### CS 4124

## More on the Lupanov Representation

September 16, 2005

# 1 Development of Lupanov Representation

Here we explain the development of the Lupanov representation for a Boolean function  $f: \mathcal{B}^n \to \mathcal{B}$  using the example of Figure 2.22, where n = 6 and k = 3. The example is a Boolean function  $f: \mathcal{B}^6 \to \mathcal{B}$ . Since k = 3 and n - k = 3, we think of f as a function

$$f:\mathcal{B}^3\times\mathcal{B}^3\to\mathcal{B}.$$

We use the notation **a** for an element of  $\mathcal{B}^k$  and **b** for an element of  $\mathcal{B}^{n-k}$ , so we can write  $f(\mathbf{a}, \mathbf{b})$  for an evaluation of f. We take the elements of  $\mathcal{B}^k$  to index the rows of a truth table and the elements of  $\mathcal{B}^{n-k}$  to index its columns. Figure 1 contains the same truth table as in Figure 2.22.

				$\mathbf{b}_1$	$\mathbf{b}_2$	$\mathbf{b}_3$	$\mathbf{b}_4$	$\mathbf{b}_5$	$\mathbf{b}_6$	$\mathbf{b}_7$	$\mathbf{b}_8$	
				0	1	0	1	0	1	0	1	$x_4$
				0	0	1	1	0	0	1	1	$x_5$
$\mathbf{a}_{ij}$	$x_1$	$x_2$	$x_3$	0	0	0	0	1	1	1	1	$x_6$
$\overline{\mathbf{a}_{1,1}}$	0	0	0	0	1	0	0	0	1	0	0	
$\mathbf{a}_{1,2}$	0	0	1	0	1	1	0	0	1	1	1	$A_1$
$\mathbf{a}_{1,3}$	0	1	0	1	0	0	1	0	0	0	1	
$\mathbf{a}_{2,1}$	0	1	1	1	0	1	1	0	0	1	0	
$\mathbf{a}_{2,2}$	1	0	0	0	0	0	0	1	0	0	1	$A_2$
$\mathbf{a}_{2,3}$	1	0	1	1	1	0	1	1	0	0	0	
${\bf a}_{3,1}$	1	1	0	1	0	1	1	0	1	1	0	
$\mathbf{a}_{3,2}$	1	1	1	0	1	0	0	0	0	1	0	$A_3$
$\mathbf{a}_{3,3}$	1	1	1	0	1	0	0	0	0	1	0	

Figure 1: Truth table for (3, 3)-Lupanov representation.

As in the textbook, the row vectors (from  $\mathcal{B}^k$ ) are partitioned into p=3 sets  $A_1$ ,  $A_2$ , and  $A_3$ . Each set has s=3 row vectors, except for  $A_3$ , which contains only s'=2 row vectors. For ease of exposition, an extra, duplicate, row has been added to  $A_3$ , so that it too has s rows.

We index the s row vectors in  $A_i$  to be  $\mathbf{a}_{ij}$ , where  $1 \leq j \leq s$ . In our example, we have these vectors in  $A_i$ :  $\mathbf{a}_{i,1}$ ,  $\mathbf{a}_{i,2}$ , and  $\mathbf{a}_{i,3}$ . Similarly, we label the  $2^{n-k}$  column vectors  $\mathbf{b}_t$ , where  $1 \leq t \leq 2^{n-k}$ . In our example, we label the columns  $\mathbf{b}_1, \mathbf{b}_2, \ldots, \mathbf{b}_8$ .

Fix i and hence  $A_i$ . Define

$$g_i: \mathcal{B}^{n-k} \to \mathcal{B}^s$$

to be

$$g_i(\mathbf{b}) = (f(\mathbf{a}_{i,1}, \mathbf{b}), f(\mathbf{a}_{i,2}, \mathbf{b}), \dots, f(\mathbf{a}_{i,s}, \mathbf{b})),$$

$\mathbf{b}_t$	$x_4$	$x_5$	$x_6$	$g_1(\mathbf{b}_t)$			$g_2(\mathbf{b}_t)$			$g_3(\mathbf{b}_t)$		
$\overline{\mathbf{b}_1}$	0	0	0	0	0	1	1	0	1	1	0	0
$\mathbf{b}_2$	1	0	0	1	1	0	0	0	1	0	1	1
$\mathbf{b}_3$	0	1	0	0	1	0	1	0	0	1	0	0
$\mathbf{b}_4$	1	1	0	0	0	1	1	0	1	1	0	0
$\mathbf{b}_5$	0	0	1	0	0	0	0	1	1	0	0	0
$\mathbf{b}_6$	1	1	0	1	1	0	0	0	0	1	0	0
$\mathbf{b}_7$	0	1	1	0	1	0	1	0	0	1	1	1
$\mathbf{b}_8$	1	1	1	0	1	1	0	1	0	0	0	0

Figure 2: Table of  $g_i$  values.

which is the s-vector of values in the column for **b** and the rows for  $A_i$ . Figure 2 gives all the  $g_i$  values for our example.

