# CS 3214 Syllabus

#### Overview

CS 3214 provides an introduction to computer systems as they are relevant to application programmers today, with an emphasis on operating systems principles. Topics covered include: operating systems design and architectures; processes, threads, synchronization techniques, deadlock; CPU scheduling; system call interfaces, system level I/O and file management; shell programming; separate compilation, loading and linking; inter-process communication (IPC); virtual and physical memory management and garbage collection; network protocols and programming; virtualization and cloud computing. The topics will be accompanied by a series of exercises and programming projects that will give you hands-on experience in interacting with systems at different levels. The projects will be done in groups of 2, which gives you the added benefit of learning how to work in a small team.

## In-person Format

During the Spring 2024 semester, CS 3214 will be taught as an in-person class augmented with various modes of online communication. This consists of in-class meetings/discussions/lectures, pre-recorded lectures, mini-lectures, and demos, book reading assignments, slide sets, use of Discourse, Discord, and Zoom for in-person and online office hours.

## Staff Information and Meeting Times

Instructors: Dr. Godmar Back

Dr. Xun (Steve) Jian

Office hours: Available on class website

Class website: <a href="https://courses.cs.vt.edu/cs3214/spring2024">https://courses.cs.vt.edu/cs3214/spring2024</a>

TAs: Available on class website

TA office hours will be held either in person or online.

Email: To contact the teaching staff, use <a href="mailto:cs.vt.edu">cs3214-staff@cs.vt.edu</a> or use

the Discourse forum. For confidential matters, contact your

instructor directly.

Forum: We will be using Discourse this semester at

https://cs3214.cs.vt.edu. This instance is set up to authenticate through your @vt.edu account. Announcements will be posted on

the Discourse site.

## Class Meeting Times:

Dr. Back's section: MW 5:30pm-6:45pm Hancock 100 Dr. Jian's section: MW 2:30pm-3:45pm Surge 104B

Attendance at class meetings is strongly encouraged. Class meetings are not recorded.

## **Prerequisites**

The formal prerequisites for this class consist of a C or better in CS 2506 Introduction to Computer Organization II and CS 2114 Software Design and Data Structures. Prerequisite checking is done per the departmental procedures.

Note that CS 2505 is indirectly a prerequisite for CS 3214. If you received transfer credit for CS 2506, but have not taken CS 2505, you are strongly advised to complete CS 2505 before attempting CS 3214.

# **Objectives**

Upon completion of the course, students should be able to:

- Explain the major components of an operating system and their respective functionality and interaction, including runtime libraries and systems programs
- 2. Use an operating system's command line interface to run shell scripts and perform productivity tasks
- 3. Describe the theories underlying CPU scheduling and their impact on applications
- 4. Describe proper synchronization techniques, deadlock detection and avoidance
- 5. Describe the principles underlying virtual memory and their impact on applications
- 6. Design, implement, test, and debug:
  - a. programs that interact directly with an operating system using its system call application programming interface (API)
  - b. multi-process applications that use inter-process communication
  - c. multi-threaded applications
  - d custom user-level memory allocators
  - e. simple network applications
- 7. Describe the principles underlying virtualization

# Tentative Schedule

This schedule is tentative. The times and dates posted on the website are authoritative. However, unless an announcement is made that these times will be changed, those will be the deadlines.

Week	Date	Due Date	Test Date	Topic Outline	
1	1/15			Intro, Getting Started	
2	1/22	1/26 ex0		Process Management, Shell, Job Control, Unix Signals, Pipes, File Descriptors, Standard I/O	
3	1/29	2/1 syllabus quiz			
4	2/5	2/8 ex1			
5	2/12			Compilation, Loading and Linking	
6	2/19	2/26 p1			
7	2/26	3/1 ex2		Multithreading, Concurrency,	
	3/2-3/10	Spring Break		Synchronization	
8	3/11	3/14 ex3			
9	3/18				
10	3/25	3/22 p2 3/24 badge 1	3/25 Midterm	Memory Management: automatic & explicit; Virtual Memory	
11	4/1				
12	4/8				
13	4/15	4/10 p3 4/19 ex4		Networking and Socket Programming	
14	4/22			Virtualization, Containers, Cloud Computing	
15	4/29	5/1 p4, ex5, badge2	Final Exam will take place at location/time announced in Hokiespa unless an announcement is made		

#### Textbook

The required textbook is:

Computer Systems: A Programmer's Perspective, Third Edition (CS:APP3e) Randal E. Bryant and David R. O'Hallaron Prentice Hall, 2016, ISBN 978-0134092669.

