  user process
- What are the advantages & disadvantages of so many processes just to execute a program ?





# Advantages & Disadvantages

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- Advantages...

Each process (UNIX, getty, shell, ...) has its own 'protected' execution environment

- If child process fails from fatal errors, no (minimal) impact on parent process

- Disadvantages...

OS overhead in

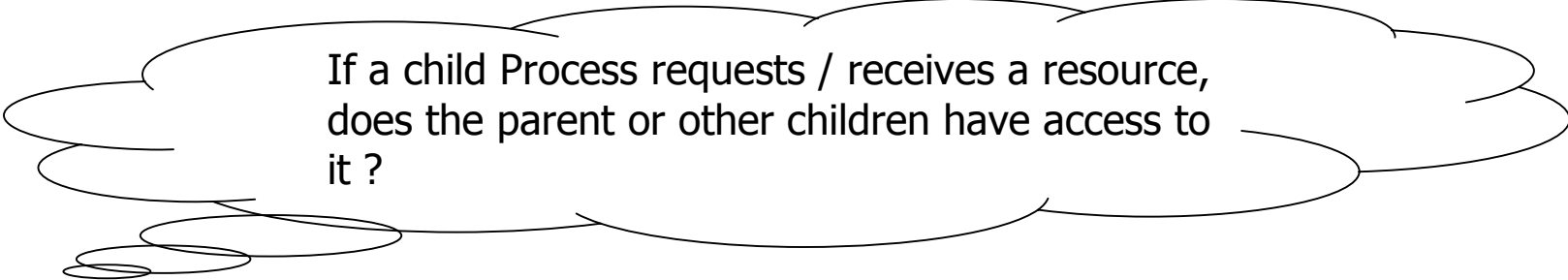
- Maintaining process status
- Context switching



# Process Creation – UNIX fork()

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- Creates a child process that is a **'Thread'**
- Child process is duplicate (initially) of the parent process – except for the process id
- Shares access to all resources allocated at the time of instantiation and Text
- Has duplicate copy of data space BUT is its own copy and it can modify only its own copy



If a child Process requests / receives a resource, does the parent or other children have access to it ?

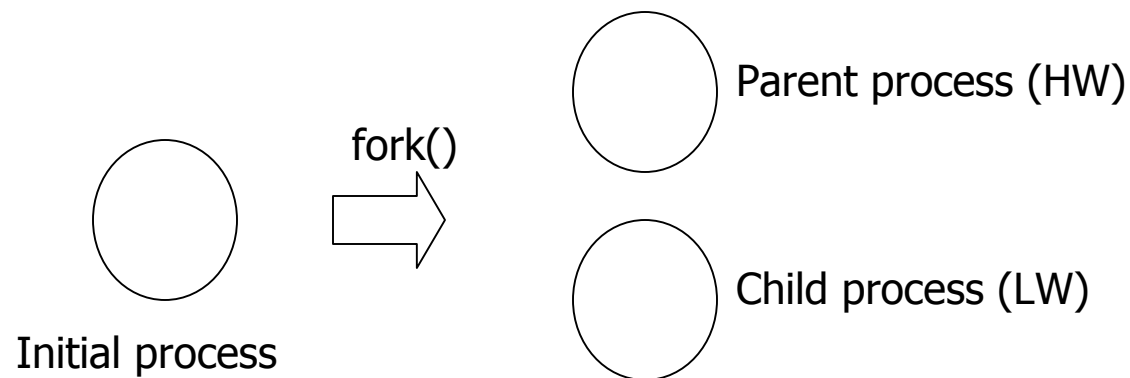
# Process creation - fork()... example

```
int pidValue;
..
pidValue = fork();           /* creates a child process      */
If(pidValue == 0) {
    /* pidValue is ZERO for child, nonzero for parent      */
    /* The child executes this code concurrently with Parent */
    childsPlay(..);         /* A locally-liked procedure  */
    exit(0);               /* Terminate the child      */
}
/* The Parent executes this code concurrently with the child */
..
wait(..);                   /* Parent waits for Child's to terminate */
```

UNIX process creation : fork() facility

# Process creation – Unix fork()...

- Child/Parent code executed based on the pid value in “local” data space
  - For parent process, pid value returned is that of the *child* (non-zero)
  - For child process, pid value returned is 0
- pidvalue returned to parent process is non-Zero
- Therefore, fork() creates a new LW process





# Process Creation – Unix exec()

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- Turns LW process into autonomous HW process
- fork()
  - Creates new process
- exec()
  - Brings in new program to be executed by that process
  - New text, data, stack, resources, PSW, etc.  
BUT using same (expanded) process descriptor entries

In effect, the “exec’ed” code overlays “exec’ing” code

# Process creation – exec()... example

```
int pid;
..
    /* Setup the argv array for the child      */
..
if((pid = fork()) == 0) {          /* Create a child      */
    /* The child process executes changes to its own program */
    execve( new_program.out , argv , 0 );
    /*Only return from an execve call if it fails      */
    printf("Error in execve");
    exit(0);          /* Terminate the child      */
}
    /* Parent executes this code      */
..
wait(..);          /* Parent waits for Child's to terminate */
```

## UNIX process creation: exec() facility