Now, for  $1 \le i \le p$ , define the column function

$$c_i: \mathcal{B}^s \times \mathcal{B}^{n-k} \to \mathcal{B}$$

to be

$$c_i(\mathbf{v}, \mathbf{b}) = \begin{cases} 1 & \text{if } g_i(\mathbf{b}) = \mathbf{v}; \\ 0 & \text{otherwise.} \end{cases}$$

Finally, for  $1 \leq i \leq p$ , define the row function

$$r_i:\mathcal{B}^s imes\mathcal{B}^k o\mathcal{B}$$

to be

$$r_i(\mathbf{v}, \mathbf{a}) = \begin{cases} 1 & \text{if } \mathbf{a} = \mathbf{a}_{ij} \text{ and } \pi_j(\mathbf{v}) = 1; \\ 0 & \text{otherwise.} \end{cases}$$

Now observe that, if  $\mathbf{a} \in A_i$ ,  $\mathbf{b} \in \mathcal{B}^{n-k}$  and  $f(\mathbf{a}, \mathbf{b}) = 1$ , then there exists  $\mathbf{v} \in \mathcal{B}^s$  such that

$$r_i(\mathbf{v}, \mathbf{a}) \wedge c_i(\mathbf{v}, \mathbf{b}) = 1$$
  
=  $f(\mathbf{a}, \mathbf{b})$ .

Hence, we get the (k, s)-Lupanov representation of f:

$$f(\mathbf{a}, \mathbf{b}) = \bigvee_{i=1}^{p} \bigvee_{\mathbf{v} \in \mathcal{B}^{s}} (r_{i}(\mathbf{v}, \mathbf{a}) \wedge c_{i}(\mathbf{v}, \mathbf{b})).$$

For our example,

$$\begin{array}{ll} f(\mathbf{a},\mathbf{b}) & = & \vee_{i=1}^{3} \vee_{\mathbf{v} \in \{(0,0,0),(0,0,1),(0,1,0),(0,1,1),(1,0,0),(1,0,1),(1,1,0),(1,1,1)\}} \; (r_{i}(\mathbf{v},\mathbf{a}) \wedge c_{i}(\mathbf{v},\mathbf{b})) \\ & = & (r_{1}((0,0,0),\mathbf{a}) \wedge c_{1}((0,0,0),\mathbf{b}) \vee r_{1}((0,0,1),\mathbf{a}) \wedge c_{1}((0,0,1),\mathbf{b}) \vee \\ & r_{1}((0,1,0),\mathbf{a}) \wedge c_{1}((0,1,0),\mathbf{b}) \vee r_{1}((0,1,1),\mathbf{a}) \wedge c_{1}((0,1,1),\mathbf{b}) \vee \\ & r_{1}((1,0,0),\mathbf{a}) \wedge c_{1}((1,0,0),\mathbf{b}) \vee r_{1}((1,0,1),\mathbf{a}) \wedge c_{1}((1,0,1),\mathbf{b}) \vee \\ & r_{1}((1,1,0),\mathbf{a}) \wedge c_{1}((1,1,0),\mathbf{b}) \vee r_{1}((1,1,1),\mathbf{a}) \wedge c_{1}((1,1,1),\mathbf{b})) \vee \\ & (r_{2}((0,0,0),\mathbf{a}) \wedge c_{2}((0,0,0),\mathbf{b}) \vee r_{2}((0,0,1),\mathbf{a}) \wedge c_{2}((0,0,1),\mathbf{b}) \vee \\ & r_{2}((0,1,0),\mathbf{a}) \wedge c_{2}((1,0,0),\mathbf{b}) \vee r_{2}((0,1,1),\mathbf{a}) \wedge c_{2}((0,1,1),\mathbf{b}) \vee \\ & r_{2}((1,0,0),\mathbf{a}) \wedge c_{2}((1,0,0),\mathbf{b}) \vee r_{2}((1,0,1),\mathbf{a}) \wedge c_{2}((1,0,1),\mathbf{b}) \vee \\ & r_{2}((1,1,0),\mathbf{a}) \wedge c_{2}((1,1,0),\mathbf{b}) \vee r_{2}((1,1,1),\mathbf{a}) \wedge c_{2}((1,1,1),\mathbf{b})) \vee \\ & (r_{3}((0,0,0),\mathbf{a}) \wedge c_{3}((0,0,0),\mathbf{b}) \vee r_{3}((0,0,1),\mathbf{a}) \wedge c_{3}((0,0,1),\mathbf{b}) \vee \\ & r_{3}((1,0,0),\mathbf{a}) \wedge c_{3}((1,0,0),\mathbf{b}) \vee r_{3}((1,0,1),\mathbf{a}) \wedge c_{3}((1,1,1),\mathbf{b}) \vee \\ & r_{3}((1,1,0),\mathbf{a}) \wedge c_{3}((1,1,0),\mathbf{b}) \vee r_{3}((1,1,1),\mathbf{a}) \wedge c_{3}((1,1,1),\mathbf{b}) \vee \\ & r_{3}((1,1,0),\mathbf{a}) \wedge c_{3}((1,1,0),\mathbf{b}) \vee r_{3}((1,1,1),\mathbf{a}) \wedge c_{3}((1,1,1),\mathbf{b}) \end{pmatrix} \end{array}$$