#### Course Format

The course work consists of a mix of lectures, tests, exercises, and programming projects.

**Tests/Final Exams:** There will be 1 midterm and 1 final exam. Each exam will cover material from the lectures and textbook. The tests may also include questions related to the programming projects and exercises. The final exam will be comprehensive and include material from the lectures, textbook, and programming projects. The midterm and final exam will be closed book and closed Internet. A sheet of prepared notes will be allowed for the midterm, which you can keep after the midterm. For the final, you may bring this sheet and an additional sheet. The midterm will be during a class period whereas the final exam will take place at the scheduled time as per HokieSpa.

**Exercises:** Exercises are done individually. They consist of smaller tasks that reinforce necessary skills. Exercises may run in parallel with projects, but generally have a shorter deadline.

**Projects:** There will be 4 medium-size projects. Projects must be done in groups of 2 students. Teaming up with a partner **is not optional.** Teams may be formed across sections.

There are 2 choices for forming a group. First, you may self-select a partner, then register your group with us via the "grouper app" on the course webpage. Second, you may choose to be assigned a partner. For each project, there will be a time period where the grouper app is unlocked (i.e., open for self registration). Once this time period is over, the grouper app will be locked.

You may switch partners, but only between projects. You may work with at most one partner on a given project. Note that if you do not unregister your partner when the grouper app is unlocked we will assume that you continue to work with your assigned partner - we will only assign partners to currently unpaired students that are still active in the class.

Students must contribute equally to the project within a team. It is not acceptable for students to either not contribute to the project or not to let the other group member contribute equally to the project. Inform staff right away if your partner is not responsive.

If there are questions within a group regarding group members' contributions, we may ask that each group member submit a form, separate from their group's submission, that will outline

- how often they met with their partner
- their specific contributions to the project
- any difficulties they had with their partner
- what attempts they made to overcome those

We will use this information to decide whether both group members should get credit for the project, to provide cushions in the form of a 10% bonus, or extra late days, where warranted for groups where a group member did not contribute.

Please note that excluding your group member, completing a project by yourself and then asking for the bonus is not a permitted strategy and will not only result in your not obtaining a cushion, but likely result in your assigned group member getting shared credit for the project.

Projects and exercises will be submitted electronically and grades will be posted electronically. Instructions are available on the course website.

## Participation Requirement:

To encourage participation and engagement, we set aside a small portion of your grade for participation. To earn this credit, you are expected to make two contributions to the Discourse forum, the first one being in the first half of the semester (see badge1 deadline above). A contribution can be <u>asking a question or providing an answer</u> to the class on the Discourse forum. <u>It does not imply starting a new topic/thread</u>, in fact, most contributions should be to existing topics/threads. A question or answer should not have been asked or given before.

We exclude questions related to course logistics or non-systems-related topics, such as "when is this assignment due?" "Are we meeting today?" "What's the best way to study for the midterm?" "Is rlogin down?" or "Where can I find the handout for X, Y, Z" - your contributions should be related to the topic of the class: computer systems, which we construe broadly. (Logistics questions should be sent via email or via private message on Discourse.)

