

## Slides

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## Unsorted List

- Each element is compared to locate the desired element one after another starting at the head of the list.
- Worst Case Order =  $O(N)$ 
  - † desired element is at the end of the list.
- Average Case Order =  $O(N/2) \in O(N)$ 
  - † one half of the list must be scanned on the average.
- Assumes that the probability of each element in the list being searched for is equal.

## Sequential Searching on a Sorted list

- Search stops when element is located or a larger element (ascending order) is encountered.
- Worst case and average case orders are the same as the unordered list.

### Simple Searching

- Internal (primary memory) searching

### External => File Search

- (Indexes, BTrees, files, etc.)

## Unequal Access Probabilities

- Implemented when a small subset of the list elements are accessed more frequently than other elements.

## Static Probabilities

- When the contents of the list are static the most frequently accessed elements are stored at the beginning of the list.
- Assumes that access probabilities are also static

## Dynamic Probabilities

- For nonstatic lists or lists with dynamic probability element accesses, a dynamic element ordering scheme is required:
  - Sequential Swap Scheme
    - † Move each element accessed to the start of the list if it is not within some **threshold** units of the head of the list.
  - Bubble Scheme
    - † Swap each element accessed with the preceding element to allow elements to “bubble” to the head of the list.
  - Access Count Scheme
    - † Maintain a counter for each element that is incremented anytime an element is accessed.
    - † Maintain a sorted list ordered on the access counts.

## Normal Sequential Search Function

```
const int MISSING = -1;

int SeqSearch (const Item A[], Item K,
               int size) {
    int i;

    for ( i = 0 ; i < size; i++ ) {
        if ( K == A[i] )
            return ( i );
    }
    return (MISSING);
}
```

- Coded inline to avoid function call overhead:

```
inline int SeqSearch2 (const Item A[], Item K,
                       int size) {
    int i;
    for ( i = 0; ((i < size) && !( K == A[i])); i++ )
        ;
    return ( ( i < size ) ? ( i ) : ( MISSING ) );
}
```

- Problem: two comparisons in the loop are inefficient
- Search sequentially down to 0 using 0 as limit test.

```
const int MISSING = -1;

int SeqSearch3 (const Item A[], Item K, int size) {
    int i;

    for ( i = size - 1; (!(K == A[i]) && (i)); i--);

    if ( K == A[i] )
        return ( i );
    else
        return (MISSING);
}
```

## Sentinel Method

- Store the desired element at the end of the array:

```
const int MISSING = -1;

int SeqSearch4 (Item A[], Item K, int size) {

    int i;

    A[size] = K;
    for ( i = 0; !(K == A[i]); i++ )
        ;

    if ( i < size )
        return ( i );
    else
        return ( MISSING);
}
```

- Requires storage at the end of the array to always be available.
- Ensures that the loop will terminate.
- Array parameter must be passed by reference to allow the sentinel insertion.

Algorithm

```

IF desired element = middle element of list THEN
    found
ELSE
    IF desired element < middle element
    THEN set list to lower half & repeat process
    ELSE set list to upper half & repeat process

```

## Recursive Binary Search Function

```

const int MISSING = -1;

int BinarySearch ( const Item A[], Item K, int L, int R) {
    int Midpoint = (L+R) / 2 ;    //compute midpoint

    if ( L > R )                // If search interval is empty return -1
        return MISSING ;
    else if ( K == A[Midpoint] ) //successful search
        return Midpoint;
    else if ( A[Midpoint] < K ) //search upper half
        return BinarySearch(A, K, Midpoint + 1, R);
    else                          //search lower half
        return BinarySearch(A, K, L, Midpoint - 1);
}

```

- Worst Case Order =  $O(\log_2 N)$
- Note: for small lists a sequential search will usually be faster due to the midpoint computation and comparisons.

## Subtle Algorithm Adjustments

- Minor changes to highly efficient algorithms (e.g., binary search) can have a drastic negative effect on execution.
- Changing the indexes to longints can increase execution time by a factor of 3.
- Using real division and truncating for the midpoint computation may slow execution by more than 10 times.

## Variation of Binary Searching

- Attempts to more accurately predict where the item may fall within the list. Similar to looking up telephone numbers
- Standard Binary Search Midpoint Computation:

$$\text{Midpoint} = (L+R) / 2;$$

- General Binary Search Midpoint Computation:

$$\text{Midpoint} = L + 1/2 * (R - L);$$

- Interpolation replaces the 1/2 (in the above formula) with an estimate of where the desired element is located in the range, based on the available values (be careful of int arithmetic):

```
Interp = L + // base loc +
        ((K - A[L]) / // % of distance K is from
         (A[R] - A[L])) * // A[L] to A[R] *
        (R - L); // length of search space
```

- Example:
- Assume 30K recs of SSNs in the range from 0 ... 600 00 0000
- Searching for 222 22 2222 yields an initial estimate of:

$$\begin{aligned} \text{Interpolation} &= 0 + ((222222222 - 0) / \\ &\quad (600000000 - 0)) * \\ &\quad (30000 - 0); \\ &= 11111 \end{aligned}$$

- Worst Case Order approximately =  $O(\log \log N)$
- Can be assumed to be a constant of about 5 since  $\cong (\lg \lg 10^9)$
- Assumes the search values are evenly distributed over the search range, ( ! True for SSNs)
- Inefficient for searching small number of elements