## 2 A Circuit for the Lupanov Representation

A circuit for the Lupanov representation of f is built by decoding  $\mathbf{a}$  and  $\mathbf{b}$ ; computing  $r_i(\mathbf{v}, \mathbf{a})$  and  $c_i(\mathbf{v}, \mathbf{b})$  for fixed i and  $\mathbf{v}$ ; and combining the results with AND and OR gates.

#### 2.1 Decoders for a and b

Apply Lemma 2.5.4 to obtain a decoder circuit for  $\mathbf{a} \in \mathcal{B}^k$  with complexity

$$C_{\Omega_0} \left( f_{\text{decode}}^{(k)} \right) \leq 2^k + (2k - 2)2^{k/2}$$

$$\leq 2^k + k2^{1+k/2}$$

$$D_{\Omega_0} \left( f_{\text{decode}}^{(k)} \right) \leq \lceil \log_2 k \rceil + 1$$

$$\leq 2 + \log_2 k$$

and a decoder circuit for  $\mathbf{b} \in \mathcal{B}^{n-k}$  with complexity

$$C_{\Omega_0} \left( f_{\text{decode}}^{(n-k)} \right) \leq 2^{n-k} + (2(n-k)-2)2^{(n-k)/2}$$

$$\leq 2^{n-k} + n2^{1+((n-k)/2)}$$

$$D_{\Omega_0} \left( f_{\text{decode}}^{(n-k)} \right) \leq \lceil \log_2 n - k \rceil + 1$$

$$\leq 2 + \log_2 n.$$

### 2.2 Circuit to compute the $r_i(\mathbf{v}, \mathbf{a})$ functions

There are p row functions  $r_i$ . Fix i, where  $1 \le i \le p$ , and  $\mathbf{v} \in \mathcal{B}^s$ . If  $\mathbf{a} \notin A_i$ , then  $r_i(\mathbf{v}, \mathbf{a}) = 0$ . Hence,  $r_i(\mathbf{v}, \mathbf{a}) = 1$  for at most s values of  $\mathbf{a}$ , so  $r_i(\mathbf{v}, \mathbf{a})$  is the OR of at most s of the outputs

from the **a** decoder. This requires  $\leq s$  additional gates and additional depth  $\leq \lceil \log_2 s \rceil + 1 \leq 2 + \log_2 s$ . Computing all of the  $r_i(\mathbf{v}, \mathbf{a})$  functions requires  $\leq p2^s s$  additional gates, and additional depth  $\leq 2 + \log_2 s$ , since the functions can all be computed in parallel.

### 2.3 Circuit to compute the $c_i(\mathbf{v}, \mathbf{b})$ functions

There are p column functions  $c_i$ . Fix i, where  $1 \le i \le p$ , and  $\mathbf{v} \in \mathcal{B}^s$ . We have that  $c_i(\mathbf{v}, \mathbf{b}) = 1$  exactly when  $g_i(\mathbf{b}) = \mathbf{v}$ . Hence, every  $\mathbf{b} \in \mathcal{B}^{n-k}$  contributes a single 1 to the output of each of the  $c_i$  functions. Define  $d_{i\mathbf{v}} : \mathcal{B}^s \to \mathcal{B}$  to be

$$d_{i\mathbf{v}} = c_i(\mathbf{v}, \mathbf{b}).$$

Taking the outputs of the **b** decoder, we can compute all of the  $d_{i\mathbf{v}}$  functions, for fixed i and all  $\mathbf{v} \in \mathcal{B}^s$ , with  $\leq 2^{n-k}$  additional OR gates and additional depth  $\leq \lceil \log_2(n-k) \rceil + 1 \leq 2 + \log_2 n$ . To compute all of the  $d_{i\mathbf{v}}(\mathbf{b})$  functions requires  $\leq p2^{n-k}$  additional gates, and additional depth  $\leq 2 + \log_2 n$ , since the functions can all be computed in parallel. Observe also that the computation of the  $r_i$  and the  $c_i$  occur in parallel.