- We generally give only one badge per participation in a Discourse topic, unless you make multiple, independent contributions.
- <u>We discourage questions that are vague</u> and that show no or too little effort on the part of the questioner to explore the topic themselves first, such as: "What

- are the trade-offs of using C vs other languages?" If you wish to discuss a topic like this one (and earn participation credit for it), you should start your question by including your own research and your own arguments, then form a position and present it. This may jumpstart a discussion.
- Read what others have posted before you start a new topic. We will close topics
  (and not award badges) for duplicate threads, particularly near the badge
  deadlines. If you don't follow the discussion and end up posting a duplicate topic
  before the badge deadline, you may risk losing credit.
- Your contribution to an ongoing thread should be (at least partially) novel and not merely repeat what was already said. Moderators may close topics if necessary.
- To spread and manage the contributions over the semester, we will provide 2 deadlines. We expect everyone to have earned a first badge by the badge1 deadline, and a second badge by the badge2 deadline.
- Badges are awarded when an instructor has read your topic and assessed that
  your contribution meets the requirements set out in this syllabus. We try to award
  badges in a timely manner or provide feedback in the form of a follow-up
  question if not. You can then respond to rectify the issue. However, if you submit
  right before the deadline, you may lose this opportunity because there will not be
  enough time.
- To earn all available credit, you must earn at least 2 badges by their respective deadlines. It's ok to have earned both 2 badges by the first deadline.
- Instructors will reply to questions in a timely manner to make sure that questions related to exercises and projects are answered quickly, but may intentionally delay responses to other questions or discussions to give students time to earn participation points.

## Late Policy

Deadlines are necessary for everyone to stay on schedule and to facilitate grading. We generally try to avoid granting individual late days and instead use built-in late days every student can individually budget.

Each student will have a **budget of 4 late days that can be used to submit projects late without penalty**. You decide when you want to use your late days – there is no need to contact the instructor or GTA beforehand. Late days are granted in whole integer multiples of days: if your assignment is 5 minutes late, you may have used up an entire late day, although there may be a short grace period of unspecified length after the midnight deadline.

In addition, you have a separate and individual budget of 4 late days you may use on exercises. Since projects are group endeavors and exercises are done individually, you cannot substitute one type of late day for the other.

For projects, you will work with a partner. If you are working with a partner, late submissions will count against the (project) budgets of both partners, so make sure

that all of you have enough late days left or the partner with an insufficient number of days risks not receiving credit. Submissions received after all late days are exhausted will not be graded and receive zero credit.

Accommodations beyond automatic late days (aka "extra" late days):

The automatic late day system is designed so that we do not have to respond to most requests for additional late days. It should cover situations like temporary Internet outages, temporary equipment failures, and similar situations. However, we will provide additional late days in 2 situations:

**DoS/University accommodations:** If you have family or other emergencies that prevent you from submitting assignments on time, please contact the Dean of Students Office (<a href="https://www.dos.vt.edu">https://www.dos.vt.edu</a>). Generally, these emergencies would require absences of 5 days or more. They will make a determination as to what accommodations should be given, and inform the instructors of the classes in which you are enrolled of their decision. Our policy is to provide you with as many additional late days as the note from DoS advises. For reasons of consistency and fairness, we will not make any determinations about emergency accommodations; we will defer all such decisions to the DoS. If you have learning or other disabilities, please also see the section Students With Disabilities below.

Sickness policy: If you cannot complete an assignment due to medical reasons, including illness, required isolation or quarantine, you must tell the teaching staff how many days you need to catch up on any work you were not able to do because of sickness. The deadline for the assignment in question (and only this assignment) will then be moved by this many days without counting against the late days described above. For group projects, sick days will be granted to the group as a whole for the project in question. No Doctor's note is required or expected, but the honor code and the university policy on class attendance apply. In cases of extended illnesses that carry the risk of compromising your ability to finish the class, we will require an absence verification from the Dean of Students.

## Incomplete Policy/Academic Relief

In most cases, students who missed too much work will be asked to withdraw or drop and then retake the course. In exceptional circumstances, the College of Engineering may grant academic relief. As per updated guidance from the College of Engineering, such academic relief accommodation may include an Incomplete grade provided that all of the following are true:

- The student has been attending class on a regular basis.
- The student has completed 75% or more of the required coursework.
- With exception for the missing work, the student is passing the course.
- The student has a viable plan and timeline for completing the remaining work.