### 2.4 Circuit to compute the Lupanov formula

Once the  $r_i(\mathbf{v}, \mathbf{a})$  and  $c_i(\mathbf{v}, \mathbf{a})$  values are computed, the Lupanov representation of f requires  $\leq p2^s$  additional AND gates, all in parallel with additional depth 1; and  $\leq p2^s$  additional or gates with additional depth  $\leq \lceil \log_2 p2^s \rceil + 1 \leq 2 + s + \log_2 p$ . Combining the sizes, we need  $\leq p2^{s+1}$  additional gates. Combining the depths, we need  $\leq 3 + s + \log_2 p$  additional circuit depth.

#### 2.5 Circuit complexity

The size of the constructed circuit is at most

$$\psi(n,k,p,s) = (2^k + k2^{1+k/2}) + (2^{n-k} + n2^{1+(n-k)/2}) + p2^s s + p2^{n-k} + p2^{s+1}.$$

The depth of the constructed circuit is at most

$$\begin{split} \delta(n,k,p,s) &= \max\{(2+\log_2 k) + (2+\log_2 s), (2+\log_2 n) + (2+\log_2 n)\} + 3 + s + \log_2 p \\ &= 4 + 2\log_2 n + 3 + s + \log_2 p \\ &= 7 + s + 2\log_2 n + \log_2 p. \end{split}$$

# 3 Upper Bounds on Circuit Complexity

As in the textbook, choose these values for k, p, and s:

$$k = \lceil 3 \log_2 n \rceil$$

$$p = \left\lceil \frac{2^k}{\lceil n - 5 \log_2 n \rceil} \right\rceil$$

$$s = \lceil n - 5 \log_2 n \rceil.$$

Here are some relationships that hold for all sufficiently large n:

$$k \leq 1 + 3 \log_2 n$$
 $2^k \leq 2n^3$ 
 $2^k \geq n^3$ 
 $s \leq 1 + (n - 5 \log_2 n)$ 
 $2^s \leq \frac{2^{n+1}}{n^5}$ 
 $p \leq \frac{2n^3}{1 + n - 5 \log_2 n}$ 
 $ps \leq 2^{k+1}$ 
 $\leq 4n^3$ 
 $\log_2 p \leq 1 + 2 \log_2 n$ .

For the size complexity of the constructed circuit, we obtain

$$\begin{array}{lll} \psi(n,k,p,s) & = & (2^k + k2^{1+k/2}) + (2^{n-k} + n2^{1+(n-k)/2}) + p2^s s + p2^{n-k} + p2^{s+1} \\ & \leq & \left(2n^3 + 2(1 + 3\log_2 n)n^{3/2}\right) + \left(\frac{2^n}{n^3} + \frac{n2^{1+n/2}}{n^{3/2}}\right) \\ & & + \frac{4n^32^{n+1}}{n^5} + \frac{2n^32^n}{n^3(1+n-5\log_2 n)} + \frac{2n^32^{n+2}}{n^5(1+n-5\log_2 n)} \\ & \leq & O(n^3) + O(n^{3/2}\log_2 n) + \frac{2^n}{n^3} + O\left(\frac{2^{n/2}}{n^{1/2}}\right) \\ & & + O\left(\frac{2^n}{n^2}\right) + \frac{2^{n+1}}{1+n-5\log_2 n} + \frac{2^{n+3}}{n^2(1+n-5\log_2 n)} \\ & \leq & \frac{2^{n+1}}{1+n-5\log_2 n} + O\left(\frac{2^n}{n^2}\right), \end{array}$$

where, as usual, O(f(n)) indicates a function of n that grows no faster than f(n) as  $n \to \infty$  (see Section 1.2.8).

For the depth complexity of the constructed circuit, we obtain

$$\begin{array}{lcl} \delta(n,k,p,s) & = & 7+s+2\log_2 n + \log_2 p \\ & \leq & 7+(1+n-5\log_2 n) + 2\log_2 n + (1+2\log_2 n) \\ & = & n+9-\log_2 n \\ & \leq & n+O(\log_2 n). \end{array}$$