## Grading

The tentative contributions of the different portions to your final grade will be as listed below:

14%	Midterm Exam	
16%	Final Exam	
40%	Projects	
24%	Exercises	
4%	Forum participation (2 badges)	
2%	Syllabus Quiz	

For each of these categories, we will award a to-be-determined number of points, note that points earned for projects are not comparable to points earned for exercises (or in exams). The percentages in the table above describe the total weight of the entirety of the points available in a category.

Conversion to Letter Grades: we guarantee that your letter grade will not be worse than the letter grade you would have obtained under the standard scale. That is, a final average of 90% will guarantee at least an A-, a final average of 80% at least a B-, and so forth. In practice, we may, and typically will, curve the final results by setting cut-offs points lower than these. If you are in doubt regarding your ability to pass the course, we strongly encourage you to contact your instructor.

Beyond that, from past experience, the median final grade for this class typically lies between B and C. In other words, students who consistently perform above the median can reasonably expect a B- or better. The population over which this median is taken is all students that started the class, not the subset of students who finished it. Note that we will not know the magnitude of this semester's curve until the final exam has been graded.

Each project has a subset of requirements designated as "minimum requirements." **Autofail rule**: to pass the class, you must meet minimum requirements on 2 or more projects. This will demonstrate a minimum of practical skills we expect our CS graduates to have. Note that the opposite is not true: merely passing the minimum requirements on 2 projects will not guarantee that you pass the class.

#### Modes of Communication

Website: The <u>class website</u> and the <u>Discourse forum</u> are the primary means of communication from us to you. We will post announcements there, which are binding. We have unfortunately no way to reach you by mass email, but the default notification settings should send you email when a post is made under <u>Announcements</u>.

Forum: You are required to subscribe to the <u>Discourse forum</u>. You are required to read all posts that appear under the <u>Announcements</u> category.

When posting in the forum, please follow up when you receive a reply or have solved your problem; do not waste your classmates' time with content-free "never mind" posts. See below for the rules regarding honor code violation and the forum.

Zoom/Discord etiquette: Make sure that you are familiar with how to share your screen using Zoom and Discord. Please make sure that your audio works. The use of a high-quality external microphone (or a headset) is preferred to the use of built-in microphones.

Email etiquette: Class-related email should be sent to <a href="mailto:cs3214-staff@cs.vt.edu">cs3214-staff@cs.vt.edu</a>, with the exception of project-related questions, which should be posted in the forum. The email alias ensures that it reaches both the instructor and TAs, and ensures that it will be archived separately. Generally, you should reserve email for administrative issues such as sick days, or other questions related to policy or your individual situation. For all other questions, the forum is usually the place to go.

Email messages regarding academic issues that are sent from non-VT accounts (e.g., hotmail) will be disregarded for FERPA reasons. Do not send email attachments unless we have asked you to do so. **Never send project or exercise submissions by email as we will not accept them.** 

## Collaboration Policy and Honor Code

The tenets of the honor code will be strictly enforced in this course, and all assignments shall be subject to the stipulations of the Undergraduate Honor Code. For more information on the Honor Code, please refer to the Undergraduate Honor System Constitution, available online at <a href="https://honorsystem.vt.edu/">https://honorsystem.vt.edu/</a>. The following statement is required in all syllabi:

The Undergraduate Honor Code pledge that each member of the university community agrees to abide by states:

"As a Hokie, I will conduct myself with honor and integrity at all times. I will not lie, cheat, or steal, nor will I accept the actions of those who do."

Students enrolled in this course are responsible for abiding by the Honor Code. Students are strongly discouraged from misusing sites such as Chegg and CourseHero, as well as misusing ChatGPT and other Generative Artificial Intelligence. Students are strongly encouraged to consult their faculty members regarding the use of such outside materials as the misuse of these sources may constitute a violation of the Honor Code. Ignorance of the rules does not exclude any

member of the University community from the requirements and expectations of the Honor Code.

Commission of any of the following acts shall constitute academic misconduct. This listing is not, however, exclusive of other acts that may reasonably be said to constitute academic misconduct. Clarification is provided for each definition with some examples of prohibited behaviors in the Undergraduate Honor Code Manual located at <a href="https://www.honorsystem.vt.edu/">https://www.honorsystem.vt.edu/</a>

#### CHEATING

Cheating includes the intentional use of unauthorized materials, information, notes, study aids or other devices or materials in any academic exercise, or attempts thereof.

#### **PLAGIARISM**

Plagiarism includes the copying of the language, structure, programming, computer code, ideas, and/or thoughts of another and passing off the same as one's own original work, or attempts thereof.

#### **FALSIFICATION**

Falsification includes the statement of any untruth, either verbally or in writing, with respect to any element of one's academic work, or attempts thereof.

## **FABRICATION**

Fabrication includes making up data and results, and recording or reporting them, or submitting fabricated documents, or attempts thereof.

#### MULTIPLE SUBMISSION

Multiple submission involves the submission for credit—without authorization of the instructor receiving the work—of substantial portions of any work (including oral reports) previously submitted for credit at any academic institution, or attempts thereof.

## COMPLICITY

Complicity includes intentionally helping another to engage in an act of academic misconduct, or attempts thereof.

# VIOLATION OF UNIVERSITY, COLLEGE, DEPARTMENTAL, PROGRAM, COURSE, OR FACULTY RULES

The violation of any University, College, Departmental, Program, Course, or Faculty Rules relating to academic matters that may lead to an unfair academic advantage by the student violating the rule(s).

If you have questions or are unclear about what constitutes academic misconduct on an assignment, please speak with one of the instructors of record.

We take the Honor Code very seriously in this course. The normal sanction we will recommend for any, even a single, violation of the Honor Code is an **F**\* sanction as your final course grade. The F represents failure in the course. The "\*" is intended to identify a student who has failed to uphold the values of academic integrity at Virginia Tech.

A student who receives a sanction of **F**\* as their final course grade shall have it documented on their transcript with the notation "FAILURE DUE TO ACADEMIC HONOR CODE VIOLATION."

You would be required to complete an education program administered by the Honor System in order to have the "\*" and notation "FAILURE DUE TO ACADEMIC HONOR CODE VIOLATION" removed from your transcript.

The "F" however would be permanently on your transcript.

A second F\* will in the majority of cases lead to expulsion from the university, as has happened in the recent past, including for students who chose to cheat in CS 3214.

If we suspect that an honor code violation has occurred, we generally file complaints directly with the officers of the Honor System. We are not required to discuss suspected honor code violations with suspected students before filing charges, and typically will not do so.

The following policies regarding collaboration apply in this class.

All submitted work is expected to be the original work of the individual student unless otherwise directed by the instructor. Note the emphasis on "submitted" work – this includes work that is explicitly graded and work that may not be graded, regardless.

Projects are to be the work of the individual student or team as specified. You may discuss general concepts, such as software libraries, Internet resources, or class and text topics, with others outside your team. However, sharing of specific code or detailed report content is an honor code violation.

You are not allowed to post code that is part of your solution on the Discourse forum or on Discord. An exception is a single line if it causes a compile-time or runtime error. Posting debugging output, including backtraces, is ok. You may not post detailed descriptions of your design or solution on the forum or elsewhere. You may not post answers to exercise questions on the forum or elsewhere.

You are required to read-protect your work on shared file space and on the department's git.cs.vt.edu server so students outside of your team will not have

access. You must use git.cs.vt.edu, you may \*not\* use external servers such as code.vt.edu or github.com. Failing to do so is an honor code violation.

After the class ends, you are still responsible for not publicly sharing your solutions on github.com or similar sites. Note that it is possible to be the target of an honor code violation even after you have finished the course.

Borrowing code or hiring someone to perform the work for you is an egregious violation of the honor code. We will use plagiarism detection software such as MOSS to screen out students attempting to do this.

In recent terms, a small number of students have submitted solutions that were based (in whole or in part) on solutions submitted by other students in previous offerings. Be advised:

## That is cheating.

- When we do the cheating analysis for a project or exercise, we include submissions from previous offerings as well as the current term. (We save everything.)
- If we detect this form of cheating, the students from the previous terms will also be charged with violating the Honor Code.
- A charged student will not receive a degree until the charges are resolved.
- Despite the clearly spelled out warnings in this syllabus, in certain recent semesters, we were forced to file multiple cases with the honor system. If you heard through the grapevine that some students got away with cheating, consider that you may not have heard from those students who didn't.
- Honor code panels and the Office of Academic Integrity (which performs a subsequent review) typically uphold the instructor's recommendation of an F\*.
- If you already have an honor code violation on your record from a previous class, be aware that a second violation (even if otherwise minor) will lead to expulsion (not suspension) from the university, except in rare, extenuating circumstances. The keyword here is "rare" - extenuating circumstances that are not rare will typically not result in a lowering of sanctions.
- Note that the honor system does not distinguish in any way between giving and receiving unauthorized aid - both acts are considered cheating and punished equally. Consider this when a friend asks you to share their solution from the current or a past semester.
- Some students have in the past tried to engage in code obfuscation exercises: renaming variables and functions, rearranging logical expressions or if/else statements or code structure otherwise to try to hide the fact that their code was a derivative of someone else's submission.
   Be advised that such attempts did not lead to a change in outcome when

- those students were caught and referred to the honor code system. Honor code panels have so far nearly always trusted the judgment of the instructor whether unauthorized collaboration has occurred.
- If you think you could make use of code you found online, keep in mind
  that another student may come up with the same idea. If both of you do,
  you will both be referred to the honor code system, and we will not need to
  identify who copied what from whom and when as the sanction will be the
  same.

Use of generative AI tools such as CoPilot, GPT-4, Bard, etc.:

We believe that as you train to become a future professional that adds value to Al tools in their professional life, you are expected to have the ability to produce code in order to solve problems. For this reason, you may not copy code or comments that weren't written by you into your solutions, which includes Al-generated code or comments. You may, however, use these tools for text comprehension tasks and for general Q&A and you may show them code you have written. It is typically possible to prompt these tools to not produce code in their response.

Not having read the honor code and its stipulations is no excuse for violating it. If you have any doubt about what is and is not allowed, it is your obligation to ask the instructor beforehand.

## Policy for students retaking the class

Students retaking the class can use code that was created previously only as a reference for themselves, but they <u>are not allowed to share it with their new group members</u>, be it electronically or otherwise. <u>Using it as a "reference" means that it is ok to look at it, but it does not mean that old code can be copied.</u> Any submission (project or exercise) for this semester has to be created from scratch by all group members in the case of projects or by the individual student in the case of exercises.

We will enforce this policy: you can expect an honor code case to be filed if we detect similarities between your submission and a submission made in previous semesters, especially if the submissions involved different group members.

#### Students with Disabilities

Students are encouraged to address any special needs or special accommodations with me during the first two weeks of the semester, or as soon as you become aware of your needs.

Those seeking accommodations based on disabilities should obtain a Faculty Letter from the Services for Students with Disabilities office (540-231-0858) located in Lavery Hall, Suite 310) <a href="http://www.ssd.vt.edu/">http://www.ssd.vt.edu/</a>.

If you need accommodations because of a disability (learning disability, attention deficit disorder, psychological, or physical), please contact the instructor as soon as possible.

If you have an SSD letter providing any accommodations, please share it with your instructor of record in a timely manner so we can plan for accommodations.

We will reserve a room for student whose accommodations include a reduced distraction environment for taking exams. We do not support the use of the SSD testing center. Dr. Back will coordinate SSD accommodations for all sections.

## Mandatory Reporting Statement: University Policies 1025/1026

University Policies 1025 and 1026 mandate employees with instructional responsibilities to report all suspected instances of discrimination, harassment, sexual harassment and/or sex/gender-based violence to the Office for Equity and Accessibility (OEA). The University also has identified certain offices as confidential resources. The University's confidential resources include: the University Ombuds Office, The Graduate School Office of the Ombudsperson, Schiffert Health Center, Cook Counseling Center, and the Virginia Tech Women's Center. Additionally, the University offers supportive measures to survivors of sex and gender based harassment and violence, regardless of whether the survivors file a Formal Complaint. More information is available from the OEA.